



Sports Wagering Operator & Vendor Scope of Licensing – Initial Survey

Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms.*

SPORTS WAGERING LICENSE INFORMATION	
Seeking to apply as:	<input type="checkbox"/> Category 1 Operator <input type="checkbox"/> Category 2 Operator <input checked="" type="checkbox"/> Category 3 Operator <input type="checkbox"/> Vendor to Operator

APPLICANT INFORMATION & DESCRIPTION	
Company Name:	CG Trading, Inc. (subsidiary CG Mass., LLC to be formed)
D/B/A or Trade Name(s):	"Carousel Group" ("MaximBet")
Corporate HQ Address: <i>(Also include the address of any operation/s in Massachusetts)</i>	CG Trading, Inc. 221 River Street, 9th Floor Hoboken, New Jersey 07030 (201) 500-2011
Point-of-Contact for Licensing Process: <i>(Include NAME, POSITION, ADDRESS, PHONE, EMAIL)</i>	Trish Wheaton Director of Compliance (506) 381-2173 Trish.Wheaton@carouselgroup.net
Description of Business:	MaximBet, an online/mobile sportsbook
Goods/Services you expect to provide in Massachusetts:	Offer eligible patrons an interactive sports wagering platform through an online/mobile application

APPLICANT ORGANIZATIONAL INFORMATION	
Applicant Company Type:	<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> LLC <input type="checkbox"/> Cooperative <input checked="" type="checkbox"/> C-Corporation <input type="checkbox"/> S-Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> SEC Registrant <input type="checkbox"/> Other
Publicly Traded? :	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <i>(if "Yes", Stock exchange symbol and exchange)</i>
Applicant Financial Statements Type:	<input checked="" type="checkbox"/> Audited <input type="checkbox"/> Reviewed <input checked="" type="checkbox"/> Consolidated <input type="checkbox"/> Internally Compiled <input type="checkbox"/> Externally Compiled <input type="checkbox"/> Supplemental <input type="checkbox"/> Other



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Applicant Tax Returns:	<input type="checkbox"/> Stand-Alone <input checked="" type="checkbox"/> Consolidated <i>(with)</i> <div style="text-align: center;">CG USA Holding Inc.</div>
Management Structure: <i>(Do you have any of the following?)</i>	<input type="checkbox"/> Compliance Committee <input type="checkbox"/> Audit Committee <input checked="" type="checkbox"/> Compliance Plan <input type="checkbox"/> Other(s)

ATTACHMENTS

PLEASE SUBMIT THE FOLLOWING INFORMATION

- TABLE of ORGANIZATION** including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).
- TABLE of OWNERSHIP INTERESTS** showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.
- FUNCTIONAL TABLE of ORGANIZATION** including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.
- CONTROL** – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.
- INSTITUTIONAL INVESTORS** – Provide a list of these investors along with their percentage of ownership.
- LICENSING HISTORY** – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.
- LICENSEE ASSOCIATION** – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.
- ON-SITE PERSONNEL (for Vendor only)** – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

**Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms, which consist of the following:*

- Application for Category 1, 2, & 3 Sports Wagering Operator License;
- For designated entity qualifiers, Business Entity Disclosure Form;
- For designated individual qualifiers, Multi-jurisdictional Personal History Form; and
- For designated individual qualifiers, Massachusetts Supplemental Form.

Failure to submit this Survey by October 17, 2022, shall result in the Commission deeming the application incomplete and administratively closed unless the Commission authorizes an extension of time pursuant to 205 CMR 211.01(10).



Recent Licensing History

1. Indiana Gaming Commission via CG Indiana LLC - Temporary Vendor License (CRSI-VEN-001-MAXIMBET from April 27, 2022 - April; 27, 2023)
2. Colorado - Colorado Department of Revenue via CG Colorado LLC - Temporary Internet Sports Betting Operator (94342204B- FROM 04/30/2020 - 04/30/2024).
3. Malta - Malta Gaming Authority via Carranza Trading Limited - Type 1 and Type 2 Gaming Services License (MGA/B2C/674/2019 FROM 2019 - PRESENT)



Licensee Association

MaximBet Market Access Agreements:

1. **Colorado** (Johnny Nolon's Casino - Minter Holdings LLC)
2. **Indiana** (Caesars Entertainment - Hoosier Park, LLC)
3. **Iowa** (Caesars Entertainment - Isle of Capri Bettendorf, L.C.)
4. **Iowa** (Wild Rose Casino & Resort - Wild Rose Entertainment LLC)
5. **Ohio** (Jack Entertainment - Jack Ohio Finance LLC)
6. **New Jersey** (Caesars Entertainment - Caesars Interactive Entertainment New Jersey, LLC)
7. **Pennsylvania** (Caesars Entertainment - Chester Downs and Marina, LLC)
8. **Louisiana** (Caesars Entertainment - Jazz Casino Company, L.L.C.)