



Sports Wagering Operator & Vendor Scope of Licensing – Initial Survey

Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms.*

SPORTS WAGERING LICENSE INFORMATION			
Seeking to apply as:	Category 1 Operator	Category 2 Operator	
	Category 3 Operator	Vendor to Operator	

APPLICANT INFORMATION & DESCRIPTION	
Company Name:	
D/B/A or Trade Name(s):	
Corporate HQ Address: <i>(Also include the address of any operation/s in Massachusetts)</i>	
Point-of-Contact for Licensing Process: <i>(Include NAME, POSITION, ADDRESS, PHONE, EMAIL)</i>	
Description of Business:	
Goods/Services you expect to provide in Massachusetts:	

APPLICANT ORGANIZATIONAL INFORMATION	
Applicant Company Type:	<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> LLC <input type="checkbox"/> Cooperative <input type="checkbox"/> C-Corporation <input type="checkbox"/> S-Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> SEC Registrant Other
Publicly Traded? :	<input type="checkbox"/> No Yes <i>(if "Yes", Stock exchange symbol and exchange)</i>
Applicant Financial Statements Type:	<input type="checkbox"/> Audited <input type="checkbox"/> Reviewed Consolidated <input type="checkbox"/> Internally Compiled Externally Compiled Supplemental <div style="text-align: center; margin-top: 5px;">Other</div>



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Applicant Tax Returns:	Stand-Alone	Consolidated <i>(with)</i>
Management Structure: <i>(Do you have any of the following?)</i>	Compliance Committee	Audit Committee
	Compliance Plan	Other(s)

ATTACHMENTS

PLEASE SUBMIT THE FOLLOWING INFORMATION

- TABLE of ORGANIZATION** including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).
- TABLE of OWNERSHIP INTERESTS** showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.
- FUNCTIONAL TABLE of ORGANIZATION** including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.
- CONTROL** – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.
- INSTITUTIONAL INVESTORS** – Provide a list of these investors along with their percentage of ownership.
- LICENSING HISTORY** – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.
- LICENSEE ASSOCIATION** – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.
- ON-SITE PERSONNEL (for Vendor only)** – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

