



Sports Wagering Operator & Vendor Scope of Licensing – Initial Survey

Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms.*

SPORTS WAGERING LICENSE INFORMATION	
Seeking to apply as:	<input type="checkbox"/> Category 1 Operator <input type="checkbox"/> Category 2 Operator <input checked="" type="checkbox"/> Category 3 Operator <input type="checkbox"/> Vendor to Operator

APPLICANT INFORMATION & DESCRIPTION	
Company Name:	Mohegan Digital, LLC
D/B/A or Trade Name(s):	
Corporate HQ Address: <i>(Also include the address of any operation/s in Massachusetts)</i>	1 Mohegan Sun Blvd. Uncasville, CT 06382
Point-of-Contact for Licensing Process: <i>(Include NAME, POSITION, ADDRESS, PHONE, EMAIL)</i>	Richard Roberts President Digital Gaming 1 Mohegan Sun Blvd. Uncasville, CT 06382
Description of Business:	Mohegan Digital, LLC is wholly owned by the Mohegan Tribe of Indians of Connecticut, authorized to conduct retail sports wagering, Internet sports wagering and Internet casino gaming.
Goods/Services you expect to provide in Massachusetts:	Mohegan Digital, LLC holds a Master Wagering Licensing to Operate retail sports wagering, mobile sports wagering, and iGaming in the state of Connecticut. Mohegan Digital, LLC would be seeking a Category Three license as a mobile operator in the Commonwealth of Massachusetts.

APPLICANT ORGANIZATIONAL INFORMATION	
Applicant Company Type:	<input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> LLC <input type="checkbox"/> Cooperative <input type="checkbox"/> C-Corporation <input type="checkbox"/> S-Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> SEC Registrant <input type="checkbox"/> Other
Publicly Traded? :	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <i>(if "Yes", Stock exchange symbol and exchange)</i>
Applicant Financial Statements Type:	<input type="checkbox"/> Audited <input type="checkbox"/> Reviewed <input checked="" type="checkbox"/> Consolidated <input type="checkbox"/> Internally Compiled <input type="checkbox"/> Externally Compiled <input type="checkbox"/> Supplemental <input type="checkbox"/> Other



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Applicant Tax Returns:	<input type="checkbox"/> Stand-Alone <input type="checkbox"/> Consolidated (<i>with</i>)
Does Not Apply - is a LLC organized under Tribal Law Disregarded Entity.	
Management Structure: <i>(Do you have any of the following?)</i>	<input checked="" type="checkbox"/> Compliance Committee <input checked="" type="checkbox"/> Audit Committee <input checked="" type="checkbox"/> Compliance Plan <input type="checkbox"/> Other(s)

Mohegan Digital, LLC is part of the Corporate Structure.

ATTACHMENTS

PLEASE SUBMIT THE FOLLOWING INFORMATION

- TABLE of ORGANIZATION** including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).

Please see attached Organization Chart
- TABLE of OWNERSHIP INTERESTS** showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.

Please see attached Articles of Organization
- FUNCTIONAL TABLE of ORGANIZATION** including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.

Please see attached Organization Chart
- CONTROL** – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.

Please see attached Articles of Organization
- INSTITUTIONAL INVESTORS** – Provide a list of these investors along with their percentage of ownership.

DOES NOT APPLY
- LICENSING HISTORY** – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.

Please see attached License History
- LICENSEE ASSOCIATION** – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.

DOES NOT APPLY
- ON-SITE PERSONNEL (for Vendor only)** – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

DOES NOT APPLY

*Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms, which consist of the following:

- Application for Category 1, 2, & 3 Sports Wagering Operator License;
- For designated entity qualifiers, Business Entity Disclosure Form;
- For designated individual qualifiers, Multi-jurisdictional Personal History Form; and
- For designated individual qualifiers, Massachusetts Supplemental Form.

Failure to submit this Survey by October 17, 2022, shall result in the Commission deeming the application incomplete and administratively closed unless the Commission authorizes an extension of time pursuant to 205 CMR 211.01(10).

MOHEGAN DIGITAL, LLC
Organizational Chart

(b) (4)






[Redacted organizational chart content]



[Redacted organizational chart content]

**ARTICLES OF ORGANIZATION
DOMESTIC LIMITED LIABILITY COMPANY**

Commercial Recording Division
The Mohegan Tribe of Indians of Connecticut
13 Crow Hill Road Uncasville, CT 06382

1. NAME OF LIMITED LIABILITY COMPANY: Mohegan Digital, LLC			
2. NATURE OF BUSINESS TO BE TRANSACTED OR PURPOSE TO BE PROMOTED OR CARRIED OUT: To engage in any act or activity for which limited liability companies may be formed under the laws of The Mohegan Tribe of Indians of Connecticut, as amended from time to time, including, without limitation, to invest in, own, consult with, and/or conduct online gaming operations.			
3. PRINCIPAL OFFICE ADDRESS: (Provide complete address) 1 Mohegan Sun Boulevard, Uncasville, CT 06382			
4. APPOINTMENT OF STATUTORY AGENT FOR SERVICE OF PROCESS:			
Name of Agent: David A. Rome		Business address: (P.O. Box is not acceptable) 1 Mohegan Sun Blvd. Uncasville, CT 06382	
		Residence Address: (P.O. Box is not acceptable) (b) (4) [REDACTED]	
Acceptance of Appointment  Signature of Agent			
5. MANAGEMENT: (Place a check mark next to the following statement only if it applies) <input checked="" type="checkbox"/> The Management of the limited liability company shall be vested in one or more managers.			
6. MANAGER OR MEMBER INFORMATION			
Name	Title	Residence Address	Business Address
Mohegan Tribal Gaming Authority	Sole Member	1 Mohegan Sun Blvd. Uncasville, CT 06382	1 Mohegan Sun Blvd. Uncasville, CT 06382
7. EXECUTION			
Dated this 25 th day of June, 2021.			
R. James Gessner, Jr., Chairman Print or type name of organizer		 Signature	
Space for Use of Commercial Recording Division only This is to certify that The attached document was Received for record on <u>June 25, 2021 at 12:59 p.m.</u> COMMERCIAL RECORDING DIVISION Attest:  Clerk			Official Seal

REFERENCE AN 8.5" X 11" ATTACHMENT IF ADDITIONAL SPACE IS REQUIRED

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**UNANIMOUS WRITTEN CONSENT
OF THE MANAGERS OF MOHEGAN DIGITAL, LLC**

The undersigned, comprising all of the Managers of Mohegan Digital, LLC (the "Company"), hereby consent to and approve the matters and actions set forth below without the necessity of calling to order a meeting of Managers of the Company:

1. (b) (4) [REDACTED]

3. This written action may be executed in one or more counterparts and signatures submitted via facsimile or portable document format (".pdf") shall be deemed to have the same force and effect as an original signature.

 
R. James Gressner, Jr. Raymond Pineault

Dated: July 16, 2021

**MOHEGAN DIGITAL,LLC
LICENSE HISTORY**

JURISDICTIONS / ENTITY LICENSE

State/Country	Entity	Regulatory Agency	Filing Date	License	Status
Connecticut / USA	Mohegan Digital, LLC	Connecticut Department of Consumer Protection - Gaming Division	7/13/2021	Master Wagering License MW.0000004	Granted / Active