



Sports Wagering Operator & Vendor Scope of Licensing – Initial Survey

SPORTS WAGERING LICENSE INFORMATION		
Seeking to apply as:	Category 1 Operator	Category 2 Operator
	Category 3 Operator	Vendor to Operator

APPLICANT INFORMATION & DESCRIPTION	
Company Name:	
D/B/A or Trade Name(s):	
Corporate HQ Address: <i>(Also include the address of any operation/s in Massachusetts)</i>	
Point-of-Contact for Licensing Process: <i>(Include NAME, POSITION, ADDRESS, PHONE, EMAIL)</i>	
Description of Business:	
Goods/Services you expect to provide in Massachusetts:	

APPLICANT ORGANIZATIONAL INFORMATION	
Applicant Company Type:	<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> LLC <input type="checkbox"/> Cooperative <input type="checkbox"/> C-Corporation <input type="checkbox"/> S-Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> SEC Registrant Other
Publicly Traded? :	<input type="checkbox"/> No Yes <i>(if "Yes", Stock exchange symbol and exchange)</i>
Applicant Financial Statements Type:	<input type="checkbox"/> Audited <input type="checkbox"/> Reviewed Consolidated <input type="checkbox"/> Internally Compiled Externally Compiled Supplemental <div style="text-align: center; margin-top: 5px;">Other</div>



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Applicant Tax Returns:	Stand-Alone	Consolidated <i>(with)</i>
Management Structure: <i>(Do you have any of the following?)</i>	Compliance Committee	Audit Committee
	Compliance Plan	Other(s)

ATTACHMENTS

PLEASE SUBMIT THE FOLLOWING INFORMATION

- TABLE of ORGANIZATION** including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).
- TABLE of OWNERSHIP INTERESTS** showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.
- FUNCTIONAL TABLE of ORGANIZATION** including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.
- CONTROL** – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.
- INSTITUTIONAL INVESTORS** – Provide a list of these investors along with their percentage of ownership.
- LICENSING HISTORY** – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.
- LICENSEE ASSOCIATION** – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.
- ON-SITE PERSONNEL (for Vendor only)** – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

**Attachment to Sports Wagering Operator & Vendor Scope of Licensing—Initial Survey
Massasoit Greyhound Association, Inc.**

TABLE of ORGANIZATION including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).

RESPONSE:

