

Slot Machine Shipment Notification Form Manufacturer/Vendor or Casino Licensee

Notification of Slot Machine Shipment/Transportation

Date:

From:

To: Investigations and Enforcement Bureau
Gaming Agents Division
Massachusetts Gaming Commission
101 Federal Street, 12th Floor
Boston, MA 02110

Slot machine and gaming device transportation within or outside of the Commonwealth of Massachusetts is governed by 205 CMR 145.02 (please see below attachment for full regulation).

In keeping with statutory and regulatory provisions, the following information pertains to the expected shipment and transportation of slot machines into or out of the Commonwealth of Massachusetts. The slot machines involved in this shipment are identified on the attached slot machine activity control log.

_____ **New Slot Machine Shipment to Casino Licensee Facility**

- **Are these Slot Machines Currently Certified by an Independent Testing Laboratory?**

Yes: ____ No: ____ N/A: ____

If Yes, Attach the Certification Report to this Form

If No or N/A, Explain: _____

_____ **Shipment of Slot Machines by Casino Licensee to a location within the Commonwealth**

_____ **Shipment of Slot Machines from Casino Licensee to a location outside of the Commonwealth. Please note that G.L. c. 23K, § 31(c) prohibits the casino licensee from selling slot machines.**

Shipment and Transport Information

The full name and address of the person shipping or moving the machine(s) or device(s)	
The full name and address of the person who owns the machine(s) or device(s), including the name of any new owner in the event ownership is being changed in conjunction with the shipment or movement	
The method of shipment or movement and the name of the carrier(s)	
The full name and address of the person to whom the machine(s) or device(s) is being sent and the destination of the machine(s) or device(s) if different from such address	
The quantity of machines or devices being shipped or moved and the manufacturer's serial number of each machine (may be sent as an attachment)	
The expected date and time of delivery to or removal from any authorized location in the Commonwealth	
A copy of the certification report issued by the independent testing laboratory in accordance with 205 CMR 144.04(2) (may be sent as an attachment)	
The reason for transporting the machine or device	

FOR IEB OFFICE USE ONLY:

Form acknowledged by IEB Agent

205 CMR 145.00: POSSESSION OF SLOT MACHINES AND OTHER ELECTRONIC GAMING DEVICES

145.02: Transportation of Slot Machines and Other Electronic Gaming Devices

(1) Pursuant to St. 2011, c. 194, §§ 101 and 102, the commonwealth declared and proclaimed that it shall be exempt from the provisions of chapter 1194, 64 Stat. 1134, and also designated as 15 U.S.C. 1171 through 1178 for any gambling device authorized for use and transport under M.G.L. c. 3K and 205 CMR 144.00: Approval of Slot Machines and Electronic Gaming Equipment and Testing Laboratories and 205 CMR 145.00. All shipments of gambling devices into the commonwealth, including slot machines, the registering, recording and labeling of which has been duly had by the manufacturer or dealer thereof in accordance with sections 3 and 4 of "An act to prohibit transportation of gambling devices in interstate and foreign commerce", 15 U.S.C. §§ 1171 through 1177, shall be deemed legal shipments thereof into the commonwealth.

(2) Any person moving a slot machine or other electronic gaming device (except those identified in 205 CMR 144.01(2)(o)):

- (a) into the Commonwealth;
- (b) from one authorized location to another authorized location within the Commonwealth unless both locations are operated and controlled by the same gaming licensee; or
- (c) out of the Commonwealth.

shall first notify the commission at least one day in advance of the movement in writing that provides the following information:

1. The full name and address of the person shipping or moving the machine or device;
2. The full name and address of the person who owns the machine or device, including the name of any new owner in the event ownership is being changed in conjunction with the shipment or movement;
3. The method of shipment or movement and the name of the carrier or carriers;
4. The full name and address of the person to whom the machine or device is being sent and the destination of the machine or device if different from such address;
5. The quantity of machines or devices being shipped or moved and the manufacturer's serial number of each machine;
6. The expected date and time of delivery to or removal from any authorized location in the Commonwealth;
7. A copy of the certification report issued by the independent testing laboratory in accordance with 205 CMR 144.04(2); and
8. The reason for transporting the machine or device.

(3) The person shipping or moving any slot machine or other electronic gaming device in accordance with 205 CMR 145.02 shall provide to the shipper a document, at least one copy of which shall be kept with the slot machine or other electronic gaming device at all times during the shipping process, that contains the following information, at a minimum:

- (a) The manufacturer's serial number of the slot machine or other electronic gaming device being transported;
- (b) The full name and address of the person from whom the machine or device was obtained;
- (c) The full name and address of the person to whom the machine or device is being sent; and
- (d) The dates of shipment.

(4) Any person moving a slot machine or other electronic gaming device:

- (a) within a gaming establishment; or
- (b) between two authorized locations within the Commonwealth if both locations are operated and controlled by the same gaming licensee;

shall file a request for approval pursuant to 205 CMR 144.03: Installation and Approval for Use of an Electronic Gaming Device and record such movement in a log maintained in accordance with the record retention requirements contained in 205 CMR 138.09: Retention, Storage and Destruction Records and include the following:

1. The manufacturer's serial number;
2. The casino operator's equipment number, if applicable;
3. An indication as to whether the equipment is equipped for tokenization, and if so, the denomination;
4. The date and time of movement of the equipment;
5. The location from which the equipment was moved;
6. The location to which the equipment was moved; and
7. The printed name(s) and signature(s) of the person(s) involved in moving the equipment.