



Sports Wagering Operator & Vendor Scope of Licensing – Initial Survey

Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms.*

SPORTS WAGERING LICENSE INFORMATION

Seeking to apply as:	<input type="checkbox"/> Category 1 Operator <input type="checkbox"/> Category 2 Operator <input checked="" type="checkbox"/> Category 3 Operator <input type="checkbox"/> Vendor to Operator
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APPLICANT INFORMATION & DESCRIPTION

Company Name:	Seminole Hard Rock Digital, LLC	
D/B/A or Trade Name(s):	Hard Rock Digital	
Corporate HQ Address: <i>(Also include the address of any operation/s in Massachusetts)</i>	5701 Stirling Road Davie, FL 33314	
Point-of-Contact for Licensing Process: <i>(Include NAME, POSITION, ADDRESS, PHONE, EMAIL)</i>	Danielle Boyd, VP of Compliance Seminole Hard Rock Digital, LLC 5701 Stirling Road Davie, FL 33314 danielle.boyd@hardrockdigital.com (304) 549-9338	Marie Jiacopello Jones, Esquire Fox Rothschild, LLP 1301 Atlantic Avenue, Suite 400 Atlantic City, NJ 08401 mjones@foxrothschild.com (609) 572-2259
Description of Business:	SHRD develops, owns, markets, operates, manages and brands online gaming and retail sports betting businesses.	
Goods/Services you expect to provide in Massachusetts:	Branded online sports betting.	

APPLICANT ORGANIZATIONAL INFORMATION

Applicant Company Type:	<input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> LLC <input type="checkbox"/> Cooperative <input type="checkbox"/> C-Corporation <input type="checkbox"/> S-Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> SEC Registrant <input type="checkbox"/> Other
Publicly Traded? :	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <i>(if "Yes", Stock exchange symbol and exchange)</i>
Applicant Financial Statements Type:	<input type="checkbox"/> Audited <input type="checkbox"/> Reviewed <input checked="" type="checkbox"/> Consolidated <input type="checkbox"/> Internally Compiled <input type="checkbox"/> Externally Compiled <input type="checkbox"/> Supplemental <input type="checkbox"/> Other



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Applicant Tax Returns:	<input type="checkbox"/> Stand-Alone <input checked="" type="checkbox"/> Consolidated (<i>with</i>) <div style="background-color: black; width: 200px; height: 15px; margin-left: 100px;"></div>
Management Structure: <i>(Do you have any of the following?)</i>	<input checked="" type="checkbox"/> Compliance Committee <input type="checkbox"/> Audit Committee <input checked="" type="checkbox"/> Compliance Plan <input checked="" type="checkbox"/> Other(s) Audit Committee at parent corp

ATTACHMENTS

PLEASE SUBMIT THE FOLLOWING INFORMATION

- TABLE of ORGANIZATION** including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).
- TABLE of OWNERSHIP INTERESTS** showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.
- FUNCTIONAL TABLE of ORGANIZATION** including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.
- CONTROL** – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.
- INSTITUTIONAL INVESTORS** – Provide a list of these investors along with their percentage of ownership.
- LICENSING HISTORY** – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.
- LICENSEE ASSOCIATION** – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.
- ON-SITE PERSONNEL (for Vendor only)** – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

*Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms, which consist of the following:

- Application for Category 1, 2, & 3 Sports Wagering Operator License;
- For designated entity qualifiers, Business Entity Disclosure Form;
- For designated individual qualifiers, Multi-jurisdictional Personal History Form; and
- For designated individual qualifiers, Massachusetts Supplemental Form.

Failure to submit this Survey by October 17, 2022, shall result in the Commission deeming the application incomplete and administratively closed

