



Effective May 20, 2012, all Massachusetts State Racing Commission functions were transferred to the Massachusetts Gaming Commission, pursuant to Section 89 of Chapter 194 of the Acts of 2011. The Massachusetts State Racing Commission ceased to exist from that point forward. This report, covering the period of calendar year 2011, is submitted by the Massachusetts Gaming Commission on behalf of the Massachusetts State Racing Commission.



Massachusetts Gaming Commission

THE COMMONWEALTH OF MASSACHUSETTS

Deval L. Patrick, Governor
Timothy P. Murray, Lieutenant Governor

EXECUTIVE OFFICE OF HOUSING AND ECONOMIC DEVELOPMENT

Gregory Bialecki, Secretary

OFFICE OF CONSUMER AFFAIRS AND BUSINESS REGULATION

Barbara Anthony, Undersecretary

DIVISION OF PROFESSIONAL LICENSURE

Mark R. Kmetz, Director

MASSACHUSETTS STATE RACING COMMISSION

Joseph Van Deventer, Chairman¹
Terry P. Segal, Associate Commissioner
Robert J. Furlong, Associate Commissioner

SEVENTY-SEVENTH ANNUAL REPORT

**YEAR ENDING
DECEMBER 31, 2011**

¹ Joseph Van Deventer retired on October 18, 2011

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MASSACHUSETTS STATE RACING COMMISSION

INTRODUCTION

The mission of the Massachusetts State Racing Commission is to ensure the integrity of Racing. The Commission maintains fair and honest pari-mutuel racing by enforcing rules and regulations, proposing legislation and developing policies. Furthermore, it ensures the legitimate performance of all racing animals and the accountability of pari-mutuel wagering.

COMMISSION OVERVIEW

The Massachusetts State Racing Commission is a state regulatory agency created by an act of the General Court in 1934.

The State Racing Commission, pursuant to Chapter 4 of the Acts of 2009, was transferred to the Division of Professional Licensure, being established as a division within the Division of Professional Licensure. Said change took effect on January 1, 2010. As a result of the transfer, section 48 of chapter 6 of the Massachusetts General Laws states:

The commission shall consist of three commissioners, a chairman and two associate commissioners, all to be appointed by the governor and serve coterminous with him. Not more than two of such commissioners shall be of the same political party. The day-to-day operations and general administration of the commission, including all administrative functions of the commission and all actions not expressly required by statute or regulation to be carried out by the commission itself, shall, at the direction and under the control of the director of the division of professional licensure, be under the supervision of an executive director, who shall be appointed by the director of the division of professional licensure with the approval of the director of consumer affairs and business regulation. The executive director shall devote his full time during business hours to his duties hereunder. The director of the division of professional licensure may employ, as employees of the division of professional licensure, such other persons, in addition to the aforementioned executive director, as the director of the division of professional licensure may determine to be necessary to carry out such day-to-day operations and general administration of the commission.

Pursuant to Section 89 of Chapter 194 of the Acts of 2011, effective May 20, 2012, all SRC functions transfer to the new Massachusetts Gaming Commission (MGC). The SRC will cease to exist from that point forward.

SRC OPERATIONS

In 2011, the Racing Commission regulated one thoroughbred track at Suffolk Downs, one harness track at Plainridge and two simulcasting facilities. One of the simulcasting facilities is located at the former greyhound track in Raynham/Taunton² and the other is located at Suffolk Downs (formerly Wonderland). The 2011 total handle (total dollars bet) was \$291,492,333 a decrease from the calendar year 2010 (\$348,283,061) total handle of \$56,790,728. As a result of Chapter 388 of the Acts of 2008, live racing of greyhounds ceased at the conclusion of 2009. Simulcasting and betting on greyhound races outside of the Commonwealth is still being conducted.

The day-to-day operations and general administration of the Commission, including all administrative functions of the Commission and all actions not expressly required by statute or regulation to be carried out by the Commission itself, shall, at the direction and under the control of the Director of the Division of Professional Licensure, be under the supervision of an executive director, who shall be appointed by the Director of the Division of Professional Licensure with the approval of the Director of Consumer Affairs and Business Regulation. This includes, but is not limited to, all budget and personnel activities for the agency. These efforts are supported by the following operating sections of the Commission: Administrative Office, Racing Commission Inspectors, Accountants, Laboratory Personnel, Veterinarians, Judges/Stewards and State Police Investigators. This report reviews each of these areas and the business they conduct.

To ensure fair and honest pari-mutuel racing, the Commission promulgates and enforces rules and regulations, proposes legislation and develops policies to better regulate the Racing Industry. Further, it is responsible for ensuring the legitimate performance of all racing animals, the well-being and safety of the participants and the integrity of pari-mutuel wagering. It is estimated that the industry directly and indirectly employs over 5,000 people within the Commonwealth.

DPL OVERVIEW

The Division of Professional Licensure is one of five regulatory agencies under the jurisdiction of the Office of Consumer Affairs and Business Regulation. It is responsible for ensuring the integrity of the licensure process of some 50 trades and professions regulated by 31 Boards of Registration, as well as the operation of the State Racing Commission.

² Raynham/Taunton is also referred to as Massasoit Greyhound Association.

ADMINISTRATIVE OFFICE

Executive Director

Vacant

Acting Executive Director

Richard J. Mudarri³

Director of Racing

Alexandra Lightbown

Auditor/Chief Financial Officer

Richard J. Mudarri

Chief State Veterinarian

Alexandra Lightbown, D.V.M.

Auditor III

Marta M. Ferreira

Program Coordinator II

John E. Hill, Jr.

³ Richard J. Mudarri retired April 28, 2011.

LICENSING

One of the Commission's foremost responsibilities is the issuance of licenses to Associations who operate racetracks as well as the issuance of occupational licenses to every person who participates in racing.

2,999 APPLICATIONS FOR LICENSURE PROCESSED IN 2011

The licensing process requires that every person who participates in racing complete an application, and that all questions must be answered truthfully. The application is reviewed for completeness by Commission Inspectors who then forward the application to the Massachusetts State Police Racing Commission Unit, who conduct a background check of the applicant. Once the background check is completed, the application is sent to the Board of Stewards/Judges at each track. The Board reviews the application and may interview the applicant. The Stewards/Judges determine if the applicant has the required integrity, ability, and the eligibility for the license for which the applicant has applied. The Commission also has direct computer access to the Association of Racing Commissioners' International (ARCI) files in Lexington, Kentucky. These files maintain a record of every racing related offense attributed to an applicant anywhere in the country. The Commission provides reciprocity to other jurisdictions and their licensing decisions.

If the Stewards/Judges recommend licensing an applicant, the Inspectors collect the required fee and enter the appropriate information in the Commission's computer network. The applicant is issued a license card that entitles him to a photo identification badge. No person may enter any restricted area of a racetrack without a photo identification badge. During 2011, the Racing Commission issued 2,999 occupational licenses to persons participating in horse racing in the State. Occupations licensed include jockeys, drivers, trainers, assistant trainers, owners of racing animals, blacksmiths, racing officials, vendors, stable employees and pari-mutuel clerks.

2 ASSOCIATION LICENSES ISSUED

Consistent with the Massachusetts General Laws, the Commission held public hearings in the fall of 2010 on applications for two licenses to conduct running horse and harness racing meetings during 2011. Public hearings were held in Boston and Plainville Massachusetts.

The Racing Commission issued a running horse racing license to Sterling Suffolk Racecourse, LLC located in East Boston, to conduct thoroughbred racing in calendar year 2011 at a facility known as Suffolk Downs. The Commission also

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issued a harness horseracing license to Ourway Realty, LLC, located in Plainville, to conduct standardbred racing in calendar year 2011 at a facility known as Plainridge Racecourse.

In the fall of 2011, the Commission held public hearings on two applications to conduct racing in 2012. Public hearings were held in Boston and Plainville, Massachusetts resulting in the issuance of a thoroughbred racing license for 2012 to Sterling Suffolk Racecourse and a harness horse racing license for 2012 to Ourway Realty, LLC to be conducted at their respective facilities.

MASSACHUSETTS STATE RACING COMMISSION

INSPECTORS

Director of Racing

Alexandra Lightbown, D.V.M.

Supervisor of Inspectors

Vacant

Racing Inspectors

George E. Carifio, Racing Inspector II
Jeffrey Bothwell, Racing Inspector II
Richard J. Ford, Racing Inspector II

Racing Inspectors supervise the operation of the Commission's field offices located at Suffolk Downs, Raynham Park, and Plainridge Racecourse. These individuals must possess a thorough knowledge of the rules and regulations of the racing industry, and the ability to interpret them. Additionally, Racing Inspectors maintain a close liaison with the Stewards, Judges, Racing Officials, Track Security, State Police, and the Racing Commission to ensure that operations at each track are efficient and effective.