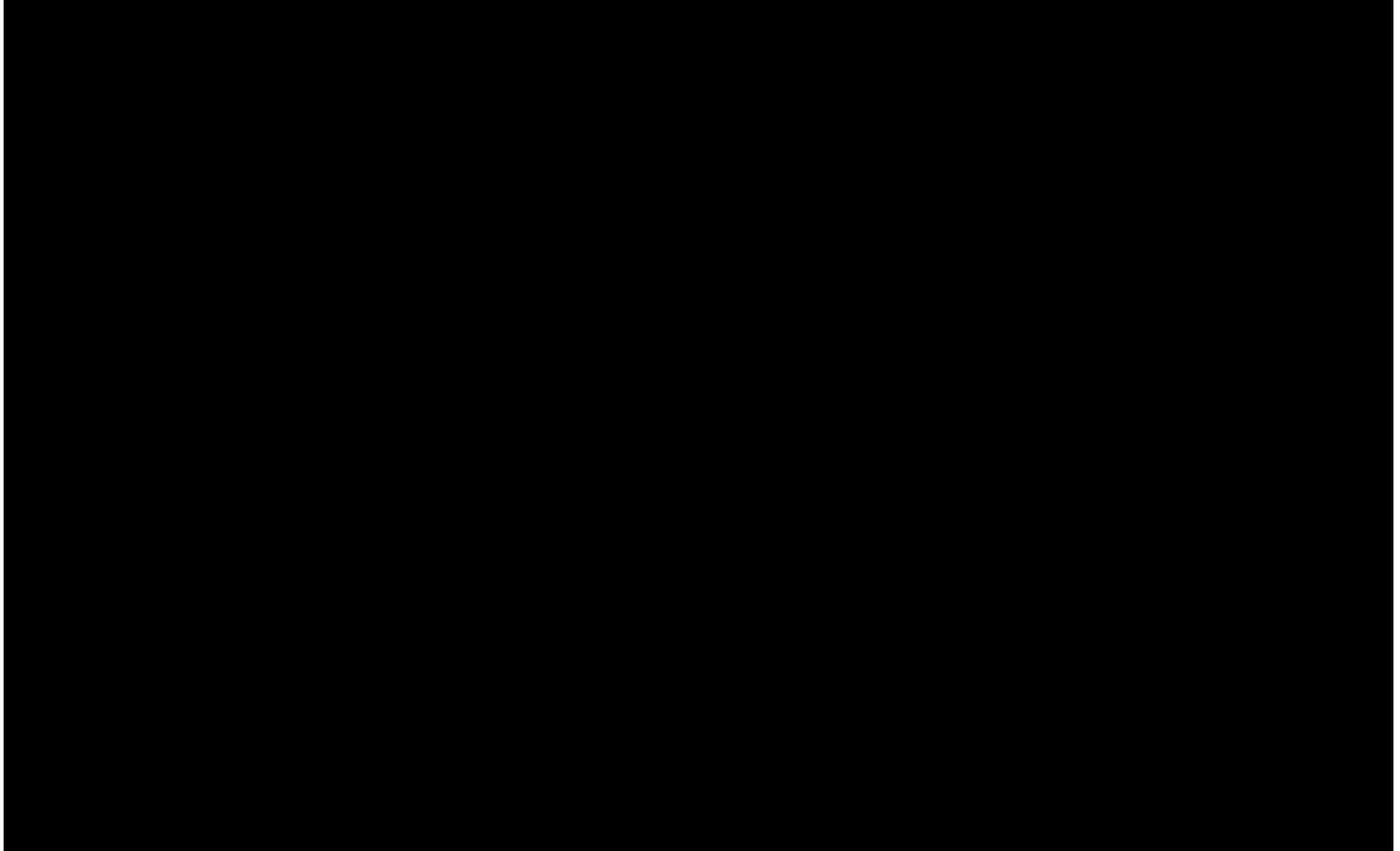


TABLE of OWNERSHIP INTERESTS showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.

RESPONSE:



¹ George L. Carney, Jr. was previously found suitable by the Commission in 2013 as a qualifier of Mass Gaming & Entertainment, LLC.

[REDACTED]

FUNCTIONAL TABLE of ORGANIZATION including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.

RESPONSE:

MGA

George L. Carney, Jr. is President, Secretary and Treasurer of MGA, and George L. Carney, Jr. and Joseph A. Cappucci are the two members of the Board of Directors. Joseph A. Cappucci is also the Chief Financial Officer of MGA.

CONTROL – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.

RESPONSE:

[REDACTED]

INSTITUTIONAL INVESTORS – Provide a list of these investors along with their percentage of ownership.

RESPONSE:

None.

LICENSING HISTORY – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.

RESPONSE:

None.

Confidential/Competitively Sensitive

LICENSEE ASSOCIATION – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.

RESPONSE:

Raynham Park is operated under two simulcasting licenses (this was done to be able to operate the facility year-round rather than just 6 months of the year). One license is held by MGA, and the other is held by Taunton Dog Track, Inc., a Massachusetts corporation (“TDT”). MGA and TDT currently have a revenue-sharing agreement pursuant to which MGA compensates TDT for the use of its simulcasting license, and TDT compensates MGA for management of its simulcasting operations. As TDT is owned by the same ultimate beneficial owners as MGA, this intercompany agreement was entered into principally for internal accounting purposes.²

[REDACTED]

ON-SITE PERSONNEL (for Vendor only) – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

RESPONSE:

N/A

² TDT is not an applicant for a Category 2 Sports Wagering License.