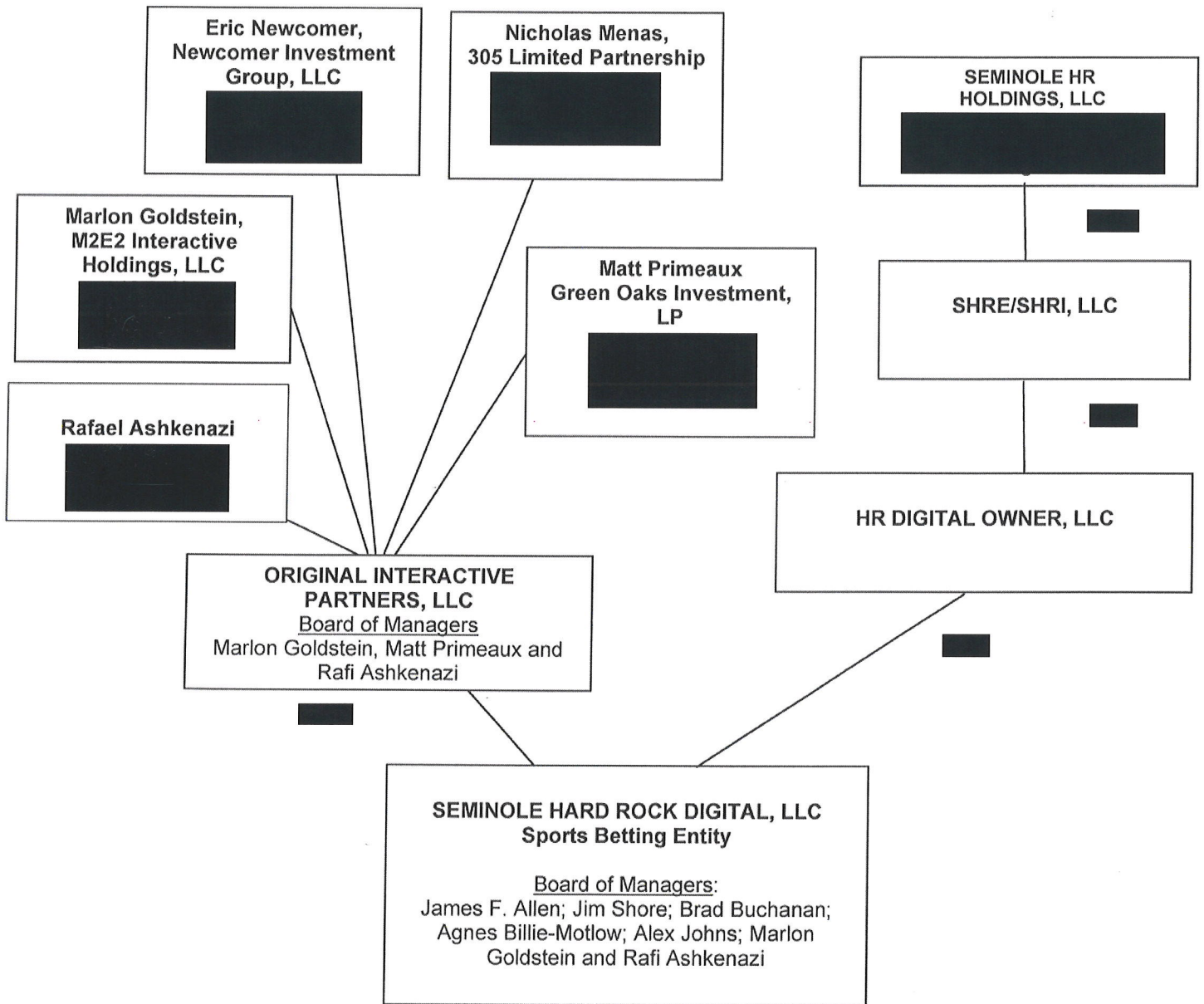
Seminole Hard Rock Digital, LLC
Massachusetts Gaming Commission Survey