PROCESS OCCUPATIONAL LICENSES

Inspectors review and process all license applications. In 2011, the Inspectors processed 2,999 applications and collected \$70,605 in license fees and \$7,850 in badge fees. They also collected \$4,350 in fines. Occupational licenses expire annually on December 31.

RESOLVE COMPLAINTS

The Commission Inspector is the most accessible and visible Commission representative at the track. Complaints and disputes are usually initiated with the Inspectors. Complaints and disputes that cannot be resolved at the field level are then reported to the Commission Office for further action.

SUPERVISE TESTING AREA

A State Inspector supervises the testing areas at each track in order to ensure proper collection and continuity of evidence for blood and urine samples obtained from racing animals. Testing Assistants who are employed for each program are trained, scheduled and supervised in their activities by the Inspectors in compliance with established procedures. In 2011, Commission Veterinarians collected 670 blood samples and Commission Testing Assistants, under supervision of the Racing Inspectors, collected 2,148 urine samples from horses that participated at Massachusetts racetracks. The samples were tested at the Racing Commission Laboratory for prohibited drugs and medications that could affect the performance of a racing animal. Out of 2,818 samples collected, 5 samples tested positive for prohibitive substances. These findings are reported to the Stewards/Judges for appropriate disciplinary action.

AUDITORS

Auditor/Chief Financial Officer

Richard J. Mudarri⁴

Auditors

Marta M. Ferreira, Auditor III
Frank Sclafani, Auditor III

Paul M. Buttner, Auditor II
Robert Hickman, Auditor II
Maryanne M. Regnetta, Auditor II

PARI-MUTUEL OPERATIONS -- COMPLIANCE ENSURED

Pari-mutuel responsibilities include overseeing the proper distribution of the handle. The handle is the total amount of money wagered at each performance and the percentage or take-out of the handle is determined by statute. Proceeds from the handle are distributed to specific categories from purse accounts to Capital and Promotional Trust Funds.

SAFEGUARDS

All money wagered at each racetrack is logged into a cash/sell totalizator (tote) system. At the start of each live race, the Commission Steward/Judge locks the wagers into the computer. For simulcast races, standard industry protocol is used to stop betting. Printouts from the tote system are audited by the Racing Commission Auditors for accuracy and compliance with current statutes.

DAILY AUDIT

A summary sheet, detailing the breakdown of the statutory take-out is prepared by Commission Auditors for each individual racing performance. For live racing, the information is provided by the on-site tote system. For signal received simulcast races, a report from the host track is faxed to the guest track. This report is used in conjunction with on-track reports to complete the summary

⁴ Richard J. Mudarri retired on April 28, 2011

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sheet. This activity ensures that the public, the Commonwealth, purse accounts, and all designated trust funds are properly funded. The Commission Auditors prepare a handle reconciliation report on a daily basis. This report shows the handle broken down as to live, signal sent and signal received. Further, the balance of all current unclaimed winning tickets and the liquidity of the mutuel department are audited on a daily basis by the Commission Auditors.

ANNUAL AUDIT

Racing Commission Auditors conduct annual audits with the racetracks. An annual audit of the purse accounts is conducted to ensure that appropriate funds are deposited in the appropriate accounts as required by statute and that the funds are used appropriately when withdrawn from the accounts.

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MASSACHUSETTS STATE POLICE INVESTIGATIVE UNIT

Sergeant

Michael Scanlan

Troopers

Robert Miller
Winifred Rennie
Joseph Sinkevich

The Commission's goals of protecting racing participants and the wagering public as well as maintaining the public's confidence in pari-mutuel wagering are achieved through the Commission's licensing, revenue collection and investigative activities. The State Police Investigative Unit plays a vital role in achieving the goals of the Commission.

M.G.L. CHAPTER 128A, SECTION 8

The Racing Commission applies to the Department of Public Safety for an assignment of a complement of police officers. The Commission assigns State Police officers to guard the property and protect the lives and safety of the public and animals at the racing meets at the two race tracks. In the performance of their duties, the State Police Investigative Unit investigates violations of the rules of racing and the Massachusetts General Laws. The Investigative Unit's extensive responsibilities and activities have resulted in a major improvement in the Commission's regulatory/policing functions.

STABLE INSPECTIONS

Stable inspections focus on the detection of safety violations, the presence of unlicensed persons in restricted areas and the possession of illegal medications, drugs and syringes. These inspections are conducted by officers assigned to the State Police Unit and aid in preserving the integrity of racing. These inspections are conducted both at the racetracks and at private sites off the racetracks. The inspections are both announced and unannounced.

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5 POSITIVE DRUG TESTS – 2 CONTROLLED MEDICATION VIOLATIONS

Protecting the integrity of racing involves animals racing free of prohibited drugs and medications. The State Police Investigative Unit is responsible for ensuring that the testing areas where samples are collected are secure and that the continuity of evidence is maintained with all samples. The State Police Unit investigates each positive drug test reported by the Racing Commission Laboratory, and interviews the trainers, veterinarians and other persons responsible. In 2011 there were 5 positive drug tests and 2 controlled medication violations.

18 EJECTIONS - 66 INVESTIGATIONS - 8 ARRESTS

In 2011, the State Police Investigative Unit conducted 66 investigations including hidden ownership of racehorses, larceny, and counterfeit money that resulted in 8 arrests and 18 ejections from Massachusetts racetracks of persons determined to be detrimental to racing.

UNIFORMED STATE POLICE DETAILS

The State Police Investigative Unit oversees and assists the uniformed State Police detail in the test area of the horse tracks. These details are responsible for witnessing the collection of samples, ensuring the continuity of evidence for samples, and transporting samples collected in the testing area to the Racing Commission Laboratory. State Police training ensures the integrity of samples and provides expert testimony at administrative and court proceedings.

SPECIAL INVESTIGATIONS

The State Police Investigative Unit conducted investigations into the background of each individual who was a party to the application for a racetrack license in Massachusetts. The State Police Unit also conducted several special investigations with other agencies and units within the State Police concerning ten per center activity, identity investigations and drug activity.

1,932 BACKGROUND INVESTIGATIONS

The State Police Investigative Unit conducted 1,932 background investigations on Racing Commission employees, racing officials and trainers who participate at Massachusetts racetracks. They also conducted background investigations on all of the other persons licensed at Massachusetts racetracks.

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THOROUGHBRED-STANDARD BRED RACING

Suffolk Downs and Plainridge Racecourse were required to schedule a minimum of 80 calendar days of live racing in 2011. Previously 100 calendar days of racing were required; the amendment was made in Chapter 77 of the Acts of 2011.

The State Police Unit committed itself to maintain a constant presence at each racetrack, especially during live racing, working closely with the Stewards/Judges and other Commission and racing officials to help ensure that each track operated honestly and credibly.

LABORATORY

Chief State Veterinarian

Alexandra Lightbown, D.V.M.

Chief of Laboratory

Tracy Payne – B.S., Biology / Medical Laboratory Science

Senior Chemist

Vacant

Assistant Chemists

Lucille Saccardo – B.S., Animal Science. Chemist II
Melchor S. Layon - A.S., Chemist II

The State Racing Commission Laboratory is an important link in the Racing Commission's effort to ensure that quality racing exists within the Commonwealth. The primary function of the Commission Laboratory is to analyze samples of urine and blood for the presence of any drug that is of such character as could affect the racing condition of the animal. Samples are taken from every winning horse and any other horse(s) designated by Commission officials. A specially trained staff performs testing at facilities located within the State Laboratory Institute in Jamaica Plain.

INTEGRITY OF SAMPLES ENSURED

Special precautions are taken at all Massachusetts racetracks when post-race blood and urine samples are collected to ensure that no tampering can take place. In order to assure the continuity of evidence, every winning horse and all designated horses are under the surveillance of a uniformed State Police officer and/or Racing Commission employee from the finish of the race until the specimens are obtained. Samples are properly identified and transported immediately after the close of each racing day by a uniformed State Police officer to the Commission Laboratory in Jamaica Plain and placed in a locked laboratory locker for analysis the following day.

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Racing Commission Laboratory personnel assume responsibility for custody of the samples once the samples are placed in the laboratory locker. To avoid any bias, the chemist identifies the sample by number only. Any positive results are reported directly to the Racing Commission.

QUALITY ASSURANCE AND PROFICIENCY PROGRAMS

The Racing Commission Laboratory is a member of the Association of Official Racing Chemists (AORC) and participates in their annual Proficiency Testing Program. The Commission Laboratory also participates fully in the Association of Racing Commissioners' International (ARCI) Equine and Greyhound Quality Assurance Programs (QAP).

