Protocol for Prospective Gaming Developers' Interactions with Massachusetts State Agencies

Purpose.

It is the intention of the Executive Branch of the Commonwealth (Executive Branch) and the Massachusetts Gaming Commission (MGC) to create a prompt, efficient and transparent mechanism for prospective gaming developers to acquire the information that they need to advance their proposals. It is also the intention of the Executive Branch and the MGC to organize the inquiries from developers in such a way as to minimize the burden on the developers and the multiple state agencies that will necessarily be involved.

In order to implement this intention, the Executive Branch and MGC have agreed on the following protocol for servicing prospective developers. In understanding this protocol, it should be noted that the MGC has determined that a prospective developer will become an "applicant," as defined in its enabling legislation and in this protocol, once a developer has paid the \$400,000 license application fee called for in M.G.L. c. 23K, Section 15(11). This payment, along with an executed "Statement of Intent to Apply," may be submitted to the Commission any time between August 8, 2012 and the time a completed Request for Applications-Phase One (RFA-1) which is expected to be issued by the Commission in October-November 2012 is submitted to the Commission. It should also be noted that the MGC intends to obtain the services of a point person ("ombudsman") to be the single point of contact for potential developers to coordinate their relationships with state agencies. Similarly, each affected agency will appoint a single key contact person for this protocol.

The protocol has three different stages of operation:

- PRIOR TO BECOMING AN "APPLICANT"
 - Prospective gaming developers will have the opportunity to have one meeting organized by the ombudsman. This meeting may have representatives of all of the state agencies requested by the developer. In this phase of operation, the developer may also submit written inquiries to the ombudsman, who will pass the inquires onto the relevant state agencies; each Secretariat in the Executive Branch will endeavor to provide responsive information to the Gaming Commission within two business days of each inquiry. The ombudsman shall keep a record of all inquiries.
- POST-QUALIFICATION AS AN "APPLICANT" AND PRE-LICENSE AWARD Once a developer has qualified as an applicant and paid the \$400,000 license application fee, each developer may request as many meetings with state agencies as are reasonably necessary to complete its application to the MGC in the competition for license awards (Request for Application-Phase Two, or RFA-2). All such requests will be directed through the MGC ombudsman, and all meetings will be coordinated by the ombudsman and the key contact person at each state agency. The ombudsman shall keep a record of all meetings.
- POST-LICENSE AWARD
 Once an applicant is selected to be the expanded gaming licensee in a region, licensees will work directly with administration officials and state agencies, without needing to contact the

ombudsman, to pursue all regulatory parameters required to establish the gaming facility.