October 17, 2022

Table of Organization

SEMINOLE HARD ROCK DIGITAL, LLC

Ownership Chart
October 2022



Seminole Hard Rock Digital, LLC

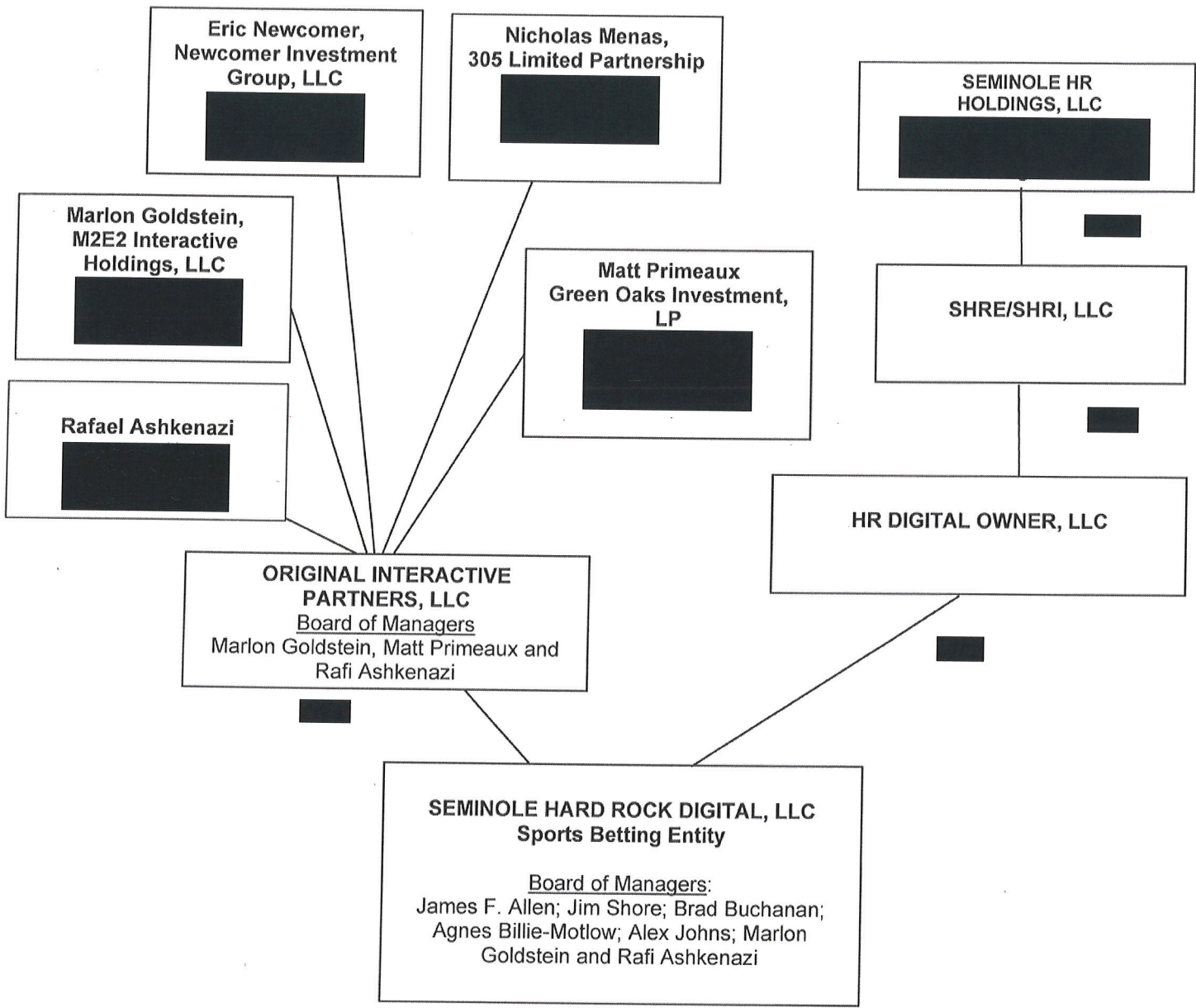
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Table of Ownership

SEMINOLE HARD ROCK DIGITAL, LLC

Ownership Chart
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Functional Table of Organization



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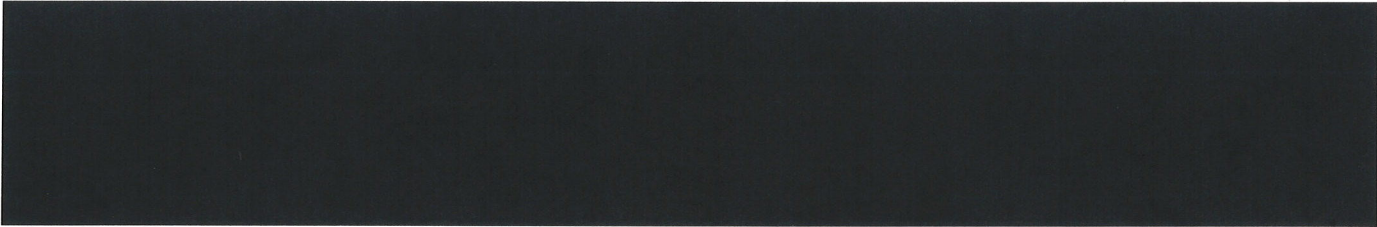