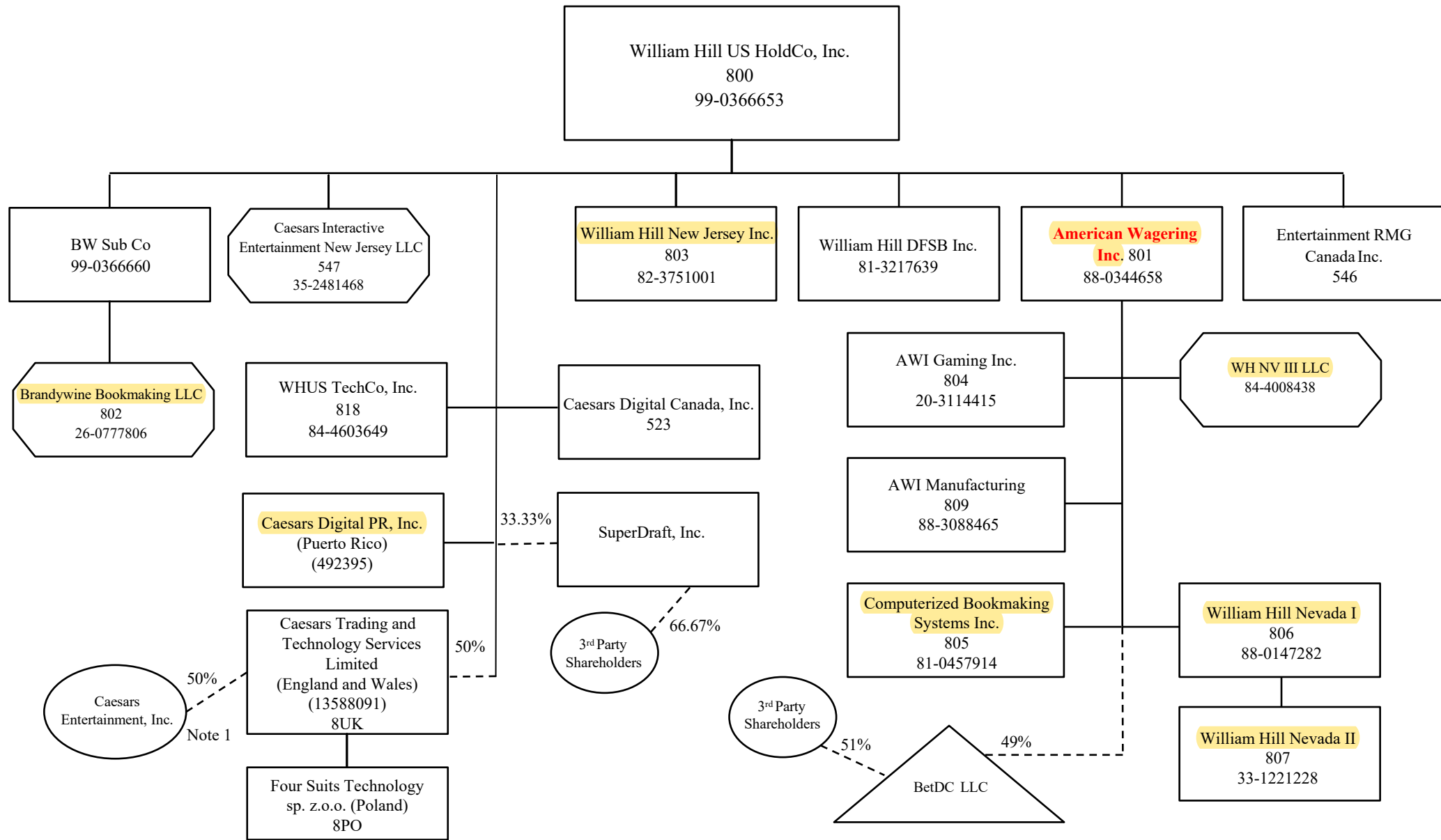
*Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms, which consist of the following:

- Application for Category 1, 2, & 3 Sports Wagering Operator License;
- For designated entity qualifiers, Business Entity Disclosure Form;
- For designated individual qualifiers, Multi-jurisdictional Personal History Form; and
- For designated individual qualifiers, Massachusetts Supplemental Form.

Failure to submit this Survey by October 17, 2022, shall result in the Commission deeming the application incomplete and administratively closed unless the Commission authorizes an extension of time pursuant to 205 CMR 211.01(10).