2,148 URINE SAMPLES – 670 BLOOD SAMPLES ANALYZED

Despite a heavy workload, the Racing Commission's Laboratory provides high quality results. The Commission Laboratory screened approximately 2,818 biological samples for the presence of illegal drugs and prohibited medications. Many other items confiscated in the course of investigations are also submitted for analysis. These items may include feed preparations, vitamins, liniments, antibiotics, other pharmaceuticals and medical devices such as needles and syringes.

UNIFORM TESTING / SOPHISTICATED INSTRUMENTS

Each blood and urine sample received by the Commission Laboratory is screened by specialized tests to comply with ARCI-QAP guidelines for drug detection. These tests include specific extraction procedures, Thin Layer Chromatography (TLC), and Enzyme-Linked Immunosorbent Assays (ELISA).

Massachusetts allows the use of Phenylbutazone (Bute) and Furosemide (Lasix), with conditions, in horses under the direction of the Controlled Medication Program and the Bleeder Medication Program. The Commission Laboratory monitors these two drugs in all equine samples received.

If screening tests indicate the presence of a drug or unknown foreign substance in a sample, additional specialized testing is performed on that individual sample to prove or disprove the initial findings. All findings are unequivocally confirmed by use of such techniques as tandem Gas Chromatography/Mass Spectrometry.

5 DRUG FINDINGS - 2 MEDICATION PROGRAM VIOLATIONS

Upon positive confirmation of a drug finding, the Commission Laboratory contacts the Racing Commission office in Boston, and reports the name of the drug found, track name, date of the race, and the sample identification number. Only at this time does the Racing Commission supply the Commission Laboratory with the race number, animal's name and trainer's name for inclusion in an official report. The report is directed to the State Racing Commission, with a copy to the Racing Commission State Police Investigative Unit and a copy to the respective track Judge or Steward for additional investigation and subsequent prosecution and/or other action.

During 2011, the Racing Commission Laboratory confirmed 5 drug findings and 2 medication program violations. A comprehensive report of the Laboratory's activities can be found in the "Laboratory Annual Report for 2011" at the end of this Racing Commission Annual Report.

VETERINARIANS

Chief State Veterinarian

Alexandra Lightbown, D.V.M.

Contract Veterinarians

Kristin Esterbrook, D.V.M.
Kevin Lightbown, D.V.M.
Lorraine O'Connor, D.V.M.

The Commission Veterinarians play an indispensable function in ensuring that the quality and integrity of racing within the Commonwealth remains strong by protecting the health and welfare of the equine athletes in Massachusetts.

SUPERVISE THE STATE RACING COMMISSION LABORATORY

The Chief Veterinarian works with the Commission Laboratory to ensure drug testing remains current and of high quality. One method used to test the laboratory is through the use of double blind drug testing.

SUPERVISE EQUINE DRUG TESTING AREA

A Commission Veterinarian supervises the testing areas in order to ensure proper collection and continuity of evidence for blood and urine samples collected from the racing animals. In 2011, Commission Veterinarians collected 670 blood samples and Commission Testing Assistants under the supervision of the Veterinarians collected 2,148 urine samples.

STABLE INSPECTIONS

These inspections focus on the health, safety and welfare of the equine athletes, and may encompass both on-track and off-track stabling facilities.

TESTIFY AT COMMISSION HEARINGS/MEETINGS

Commission Veterinarians testify at hearings on medication use, drug violations, animal care, new policies and procedures, etc.

MONITOR THE HEALTH OF EACH RACING ANIMAL

This is done in conjunction with the Association Veterinarian at each track. Racers are given a pre-race exam before they race and watched for signs of injury after they race. Veterinarians are on duty during live racing to handle any emergencies that may arise. Commission Veterinarians also work closely with other State agencies, Veterinary schools, National Associations, etc.

STEWARDS/JUDGES

Enforcement of the rules and regulations of racing begins with the prosecution of violators by the Board of Stewards/Judges at the racetrack. One Steward/Judge is appointed by the racetrack and must be approved by the Racing Commission and licensed as a racing official. Two Stewards/Judges are appointed by the Racing Commission.

RESPONSIBILITIES

The Judges and Stewards are responsible for reviewing all occupational license applications and for recommending or not recommending the applicant for a license. The Judges and Stewards are present at the racetrack each day on which there is live racing and they oversee everything from drawing of post positions to making official the results of every race. In addition, the Stewards/Judges preside over all hearings conducted at the track and report their rulings and findings to the Racing Commission.

Before post time of the first race, the Stewards/Judges review the daily program of races to note any changes or errors. Changes are reported to each department that might be affected by the change (i.e., mutuels, paddock judges, patrol judges, starters, clerk of the course, clerk of scales, program director and announcer). All changes are also reported promptly to the wagering public.

After observing every live race, both live and on television monitors, the Stewards/Judges mark the order of finish as the horses cross the finish line. They give the first four unofficial finishers to the Mutuel Department, post an inquiry, review an objection and request a photo finish when necessary. If there is an apparent violation of the rules, the Stewards/Judges review the videotape and then make a decision before making the results of the race official.

If a violation of the rules occurs, the judges notify all the parties involved of a scheduled hearing. After conducting the hearing the Stewards/Judges determine if any penalty of a fine or suspension, purse redistribution or other sanction should be imposed. Violators are advised of their right of appeal to the Racing Commission.

ENFORCEMENT OF RULES AND REGULATIONS

The most significant responsibility of the Commission is the enforcement of the rules and regulations of racing. It is only as a result of conscientious, consistent

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and aggressive enforcement of the rules and regulations that enable us to ensure honest racing.

85 RULINGS - BOARDS OF STEWARDS/JUDGES

The regulatory process begins with the promulgation of rules and regulations and concludes with the enforcement of those rules. On July 7, 1992, Chapter 101 was signed into law. Chapter 101 changed the number of State's Stewards/Judges at the racetracks from one to two. The Board of Stewards/Judges at each racetrack now consists of two persons appointed by the Racing Commission, and one person appointed by the Association, and approved by the Racing Commission. The Board of Stewards/Judges is the most significant link in the regulatory chain. The Stewards/Judges are responsible for recommending persons for licenses and ensuring that each licensed racing association and all participants are in compliance with the rules and regulations of racing. The Stewards/Judges carefully examine every license application to determine if the applicant is eligible for a license.

APPEALS

The Racing Commission reviews the Stewards/Judges' decisions. If any licensee disagrees with a decision of the Stewards/Judges, they may appeal to the Commission. The Commission affords appellants adjudicatory hearings on the merits of their appeals. If appellants are dissatisfied with the decision of the Racing Commission, they may appeal to the Superior Court of the Commonwealth in accordance with Chapter 30A of the General Laws.

The Racing Commission's regulatory activities have resulted in improved compliance by licensees.

<u>Hearings</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>
Board of Judges/Stewards	163	134	135	85
Racing Commission	35	31	23	12
<u>Sanctions</u>				
Fines	121	84	78	60
Suspensions	26	25	44	15

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SRC FINANCIALS

FISCAL YEAR 2011 -- JULY 1, 2010 TO JUNE 30, 2011

RECEIPTS

0131	Commission	\$1,457,462.59
2700	Fines and Penalties	9,225.00
3003	Association License Fees	323,700.00
3004	Licenses and Registrations	68,515.00
4800	Assessments	725,804.05
5009	Unpaid Tickets	525,674.01
6900	Miscellaneous	9,984.29

TOTAL RECEIPTS: \$3,120,364.94

EXPENDITURES

FISCAL YEAR 2011 APPROPRIATION (7006-0110)

Total Available \$1,600,253.00

EXPENDITURES

AA	Regular Employee Compensation	929,742.87
BB	Regular Employee Related Expenses	7,202.61
CC	Contractor Payroll	196,973.50
DD	Pension/Insurance, Related Expenses	19,667.79
EE	Administration Expenses	47,264.78
FF	Laboratory Supplies	40,797.74
GG	Rent on Laboratory	77,126.00
JJ	Operational Services	55,503.36
LL	Equipment Lease/Maintenance	6,795.00
UU	Information Technology	<u>50,056.81</u>

TOTAL EXPENDITURES \$1,431,130.46

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REVENUES

\$3,672,461.31 IN REVENUES COLLECTED

In addition to licensing racetracks and participants, the Racing Commission has a primary responsibility to collect revenue in accordance with Chapters 128A and 128C of the General Laws. Each licensed racetrack pays daily to the Racing Commission, a commission as determined by law in addition to license fees and assessments. Commission Inspectors collect occupational license fees, badge fees and fines. The State Racing Commission collected \$3,672,461.31 from Massachusetts racetracks in 2011 (including miscellaneous of \$8,372). This figure is \$367,576.08 less than what was collected in 2010. All Commission activities are revenue driven as Commission expenditures come from Commission revenue and are made in a priority order in accordance with Section 5(h) of Chapter 128A. The following chart details the Commission's revenues and expenditures for 2010 and 2011.