Marlon Goldstein
Executive
Managing Director



Rafi Ashkenazi
Executive
Managing Director

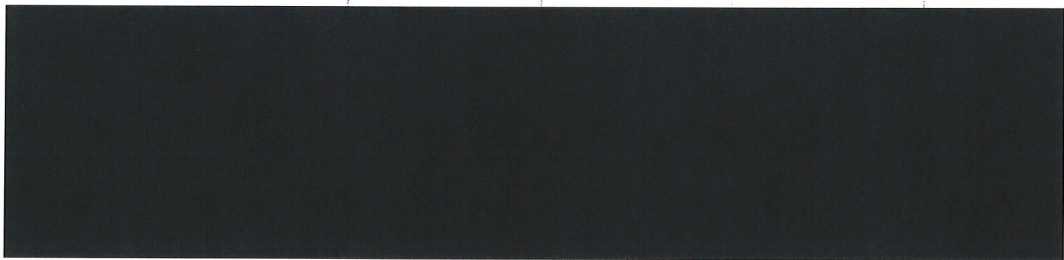
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Matt Primeaux
Executive
Managing Directo...

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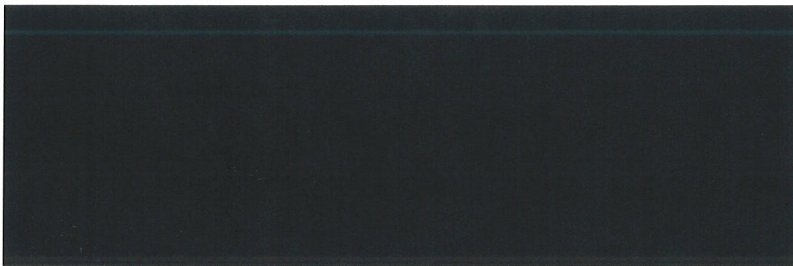
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Control

No individual owns 10 percent or more of the applicant. As to individuals with control over the application, they would be as follows:



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Institutional Investors

Not applicable.

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Licensing History – Last Three License

Please see complete license list attached.

Seminole Hard Rock Digital, LLC
License List as of August 22, 2022

NAME OF REGULATOR AND CONTACT DETAILS	JURISDICTION	DATES HELD	TYPE OF LICENSE	License, Permit, Approval, or Registration Number
New Jersey Casino Control Commission Arcade Building Tennessee Avenue & Boardwalk Atlantic City, NJ 08401	New Jersey, USA	Granted 12/17/2020	Transactional Waiver Approval	Transactional waiver granted (04/01/2021)
Seminole Gaming Authority	Seminole, Florida	Granted 08/11/2021	Vendor	21-13609
Virginia Lottery 600 East Main Street Richmond, VA 23219	Virginia, USA	Granted 3/31/2022 Expires: 03/30/2025	Gaming Supplier License	License Number: S-011
Indiana Gaming Commission 101 W. Washington Street, Suite 1600, East Tower Indianapolis, IN 46204	Indiana, USA	Granted 01/12/2022 Expires: 01/12/2023	Temporary Vendor License Mobile and Retail	Vendor License: MV- VEN-002-HRD [Temporary] [Mobile & Retail]
Arizona Department of Gaming 1110 W. Washington #450 Phoenix, AZ 85007	Arizona, USA	Granted 01/24/2022 Expires: 01/31/2024	Event Wagering Management Services Provider	PCA #EW2181
Tennessee Sports Wagering Advisory Council 500 James Robertson Parkway Nashville, TN 37243	Tennessee, USA	Filed on: 05/23/22 Granted: 07/27/2022 Expires: 07/26/2023	Sports Gaming Operator License	22-10017
Ohio Casino Control Commission 100 East Broad Street, 20th Floor Columbus, OH 43215	Ohio, USA	Filed on: 07/12/22 Pending	Mobile Management Services and Management Service Provider	Pending
Illinois Gaming Board 160 N. LaSalle - Suite 300 Chicago, IL 60601	Illinois, USA	Filed on: 08/16/2022 Pending	Management Services Provider	Pending

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Licensing Association

Not applicable.