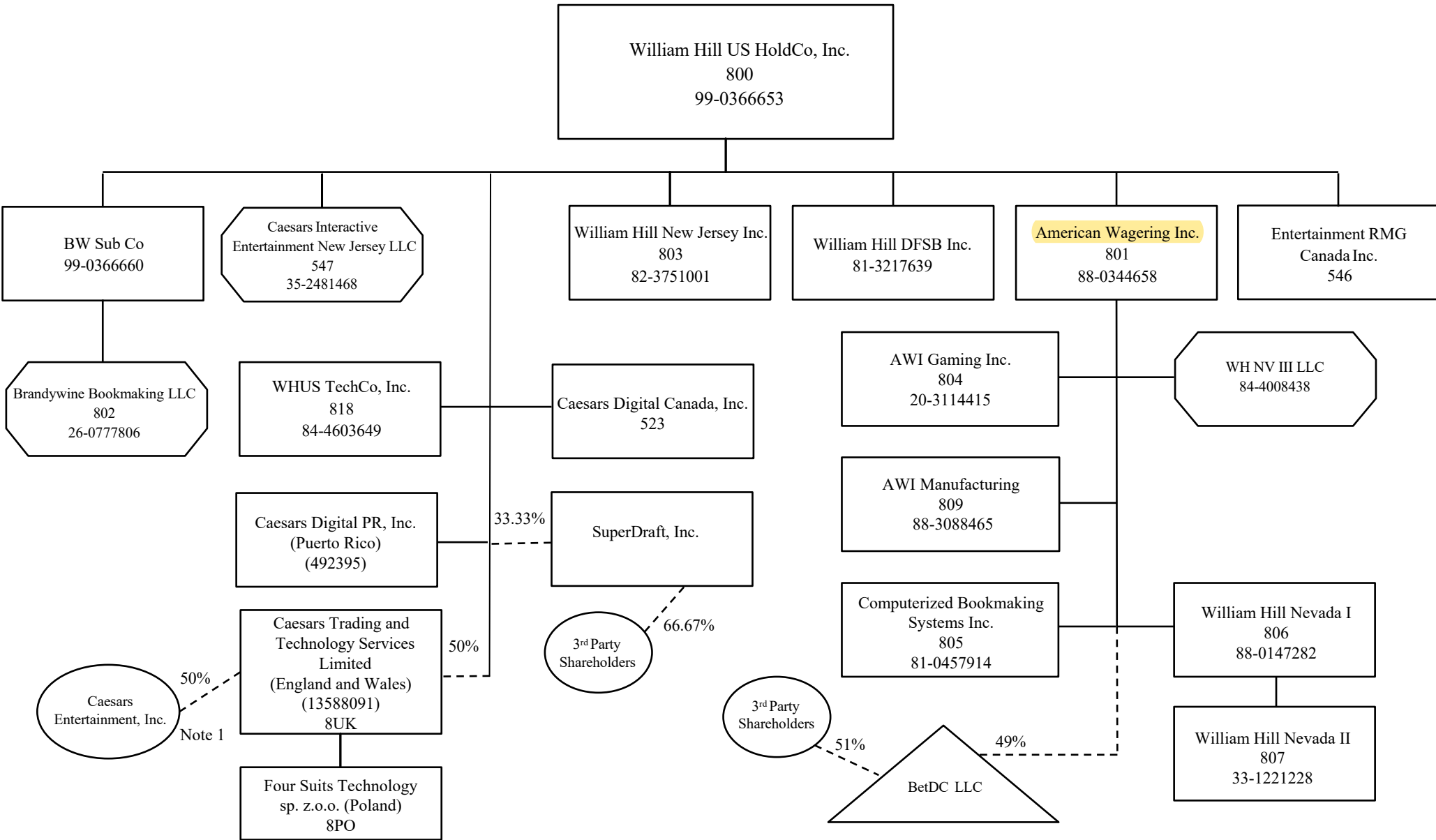
*Highlighted entities are sports wagering-related

CAESARS ENTERTAINMENT, INC. - publicly traded on the Nasdaq - "CZR"



Note 1: Caesars Entertainment, Inc. and William Hill US Holdco Inc. each have a 50% interest in Caesars Trading and Technology Services Limited. (See page 2 for the Caesars Entertainment, Inc. structure)

CAESARS ENTERTAINMENT, INC.
 (100% owner of William Hill US HoldCo, Inc.)