MASSACHUSETTS STATE RACING COMMISSION
Racing Development and Oversight Fund
Statement of Program Revenue and Expenses
Calendar years 2011 & 2010

	<u>2011</u>	<u>2010</u>
Program Revenue:		
Commissions	\$ 1,494,644	\$ 1,735,855
Occupational licenses	70,605	79,155
Assessments	750,579	749,175
Association licenses	378,600	386,700
Fines	4,350	11,125
Unclaimed tickets (OUTs) - Note 1	965,312	1,067,044
Total revenue by source - Note 1	<u>3,664,090</u>	<u>4,029,054</u>
Program Expenses:		
Unclaimed tickets distributed to racetrack purse accounts - Note 1	525,674	567,304*
Unclaimed tickets transferred to Racing Stabilization Fund - Note 1	439,638	501,324
Local aid (transfer to state) - Note 2	852,448	1,194,036
Sub total	<u>1,817,760</u>	<u>2,262,664</u>
Available for Racing Commission operations	<u>1,846,330</u>	<u>1,766,390</u>

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Racing commission operations (transfer to state) - Note 4	1,261,232	1,421,648
Racing commission adjustment to expenditures	1,063	
Racing commission operations (direct charges) - Note 3	94,153	186,582
Total Racing commissions operations	1,356,448	1,608,230
Available for other program costs	489,882	158,160
Other programs costs -		
Health & welfare - stable & backstretch workers, The Eighth Pole	80,000	80,000
Economic assistance program	20,000	20,000
Compulsive gamblers - Dept. of Public Health	110,000	58,160
Total other program costs	210,000	158,160
Available for distributions to racetracks' purse accounts	279,882	
Distributions to racetrack purse accounts	279,882	
Fund balance, end of year	\$ 0-	\$ 0-

* An overpayment in the amount of \$1,583.99 was made to Sterling Suffolk racecourse on 5/21/10 for unclaimed winning tickets (2008 OUTs). The overpayment was identified in a subsequent routine internal review, and was reimbursed by agreement on 4/30/12.

**MASSACHUSETTS STATE RACING COMMISSION
2011 RACING DEVELOPMENT AND OVERSIGHT FUND
FOOTNOTES AND COMMENTS**

Note 1 - Handle and Revenue by track:	Live and On Track		Revenue Collected	
	<u>Handle</u>		<u>Comm. & Fees</u>	<u>OUTs *</u>
Sterling Suffolk Downs	\$ 136,052,189		\$ 1,112,814	\$ 354,595
Plainridge Racetrack	47,542,566		626,556	171,078
Taunton & Massasoit Dog Tracks	37,154,037		812,518	304,578
Wonderland Greyhound Park **	2,523,747		146,943	135,059
Total revenue by track	\$ 223,272,539		\$ 2,698,776	\$ 965,312

* Unclaimed wagers (OUTs) collected from the horse tracks are distributed to the purse accounts of the licensees that generated the unclaimed wagers. At dog tracks unclaimed wagers are transferred to the Racing Stabilization Fund.

** Wonderland ceased operations August 18, 2010 and reopened on June 2, 2011 at Suffolk Downs. Wonderland OUTs reflect both 2009 (\$94,314) and 2010 (\$40,745) payments.

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Note 2 - Local Aid - Transfers to State:

Local aid to host communities is the first priority expenditure of this calendar year program. It is paid quarterly at .35 percent times amounts wagered during the quarter ended six months prior to the payment. Included here as calendar year 2011 program expense are local aid distributions paid for the quarters ended March, June, September and December 2011.

Note 3 - Operations of the state racing commission (direct charges):

The following expenses for the operations of the racing commission were charged directly to the Racing Oversight Fund, account 70060001 during calendar year 2011.

	<u>Direct Charges</u>
Contract salaries stewards, judges, testing assist and vets.	\$ 67,830
Indirect cost assessment, fringe benefits and insurance	12,615
Subscriptions and memberships	0
State police	12,956
Laboratory supplies	0
Equipment maintenance	602
Consultant for transition to DPL	150
Moving and related expenses	0
	<hr/>
Total direct charges for operations of the racing commission	\$ 94,153

Note 4 - Racing Commission Operations - Transfers to State:

Racing revenues deposited to this fund must reimburse the Commonwealth general fund for the operating costs of the Racing Commission. This is the second priority of spending from racing revenue. Included as calendar year 2011 program expense are reimbursements to the general fund for actual operating costs of the commission incurred from January 1 to December 31, 2011 - *see attached schedule (additional expenditures incurred)*.

MASSACHUSETTS STATE RACING COMMISSION

STATE RACING COMMISSION
Budgetary / Appropriation Account REG 70060110
Operating Expenditures
CY2011- January 1, 2011 to December 31, 2011

<u>Sub./ Object</u>	<u>Component</u>	<u>FY11 Expenditures 1/1/11 to 6/30/11</u>	<u>FY12 Expenditures 7/1/11 to 12/31/11</u>	<u>Total CY 2011 Expenditures 1/1/11 to 12/31/11</u>
AA	Regular employee compensation	\$ 432,044.35	\$ 393,508.74	\$ 825,553.09
A01	Regular payroll	411,779.16	393,159.96	804,939.12
A07	Shift differential	476.88	348.78	825.66
A08	Overtime Pay	-	-	-
A12	Sick leave buy back	2,363.59	-	2,363.59
A13	In lieu of vacation	17,424.72	-	17,424.72
BB	Regular employee related expenses	3,889.75	2,252.22	6,141.97
B01	Out of state travel	-	-	-
B02	In state travel	3,889.75	2,252.22	6,141.97
B05	Conferences, training & registrations	-	-	-
B06	Memberships, dues & license fees	-	-	-
B10	Exigent job related expenses	-	-	-
CC	Contractor payroll	37,383.50	124,599.00	161,982.50
CC	Contact payroll: stewards,& judges, testing and vets	37,383.50	124,599.00	161,982.50
DD	Pension & insurance	10,809.11	10,512.93	21,322.04
D09	Fringe benefit reimbursement (charge back)	10,809.11	9,507.93	20,317.04
D10	Fidelity bond	-	1,005.00	1,005.00
EE	Administrative expenses	35,802.85	19,084.57	50,244.07
E01	Office and administrative supplies	3,255.06	1,887.36	5,142.42
E02	Print expenses	-	-	-
E04	Central reprographic (charge back)	1,252.15	-	1,252.15
E05	Postage (charge back)	2,031.81	555.00	2,586.81
E12	Subscriptions and memberships	8,566.81	8,500.00	17,066.81
E13	Advertising expenses	-	235.24	235.24
E15	Bottled water	52.71	64.74	117.45
E27	Prior Year Deficiency	1,510.80	-	1,510.80
E32	Liability management reduction fund	4,643.35	-	4,643.35
E53	Liability management reduction fund	-	236.50	236.50
E56	IT Consolidation (chargeback)	13,630.71	6,895.64	20,526.35
E98	Reimbursement for Board Travel	859.45	710.09	1,569.54
FF	Laboratory supplies	1,072.68	28,963.78	30,036.46
F05	Laboratory and testing supplies	1,072.68	28,963.78	30,036.46
GG	Rent on laboratory	-	48,635.00	48,635.00
G01	Rent on laboratory UMMS	-	48,635.00	48,635.00
JJ	Operational services	19,112.16	54,653.33	73,765.49
JJ2	Stenographic and courier services	9.82	-	9.82
J25	Medical procedures (autopsies on animals)	-	2,975.30	2,975.30
J28	Law enforcement - State Police overtime	19,102.34	51,678.03	70,780.37

MASSACHUSETTS STATE RACING COMMISSION

LL	Equipment lease & maintenance	4,530.00	6,238.45	10,768.45
L45	Office equipment maintenance & repair	-	-	-
L46	Photocopy equipment maintenance & repair		1,570.45	1,570.45
L49	Medical equipment maintenance & repair	4,530.00	4,668.00	9,198.00
UU	Information technology	21,372.95	10,536.88	31,909.83
U02	Telecommunication services - voice (formerly E08)	7,888.96	3,908.07	11,797.03
U03	Software and info tech licenses	2,983.34	-	2,983.34
U04	Information & technology chargeback	321.79	371.64	693.43
U05	Information technology professionals	4,896.24	2,594.11	7,490.35
U06	IT Cabling	83.82	106.14	189.96
U07	IT Equipment Purchase	464.74	258.00	722.74
U08	Information technology equipment lease and rentals	3,222.18	3,222.18	6,444.36
U09	IT equipment maintenance & repair	238.30	-	238.30
U10	IT Equipment maintenance & Repair	1,273.58	76.74	1,350.32
	Total Spending for SRC Operations	\$ 566,017.35	\$ 698,984.90	\$ 1,260,358.90

Total CY 2011 Expenditures	1,260,358.90
Account payable	
***** D09 - Posted in Feb for payroll ending 12/31/11	<u>1,936.01</u>
Total	1,262,294.91

RACING COMMISSION BUSINESS

MEETINGS AND HEARINGS

During 2011, the Racing Commission held 12 business meetings at the main Commission Office in Boston or at other designated locations. Each meeting was called in compliance with the provisions of Chapter 372 of the Acts of 1978.