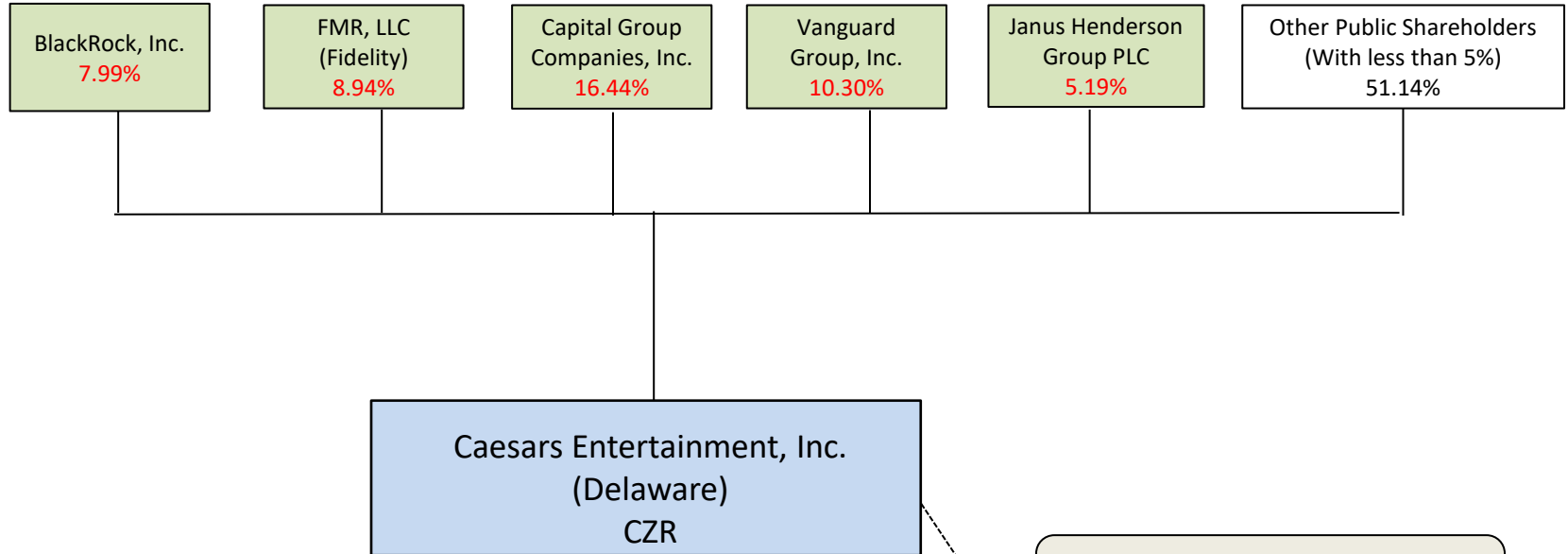


Note 1: Caesars Entertainment, Inc. and William Hill US Holdco Inc. each have a 50% interest in Caesars Trading and Technology Services Limited. (See page 2 for the Caesars Entertainment, Inc. structure)

Caesars Entertainment, Inc.

Public Institutional Investors

As of 6/30/2022*



Board of Directors:

Gary Carano, Executive Chairman	
Don R. Kornstein, Vice Chairman	
Thomas Reeg	Courtney Mather
Bonnie Biumi	Michael Pegram
Janis Jones-Blackhurst	Frank Fahrenkopf
David Tomick	

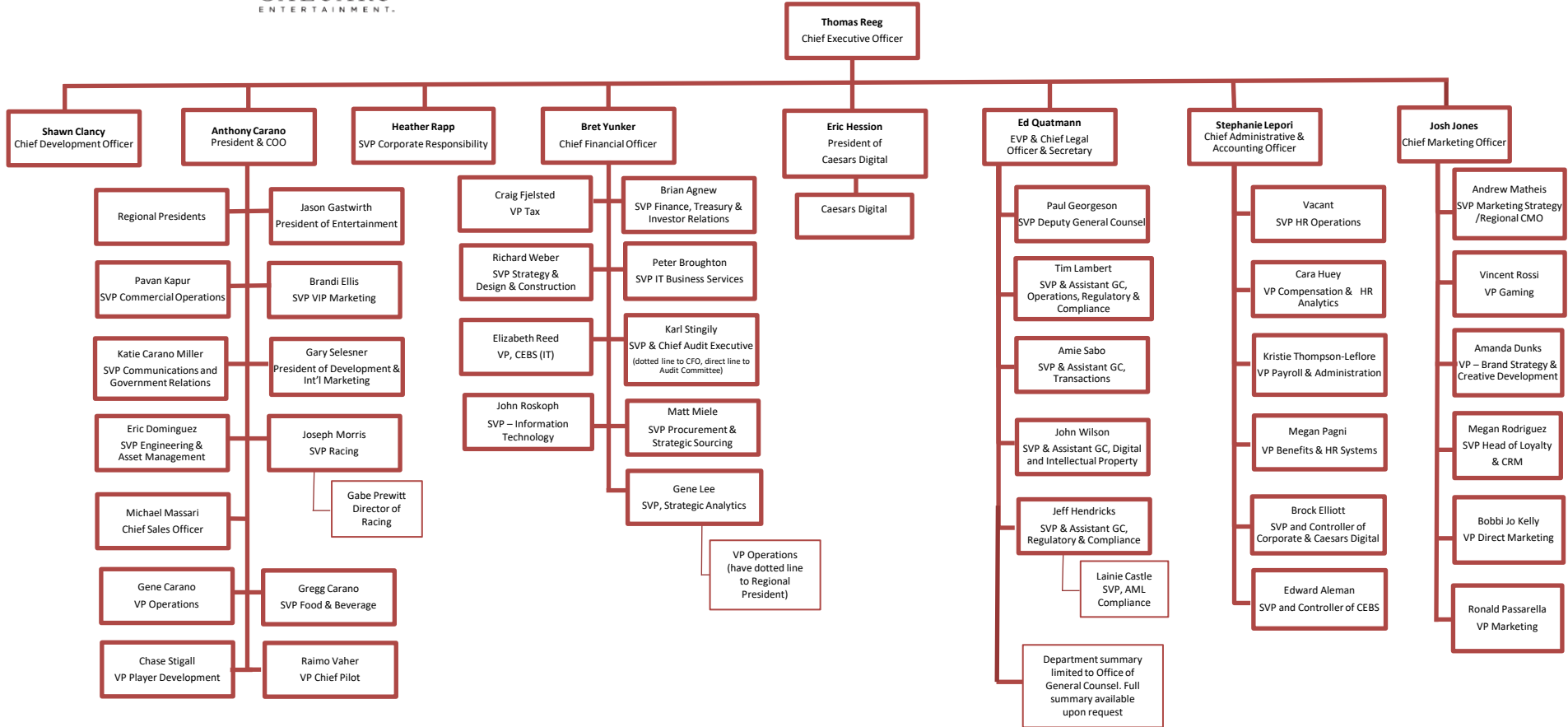
- Institutional Investors > 5% and < 15%
- Key Business Entities currently licensed or becoming licensed by various regulatory bodies