In addition, as required by Chapter 128A of the Massachusetts General Laws, in the fall of 2011, the Commission held public hearings on applications for two licenses to conduct running horse or harness racing meetings for calendar year 2012. The hearings were held in Boston and Plainville. The Commission approved the associational licenses for Sterling Suffolk Racecourse, LLC to conduct thoroughbred racing in 2012 and for Ourway Realty, LLC. to conduct harness horse racing in 2012 at their respective facilities.

The Racing Commission in 2011 presided over 30 adjudicatory hearings as a result of appeals from rulings of the Stewards and Judges at the various racetracks in the Commonwealth.

DECISIONS APPEALED TO THE RACING COMMISSION

The Racing Commission, sitting as a quasi-judicial body pursuant to the Massachusetts Administrative Procedures Act, adjudicated 30 appeals. The Commission has taken extensive precautions to ensure licensees due process throughout the appeal process. The Commission initiated a Stay-of-Suspension process. This permits licensees suspended by the Stewards/Judges for a minor violation of the rules that does not compromise the integrity of racing to continue to participate in racing until the licensee has been provided a hearing by the Commission and a decision made. Procedural safeguards were adopted to prevent licensees from abusing the Stay privilege. Hearings are conducted as soon as practicable from the time of the granting of a Stay, thereby preventing a licensee from participating while on a Stay status for an extended period of time.

DUE PROCESS AFFORDED ALL LICENSEES

Licensees charged with a violation of the rules that may result in the loss of a license are entitled to a hearing pursuant to the Administrative

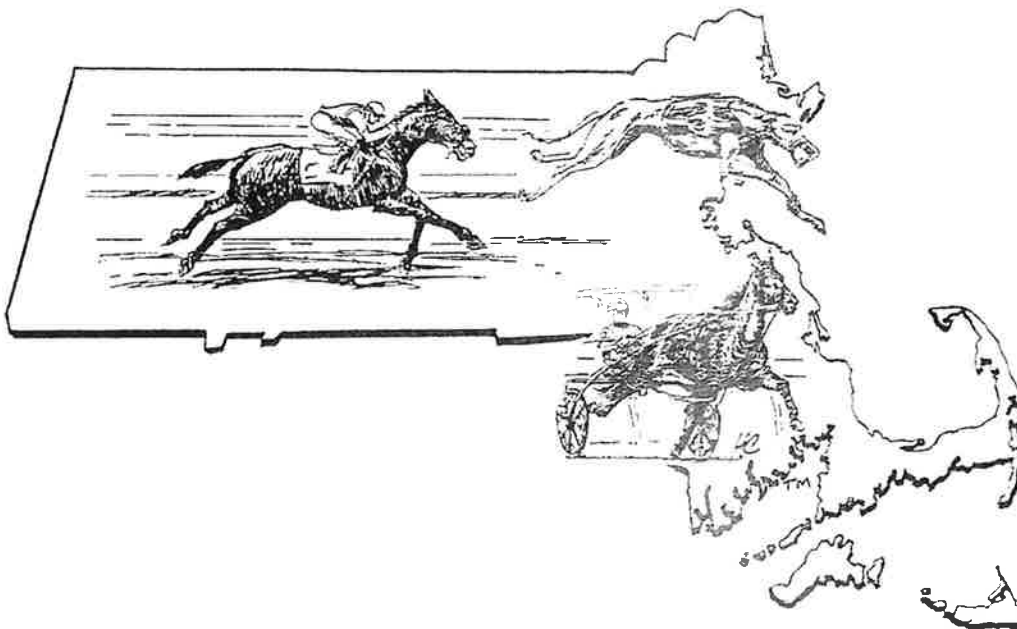
MASSACHUSETTS STATE RACING COMMISSION

Procedures Act (APA). Formal disciplinary hearings held by the Racing Commission follow the requirements established in the Massachusetts APA. These requirements include issuing timely notice of hearings, providing the opportunity for an appellant to confront witnesses and to be represented by counsel.

COMMISSION DECISIONS APPEALED TO SUPERIOR COURT

In addition to hearing appeals, the Racing Commission must prepare a complete record and legal decision for each case that is appealed to the Superior Court. When the record is completed and certified, it is forwarded to the Government Bureau of the Attorney General's Office and is assigned to an Assistant Attorney General who defends the case in court. The Commission and the Attorney General work closely together to present the best possible case in Superior Court. In calendar year 2011, there was only one case pending appellate review.

The Racing Commission takes this opportunity to thank the Attorney General's Office for the diligent, professional and expert defense of Commission cases.



RACETRACKS

NOTES ON CHARTS AND GRAPHS

In this 2011 Annual Report, the following terminology is used in reporting simulcast events.

“Signal Received” is categorized as “On Track Simulcast,” as this is the signal sent from a remote track being received locally.

“Signal Sent” is categorized as “Off-Track Simulcast,” as this is the local signal being sent to a remote track.

MASSACHUSETTS STATE RACING COMMISSION

Handle Calendar Years 2009, 2010, 2011

	2009	2010	% Variance	2010	2011	% Variance
Live						
Raynham	13,317,426	0	-100.00%	0	0	0.00%
Wonderland	1,093,260	0	-100.00%	0	0	0.00%
Plainridge	1,738,748	1,584,498	-8.87%	1,584,498	1,476,452	-6.82%
Suffolk	10,061,323	8,878,836	-11.75%	8,878,836	7,725,019	-13.00%
Total Live	26,210,757	10,463,334	-60.08%	10,463,334	9,201,471	-12.06%
On-Track Simulcast						
Raynham	40,682,649	42,827,404	5.27%	42,827,404	37,154,037	-13.25%
Wonderland	20,651,182	11,194,266	-45.79%	11,194,266	2,523,747	-77.46%
Plainridge	50,228,514	48,064,038	-4.31%	48,064,038	46,066,114	-4.16%
Suffolk	130,672,147	131,637,340	0.74%	131,637,340	128,327,170	-2.51%
Total On-Track	242,234,492	233,723,048	-3.51%	233,723,048	214,071,068	-8.41%
Off-Track Simulcast						
Raynham	14,172,036	0	-100.00%	0	0	0.00%
Wonderland	1,902,692	0	-100.00%	0	0	0.00%
Plainridge	10,053,777	9,911,390	-1.42%	9,911,390	5,811,080	-41.37%
Suffolk	96,368,019	94,185,289	-2.26%	94,185,289	62,408,714	-33.74%
Total Off-Track	122,496,524	104,096,679	-15.02%	104,096,679	68,219,794	-34.46%
Total Handle	390,941,773	348,283,061	-10.91%	348,283,061	291,492,333	-16.31%

MASSACHUSETTS STATE RACING COMMISSION

HANDLES 2010 vs. 2011 FINANCIAL VARIANCE REPORT

Category	2010	2011	Variance	% Variance
Live Performances	201	160	-41	-20.40%
Live Handle	10,463,334	9,201,471	-1,261,863	-12.06%
Simulcast On-Track	233,723,048	214,071,068	-19,651,980	-8.41%
Simulcast Off- Track	104,096,679	68,219,794	-35,876,885	-34.46%
Total Simulcast	337,819,727	282,290,862	-55,528,865	-16.44%
Total Handle	348,283,061	291,492,333	-56,790,728	-16.31%
Commissions	\$1,735,854.51	\$1,494,643.53	-\$241,210.98	-13.90%
Assessments	\$749,175.04	\$750,578.90	\$1,403.86	0.19%
Association License Fee	\$386,700.00	\$378,600.00	-\$8,100.00	-2.09%
Occupational License Fee	\$79,155.00	\$70,605.00	-\$8,550.00	-10.80%
Outstanding Tickets	\$1,067,043.84	\$965,312.06	-\$101,731.78	-9.53%
Fines & Penalties	\$11,125.00	\$4,350.00	-\$6,775.00	-60.90%
Miscellaneous	<u>\$10,985.04</u>	<u>\$8,371.82</u>	<u>-\$2,613.22</u>	-23.79%
TOTAL REVENUES	\$4,040,038.43	\$3,672,461.31	-\$367,577.12	-9.10%

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Analysis of Purses Paid Compared to Statutory Requirements - 2010

	<u>All Tracks</u>	<u>Suffolk</u>	<u>Plainridge</u>
Purses paid:			
Number of live performances 2009	199	101	98
Purses paid 2009	\$ 11,807,443	\$ 9,407,483	\$ 2,399,960
2009 Average purses per performance	\$ 59,334	\$ 93,143	\$ 24,489
Number of live performances 2010	201	101	100
Purses paid 2010	\$ 1,200,711	\$ 8,728,896	\$ 2,471,815
2010 Average purses per performance	\$ 55,725	\$ 86,425	\$ 24,718
Increase (decrease) in 2010 compared to 2009	\$ (606,732)	\$ (678,587)	\$ 71,855
Average change per performance	\$ (3,609)	\$ (6,719)	\$ 229
% change per performance	- 6.1%	- 7.2%	0.9%
Racing commission purse distributions made in 2010			
Purse 2009 distribution rec'd 5/24/2010	\$ 131,026	65,513	65,513
April 2010 (2008 OUTs returned)	567,304	404,734	162,570
Total Chapter 139 distributions to track purse accounts	\$ 698,330	\$ 470,247	\$ 228,083
Statutory purses			
Racing commission purse distributions applied to			
2010 purse account - See notes	\$ 698,330	\$ 470,247	\$ 228,083
Purses as a percentage of handle	8,083,487	6,048,876	2,034,611
Premiums received	1,308,349	1,093,064	215,285
Minimum purses required for 2010	10,090,166	7,612,187	2,477,979
Actual purses paid by track for 2010	10,822,911	8,351,096	2,471,815

MASSACHUSETTS STATE RACING COMMISSION

applied to 2010 purse account. In addition, distributions to the purse accounts from the SRC surplus were \$65,513. Total Chapter 139 distributions were \$228,083. It is also agreed upon with the horsemen that any over/under payment balances (attached spreadsheet) will be carried over to the next season.

STERLING SUFFOLK RACECOURSE LLC

SUFFOLK DOWNS BOARD OF STEWARDS

Commission Stewards

John H. Morrissey
Susan K. Walsh

Association Stewards

Russel G. Derderian

ADMINISTRATIVE HEARINGS

The primary responsibility of the Stewards is to interpret and enforce the rules of racing as promulgated by the Commonwealth of Massachusetts.

In carrying out this duty, the Stewards presided as judges issuing 57 rulings in 2011 resulting in 37 fines and 13 suspensions.

MASSACHUSETTS STATE RACING COMMISSION

Suffolk 2010 vs. 2011 FINANCIAL VARIANCE REPORT

Category	2010	2011	Variance	% Variance
Live Performances	101	80	-21	-20.79%
Live Handle	8,878,836	7,725,019	-1,153,817	-13.00%
Simulcast On-Track	131,637,340	128,327,170	-3,310,170	-2.51%
Simulcast Off- Track	94,185,289	62,408,714	-31,776,575	-33.74%
Total Simulcast	225,822,629	190,735,884	-35,086,745	-15.54%
Total Handle	234,701,465	198,460,903	-36,240,562	-15.44%
Commissions	\$558,363.69	\$539,175.22	-\$19,188	-3.44%
Assessments	\$378,251.90	\$422,939.27	\$44,687	11.81%
Association License Fee	\$100,500.00	\$100,200.00	-\$300	-0.30%
Occupational License Fee	\$50,650.00	\$47,745.00	-\$2,905	-5.74%
Outstanding Tickets	\$403,149.76	\$354,595.47	-\$48,554	-12.04%
Fines & Penalties	\$5,850.00	\$2,700.00	-\$3,150	-53.85%
Miscellaneous	<u>\$5,858.80</u>	<u>\$5,599.90</u>	<u>-\$259</u>	-4.42%
TOTAL REVENUES	\$1,502,624.15	\$1,472,954.86	-\$29,669	-1.97%

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Summary of Pari-mutuel Activities at Suffolk

January 01, 2011 to December 31, 2011

Type: All Track Groups

Number of Events: 497

Pools and Commissions

Menu	Pools	Commissions
Win/Place/Show	\$26,325,784	14,467,824.78
Exotic	45,029,492	10,112,221.87
Total	\$71,355,276	14,580,046.65

Distribution of Breaks

Association Breaks	\$0.00
Breaks to Stabilization Fund	0.00
Breaks to CIF	372,622.02
Minus Breaks	50,013.85
Net Breakage	\$322,608.17

Premiums

Suffolk	\$0.00
Plainridge	116,751.39
Raynham	0.00
Wonderland	0.00
Total Premiums	\$116,751.39

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$109,564.29	0.00000	\$183,254.26	\$292,818.55
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	0.00	0.00000	8,198.27	8,198.27
Promotional Fund	0.00000	35,851.70	0.00000	67,233.46	103,085.15
Purses	0.00000	1,183,012.37	0.00000	2,011,874.33	3,194,886.70
Breeders	0.00000	144,746.25	0.00000	248,438.15	393,184.40
In-State Host Fee	0.00000	2,864.18	0.00000	9,074.01	11,938.19
Premiums	0.00000	28,485.27	0.00000	86,406.27	114,891.54
Tufts Veterinary	0.00000	0.00	0.00000	19,191.56	19,191.56
Division of Fairs	0.00000	0.00	0.00000	0.00	0.00
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	5,894,958.73	0.00000	8,510,865.06	14,405,823.78
Total Fees	0.00000	7,399,482.78	0.00000	11,144,535.36	18,544,018.14
Retained by Track	0.00000	-2,931,658.00	0.00000	-1,032,313.49	-3,963,971.49
Total Commission	0.00000	\$4,467,824.78	0.00000	10,112,221.87	14,580,046.65

State Commission	\$292,818.55	Promo Fund	\$103,085.15
Daily License Fee	98,400.00	Cap Fund	\$380,820.29
Assessment	427,626.70	Grey Adopt	\$0.00
Sub Total	\$818,845.25	Stabilization	\$0.00

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Summary of Pari-mutuel Activities at Express Bets

January 01, 2011 to December 31, 2011

Type: All Track Groups

Number of Events: 753

Pools and Commissions

Menu	Pools	Commissions
Win/Place/Show	\$2,107,380	\$353,685.92
Exotic	3,893,949	853,400.71
Total	\$6,001,329	\$1,207,086.63

Distribution of Breaks

Association Breaks	\$71.03
Breaks to Stabilization Fund	0.00
Breaks to CIF	28,940.62
Minus Breaks	155.18
Net Breakage	\$28,856.47

Premiums

Suffolk	\$0.00
Plainridge	0.00
Raynham	9,303.38
Wonderland	368.52
Total Premiums	\$9,671.90

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$8,132.76	0.00000	\$15,005.03	\$23,137.78
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	10.19	0.00000	1,312.58	1,322.77
Promotional Fund	0.00000	2,461.22	0.00000	5,922.84	8,384.06
Purses	0.00000	85,996.43	0.00000	159,065.27	245,061.70
Breeders	0.00000	10,255.96	0.00000	20,379.13	30,635.09
In-State Host Fee	0.00000	0.00	0.00000	0.00	0.00
Premiums	0.00000	122.28	0.00000	246.24	368.52
Tufts Veterinary	0.00000	0.00	0.00000	304.40	304.40
Division of Fairs	0.00000	0.00	0.00000	0.00	0.00
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	190,046.70	0.00000	360,275.10	550,321.80
Total Fees	0.00000	297,025.53	0.00000	562,510.58	859,536.10
Retained by Track	0.00000	56,660.39	0.00000	290,890.13	347,550.53
Total Commission	0.00000	\$353,685.92	0.00000	\$853,400.71	\$1,207,086.63

State Commission	\$23,137.78	Promo Fund	\$8,384.06
Daily License Fee	0.00	Cap Fund	\$30,263.39
Assessment	0.00	Grey Adopt	\$0.00
Sub Total	\$23,137.78	Stabilization	\$0.00

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Summary of Pari-mutuel Activities at TVG