*Note: At the time of this submission, Share reporting is based on the most recent SEC Forms (13D's, 13F's and 13G's) filed through August 16, 2022, for the quarter ended June 30, 2022; and based on shares outstanding of 214,366,139 (as reflected on the latest SEC 10Q). All 13F's are due in mid-August and total Common Shares Outstanding net of Treasury Stock as of June 30, 2022, was 214,416,918 so numbers are subject to change. Values in red reflect estimated common shares only.



CAESARS ENTERTAINMENT, INC.

Corporate Leadership

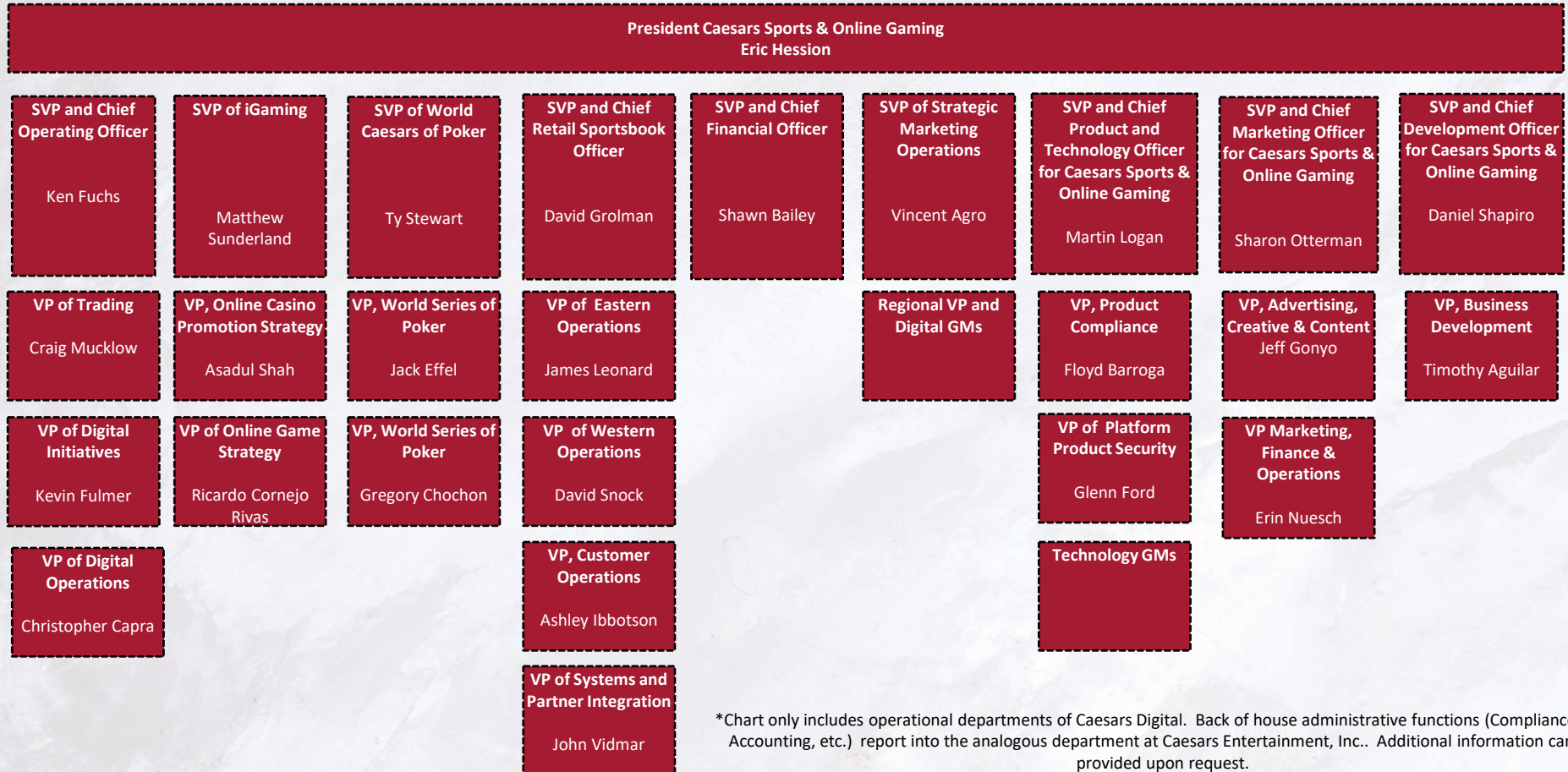


Executive Associates:

- Jessica Rosman, Executive Associate to CFO & SVP of Corporate Operations
- Kiersten Flint, Vice President & Executive Associate to the Chief Administrative and Accounting Officer
- Paul Cripe, Vice President and Executive Associate to the President & Chief Operating Officer

General Note: This organizational chart lists the senior management of the company. The positions listed above include additional direct reports not reflected herein specific to each position's business line. Additional information on any business line can be provided upon request.

Caesars Digital Senior Management Team



*Chart only includes operational departments of Caesars Digital. Back of house administrative functions (Compliance, HR, Accounting, etc.) report into the analogous department at Caesars Entertainment, Inc.. Additional information can be provided upon request.

Officers/Directors of American Wagering, Inc., William Hill U.S. HoldCo, Inc., and Caesars Entertainment, Inc.

American Wagering, Inc. (Business Entity)

<u>Owners / Executive Structure</u>	<u>Titles / Positions</u>	<u>Ownership</u>
Thomas R. Reeg	Director/ Chief Executive Officer	0%
Anthony L. Carano	Director/ President/ Chief Operating Officer	0%
Edmund L. Quatmann, Jr.	Executive Vice President/ Chief Legal Officer/ Secretary	0%
Bret D. Yunker	Chief Financial Officer/ Treasurer	0%
William Hill U.S. HoldCo, Inc.	Sole Shareholder	100.00%

^

William Hill U.S. HoldCo, Inc. (holding company)

<u>Owners / Executive Structure</u>	<u>Titles / Positions</u>	<u>Ownership</u>
Thomas R. Reeg	Director/ Chief Executive Officer	0%
Anthony L. Carano	Director/ President/ Chief Operating Officer	0%
Edmund L. Quatmann, Jr.	Executive Vice President/ Chief Legal Officer/ Secretary	0%
Bret D. Yunker	Chief Financial Officer/ Treasurer	0%
Caesars Entertainment, Inc.	Sole Shareholder	100.00%

^

Caesars Entertainment, Inc. (publicly traded parent company)

<u>Owners / Executive Structure</u>	<u>Titles / Positions</u>	<u>Ownership</u>
Gary L. Carano	Director/Executive Chairman	*
Thomas R. Reeg	Director/ Chief Executive Officer	
Frank J. Fahrenkopf, Jr.	Director	
Jan Jones Blackhurst	Director	
Michael E. Pegram	Director	
David P. Tomick	Director	
Don Kornstein	Director, Vice-Chair	
Bonnie S. Biumi	Director	
Courtney Mather	Director	
Anthony L. Carano	President/ Chief Operating Officer	
Bret D. Yunker	Chief Financial Officer	
Josh Jones	Chief Marketing Officer	
Edmund L. Quatmann, Jr.	EVP/ Chief Legal Officer/ Secretary	
Stephanie D. Lepori	Chief Accounting Officer/ Chief Administrative Officer	

* The percentages of stock owned by Officers and Directors varies from time to time, but none of them own over 5% of CZR stock.

Control

List (1) individuals and entities who own 10 percent or more of the applicant;

Response: The applicant, American Wagering, Inc., is 100% held by William Hill U.S. HoldCo, Inc., which is 100% held by Caesars Entertainment, Inc., a publicly traded company on the Nasdaq (“CZR”).

List (2) individuals and entities who have the ability to control the activities of the applicant;

Response: The applicant’s Officers and Directors, along with its ultimate parent company, Caesars Entertainment, Inc. have the ability to control its activities. The following individuals are the applicant’s Officer and Directors:

- Thomas Reeg – Director / Chief Executive Officer
- Anthony Carano – Director/President/Chief Operating Officer
- Edmund Quatmann, Jr. – Executive Vice President / Chief Legal Officer / Secretary
- Bret Yunker – Chief Financial Officer / Treasurer

List (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.

In addition to the individuals listed in (2) above, please find below list of individuals responsive to this item:

- Eric Hession – President, Caesars Sports & Online Gaming
- Kenneth Fuchs – SVP and Chief Operating Officer
- Shawn Bailey – SVP and Chief Financial Officer
- Vincent Agro – SVP of Strategic Marketing Operations
- Martin Logan – SVP and Chief Product and Technology Officer
- Sharon Otterman – SVP and Chief Marketing Officer

Institutional Investors

Provide a list of institutional investors along with their percentage of ownership.

Response: The applicant, American Wagering, Inc., is 100% held by William Hill U.S. HoldCo, Inc., which is 100% held by Caesars Entertainment, Inc., a publicly traded company on the Nasdaq (“CZR”).

Please see below list of institutional in institutional investors in Caesars Entertainment, Inc. as of 6/30/2022:

Caesars Entertainment, Inc. (publicly traded parent company)

<u>Institutional Investor</u>	<u>Ownership</u>
Janus Henderson Group PLC	5.19%
BlackRock, Inc.	7.99%
FMR, LLC (Fidelity)	8.94%
Vanguard Group, Inc.	10.3%
Capital Group Companies, Inc.	16.44%

Licensing History

List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.

Response: Please see below list of jurisdictions in which American Wagering, Inc. was licensed for sports wagering operations in the last year. Note that Ohio was recently granted, but sports wagering is not launching in that jurisdiction until 1/1/2023.

Ohio Casino Control Commission – Mobile Management Services Provider License and Management Services Provider License; issued 10/5/2022.

Kansas Racing and Gaming Commission – Sports Wagering Provisional Certification; issued 8/24/2022.

Wyoming Gaming Commission – Online Sports Wagering Operator permit; issued 5/5/2022.

Alcohol and Gaming Commission of Ontario – Certificate of Registration – Supplier, Class: Operator; issued 4/3/2022.

Washington State Gambling Commission – Sports Wagering Operator; issued 12/10/2021.

New York State Gaming Commission – Mobile Sports Wagering Platform Provider and Operator; issued 11/8/2021.

Louisiana Gaming Control Board – Sports Wagering Platform Provider; issued 10/31/2021.

Licensee Association

List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.