January 01, 2011 to December 31, 2011

Type: All Track Groups

Number of Events: 722

Pools and Commissions

Menu	Pools	Commissions
Win/Place/Show	\$14,195,780	\$2,372,688.53
Exotic	20,564,921	4,526,971.57
Total	\$34,760,701	\$6,899,660.10

Distribution of Breaks

Association Breaks	\$0.00
Breaks to Stabilization Fund	0.00
Breaks to CIF	168,949.14
Minus Breaks	2,778.22
Net Breakage	\$166,170.92

Premiums

Suffolk	\$0.00
Plainridge	59,083.56
Raynham	0.00
Wonderland	0.00
Total Premiums	\$59,083.56

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$54,249.63	0.00000	\$78,340.13	\$132,589.76
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	0.00	0.00000	8,820.21	8,820.21
Promotional Fund	0.00000	16,742.72	0.00000	32,728.53	49,471.26
Purses	0.00000	580,016.66	0.00000	840,514.69	1,420,531.35
Breeders	0.00000	69,651.87	0.00000	108,863.61	178,515.47
In-State Host Fee	0.00000	0.00	0.00000	0.00	0.00
Premiums	0.00000	21,410.68	0.00000	35,214.64	56,625.32
Tufts Veterinary	0.00000	0.00	0.00000	1,628.90	1,628.90
Division of Fairs	0.00000	0.00	0.00000	0.00	0.00
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	571,369.41	0.00000	867,492.20	1,438,861.61
Total Fees	0.00000	1,313,440.96	0.00000	1,973,602.90	3,287,043.86
Retained by Track	0.00000	1,059,247.57	0.00000	2,553,368.67	3,612,616.24
Total Commission	0.00000	\$2,372,688.53	0.00000	\$4,526,971.57	\$6,899,660.10

State Commission	\$132,589.76	Promo Fund	\$49,471.26
Daily License Fee	0.00	Cap Fund	\$177,769.35
Assessment	0.00	Grey Adopt	\$0.00
Sub Total	\$132,589.76	Stabilization	\$0.00

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION
Summary of Pari-mutuel Activities at Twin Spires
 January 01, 2011 to December 31, 2011

Type: All Track Groups

Number of Events: 159

Pools and Commissions

Menu	Pools	Commissions
Win/Place/Show	\$9,574,155	\$0.00
Exotic	14,360,728	4,797,271.84
Total	\$23,934,883	\$4,797,271.84

Distribution of Breaks

Association Breaks	\$71.17
Breaks to Stabilization Fund	0.00
Breaks to CIF	106,035.83
Minus Breaks	6,015.13
Net Breakage	\$100,091.87

Premiums

Suffolk	\$0.00
Plainridge	52,391.56
Raynham	0.00
Wonderland	567.42
Total Premiums	\$52,958.98

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$36,487.65	0.00000	\$54,141.44	\$90,629.09
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	15.30	0.00000	7,187.06	7,202.36
Promotional Fund	0.00000	10,648.02	0.00000	23,338.83	33,986.85
Purses	0.00000	388,288.43	0.00000	576,990.55	965,278.97
Breeders	0.00000	45,488.52	0.00000	75,339.69	120,828.21
In-State Host Fee	0.00000	2,224.75	0.00000	5,083.65	7,308.40
Premiums	0.00000	23,192.42	0.00000	27,615.10	50,807.52
Tufts Veterinary	0.00000	0.00	0.00000	892.23	892.23
Division of Fairs	0.00000	0.00	0.00000	0.00	0.00
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	450,939.62	0.00000	587,209.08	1,038,148.69
Total Fees	0.00000	957,284.71	0.00000	1,357,797.61	2,315,082.32
Retained by Track	0.00000	-957,284.71	0.00000	3,439,474.23	2,482,189.52
Total Commission	0.00000	\$0.00	0.00000	\$4,797,271.84	\$4,797,271.84

State Commission	\$90,629.09	Promo Fund	\$33,986.85
Daily License Fee	0.00	Cap Fund	\$113,238.19
Assessment	0.00	Grey Adopt	\$0.00
Sub Total	\$90,629.09	Stabilization	\$0.00

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Sterling Suffolk Racecourse, LLP (6000177133)

Capital Improvement Trust Fund (7006 0022)

Statement of Activities

FY 2011 - July 2010 through June 2011

	<u>Fiscal year to date</u>
Fund balance, Beginning of period	\$ 952,716.71
Program revenue & interest	<u>651,514.93</u>
Funds available	1,604,231.64
Less expenditures	<u>443,010.60</u>
Fund balance, end of period	1,161,221.04
Funds required for approved projects	<u>30,000.00</u>
Excess or (deficit) of funds available for approved projects	<u>\$ 1,131,221.04</u>

Status of Individual Projects

<u>Work Item</u>	<u>Number</u>	Balance @ 7/1/10,		FY2011	Status/ Funds Required
		<u>Approved Reim.</u> <u>or RFR Amount</u>	<u>RFR</u> <u>Rec'd</u>		
Architect & engineering fees	none	n/a	n/a	13,682.00	n/a
Tractors (2)	SCI 00-2	83,509.26	RFR	83,509.26	-
Racetrack	SCI 09-1	78,504.15	RFR	78,504.15	-
Emergency sanitary pipe replace	SCI 09-7	25,000.00	RFR	25,000.00	-
Escalator handrail - south end	SCI 09-8	8,723.12	RFR	8,723.12	-
Gas & diesel fuel pumps	SCI 09-9	5,900.00	RFR	5,900.00	-
Replace dining room ceiling	SCI 10-1	30,000.00	N	-	30,000.00
Fire sprinkler repair	SCI 10-2	106,468.09	RFR	106,468.09	-
Repair equipment yard	SCI 10-3	25,009.00	RFR	25,009.00	-
Flags and banners	SCI 10-4	14,988.37	RFR	14,988.37	-
Rebuild water truck engine	SCI 10-5	13,746.98	RFR	13,746.98	-
Handicap stalls striping \$ signage	SCI 10-6	9,311.63	RFR	9,311.63	-
Racetrack 2010	SCI 10-7	37,196.44	RFR	37,196.44	-
ADA Door Operator	SCI 10-10	3,341.56	RFR	3,341.56	-
Utility Pole Replacement	SCI 10-11	17,630.00	RFR	17,630.00	-
		<u>\$ 459,328.60</u>		<u>\$ 443,010.60</u>	<u>\$ 30,000.00</u>

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Sterling Suffolk Racecourse, LLP (6000177133)

Promotional Trust Fund (7006 0021)

Statement of Activities

FY 2011 - July 2010 through June 2011

	<u>Fiscal year to date</u>
Fund balance, beginning of period	\$ 112,813.24
Program revenue & interest	<u>194,310.73</u>
Funds available	307,123.97
Less expenditures	<u>245,000.00</u>
Fund balance, end of period	62,123.97
Funds required for approved projects	<u>614,121.75</u>
Excess (deficit) of funds available for approved projects	<u><u>\$ (551,997.78)</u></u>

Status of Individual Projects

<u>Work Item</u>	<u>Project Number</u>	Balance @ 7/1/10, <u>Approved Reim. or RFR Amount</u>	RFR <u>Rec'd</u>	FY2011 <u>Expenditures</u>	Status/ Funds <u>Required</u>
2007 Spring Fall Campaign	SPT 07-1	416,000.00	RFR	245,000.00	171,000.00
2008 Direct mail advertising	SPT 08-1	443,121.75	RFR	-	443,121.75
		<u>\$ 859,121.75</u>		<u>\$ 245,000.00</u>	<u>\$ 614,121.75</u>

MASSACHUSETTS STATE RACING COMMISSION

PLAINRIDGE RACECOURSE

PLAINRIDGE BOARD OF JUDGES

Commission Judges

Joseph Michienzie
Salvatore Panzera
Lawrence Rooney

Association Judge

Peter Tomilla

ADMINISTRATIVE HEARINGS

The primary responsibility of the Board of Judges is to interpret and enforce the rules of racing as promulgated by the Commonwealth of Massachusetts.

In carrying out this duty, the Judges issued 28 rulings in 2011. These rulings included 2 suspensions, 23 fines and other rulings for miscellaneous infractions and/or purse redistributions.

MASSACHUSETTS STATE RACING COMMISSION

Plainridge 2010 vs. 2011 FINANCIAL VARIANCE REPORT

Category	2010	2011	Variance	% Variance
Live Performances	100	80	-20	-20.00%
Live Handle	1,584,498	1,476,452	-108,046	-6.82%
Simulcast On-Track	48,064,038	46,066,114	-1,997,924	-4.16%
Simulcast Off- Track	9,911,390	5,811,080	-4,100,310	-41.37%
Total Simulcast	57,975,428	51,877,194	-6,098,234	-10.52%
Total Handle	59,559,926	53,353,646	-6,206,280	-10.42%
Commissions	\$332,350.80	\$335,745.57	\$3,395	1.02%
Assessments	\$150,260.25	\$155,960.74	\$5,700	3.79%
Association License Fee	\$110,700.00	\$110,400.00	-\$300	-0.27%
Occupational License Fee	\$27,645.00	\$22,800.00	-\$4,845	-17.53%
Outstanding Tickets	\$162,570.35	\$171,078.54	\$8,508	5.23%
Fines & Penalties	\$5,275.00	\$1,650.00	-\$3,625	-68.72%
Miscellaneous	<u>\$2,845.00</u>	<u>\$2,305.00</u>	<u>-\$540</u>	-18.98%
TOTAL REVENUES	\$791,646.40	\$799,939.85	\$8,293	1.05%

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION
Summary of Pari-mutuel Activities at Plainridge
 January 01, 2011 to December 31, 2011

Type: All Track Groups
 Pools and Commissions

Number of Events: 134

Menu	Pools	Commissions
Win/Place/Show	\$14,477,854	\$2,455,261.33
Exotic	33,064,712	7,490,717.49
Total	\$47,542,566	\$9,945,978.82

Distribution of Breaks

Association Breaks	\$14,408.99
Breaks to Stabilization Fund	0.00
Breaks to CIF	202,099.00
Minus Breaks	42,345.51
Net Breakage	\$174,162.48

Premiums

Suffolk	\$610,668.82
Plainridge	0.00
Raynham	209,612.94
Wonderland	0.00
Total Premiums	\$820,281.76

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$67,622.07	0.00000	\$268,123.47	\$335,745.54
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	1,409.94	0.00000	50,427.78	51,837.72
Promotional Fund	0.00000	16,093.82	0.00000	76,432.88	92,526.70
Purses	0.00000	589,157.85	0.00000	1,354,158.88	1,943,316.73
Breeders	0.00000	65,049.51	0.00000	154,966.83	220,016.34
In-State Host Fee	0.00000	32,770.10	0.00000	56,321.10	89,091.20
Premiums	0.00000	218,173.48	0.00000	390,247.24	608,420.72
Tufts Veterinary	0.00000	0.00	0.00000	0.00	0.00
Division of Fairs	0.00000	0.00	0.00000	1,280.03	1,280.03
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	626,127.94	0.00000	1,315,516.70	1,941,644.64
Total Fees	0.00000	1,616,404.70	0.00000	3,667,474.90	5,283,879.61
Retained by Track	0.00000	838,856.63	0.00000	3,823,242.59	4,662,099.22
Total Commission	0.00000	\$2,455,261.33	0.00000	\$7,490,717.49	\$9,945,978.82

State Commission	\$335,745.54	Promo Fund	\$92,526.70
Daily License Fee	108,900.00	Cap Fund	\$253,936.72
Assessment	153,905.90	Grey Adopt	\$0.00
Sub Total	\$598,551.44	Stabilization	\$0.00

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Ourway - Plainridge Race Course

Capital Improvement Trust Fund (7006 0013)

Statement of Activities

FY 2011 - July 2010 through June 2011

Fund balance, Beginning of period	\$ 292,350.54
Program revenue & interest	<u>268,335.63</u>
Funds available	560,686.17
Less expenditures	<u>541,637.77</u>
Fund balance, end of period	19,048.40
Funds required for approved projects	<u>1,041,040.59</u>
Excess or (deficit) of funds available for approved projects	<u><u>\$ (1,021,992.19)</u></u>

Status of Individual Projects

<u>Work Item</u>	<u>Project Number</u>	Balance @ 7/1/10,		FY2011	Status/ Funds
		<u>Approved Reim.</u>	<u>RFR</u>		
		<u>or RFR Amount</u>	<u>Rec'd</u>	<u>Expenditures</u>	<u>Required</u>
Architect & engineering fees	none	n/a	n/a	\$ 4,005.00	n/a
Various capital expenditures	PCI 10-01	99,198.43	RFR	99,198.43	-
Various capital items	PCI 10-02	52,878.93	RFR	52,878.93	-
Parking/Facility Renovation	PCI 10-03	1,426,596.00	RFR	385,555.41	1,041,040.59
				-	
		<u>\$ 1,578,673.36</u>		<u>\$ 541,637.77</u>	<u>\$ 1,041,040.59</u>

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION

Ourway - Plainridge Race Course

Promotional Trust Fund (7006 0012)

Statement of Activities

FY 2011 - July 2010 through June 2011

	<u>Fiscal year to date</u>
Fund balance, beginning of period	\$ 104,849.28
Program revenue & interest	<u>93,992.31</u>
Funds available	198,841.59
Less expenditures	<u>189,375.00</u>
Fund balance, end of period	9,466.59
Funds required for approved projects	<u>-</u>
Excess (deficit) of funds available for approved projects	<u>\$ 9,466.59</u>

Status of Individual Projects

<u>Work Item</u>	<u>Project Number</u>	<u>Balance @ 7/1/10, Approved Reim. or RFR Amount</u>	<u>RFR Rec'd</u>	<u>FY2011 Expenditures</u>	<u>Status/ Funds Required</u>
Uplink - June - Nov 2009	PPT 10-1	67,575.00	RFR	67,575.00	-
Uplink - Apr - Nov 2010	PPT 10-02	121,800.00	RFR	121,800.00	-
				-	-
		<u>\$ 189,375.00</u>		<u>\$ 189,375.00</u>	<u>\$ -</u>

STATUS OF GREYHOUND RACETRACKS IN 2011

As a result of Chapter 388 of the Acts of 2008, the two greyhound racetracks located in the Commonwealth were precluded from conducting greyhound races effective January 1, 2010. Therefore, no live greyhound races were conducted during 2011.

Chapter 167 of the Acts of 2009, and subsequently, Chapter 203 of the Acts of 2010 allowed these facilities to continue operations as simulcasting venues without conducting the minimum of 100 live racing performances mandated by Chapter 128C of the General Laws. These facilities offered pari-mutuel wagering on greyhound races conducted outside the Commonwealth as well as both in-state and out of state thoroughbred and harness races, with conditions.

Massasoit Greyhound Association and Taunton Greyhound, Inc. continued simulcasting operations throughout 2011 at Raynham/Taunton Greyhound Park.

Wonderland Greyhound Park continued simulcasting operations, at their facility, until August 18, 2010, when it closed down its racing activities. On June 2, 2011 Wonderland reopened its simulcast operations under Suffolk Downs.

Chapter 194 of the Acts of 2011 (section 70) has extended simulcast racing through July 31, 2014.

MASSACHUSETTS STATE RACING COMMISSION

Raynham 2010 vs. 2011 FINANCIAL VARIANCE REPORT

Category	2010	2011	Variance	% Variance
Live Performances	0	0	0	0.00%
Live Handle	0	0	0	0.00%
Simulcast On-Track	42,827,404	37,154,037	-5,673,367	-13.25%
Simulcast Off- Track	0	0	0	0.00%
Total Simulcast	42,827,404	37,154,037	-5,673,367	-13.25%
Total Handle	42,827,404	37,154,037	-5,673,367	-13.25%
Commissions	\$601,973.23	\$556,628.99	-\$45,344.24	-7.53%
Assessments	\$175,671.97	\$149,059.45	-\$26,612.52	-15.15%
Association License Fee	\$107,700.00	\$106,800.00	-\$900.00	-0.84%
Occupational License Fee	\$680.00	\$30.00	-\$650.00	-95.59%
Outstanding Tickets	\$384,266.40	\$304,578.84	-\$79,687.56	-20.74%
Fines & Penalties	\$0.00	\$0.00	\$0.00	0.00%
Miscellaneous	<u>\$430.91</u>	<u>\$309.99</u>	<u>-\$120.92</u>	-28.06%
TOTAL REVENUES	\$1,270,722.51	\$1,117,407.27	-\$153,315.24	-12.07%

- Chapter 9 of the Acts of 2011 (section 30) states that only breaks generated by simulcast greyhound racing from 4/1/11 -12/31/11 shall be dedicated to the racing stabilization fund established in section 20. In addition, this same session law directed all funds generated by Raynham and Wonderland that were dedicated to the capital improvement and promotional trust funds to be placed into the racing stabilization fund.

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION
Summary of Pari-mutuel Activities at Raynham
 January 01, 2011 to December 31, 2011

Type: All Track Groups
 Pools and Commissions

Number of Events: 108

Menu	Pools	Commissions
Win/Place/Show	\$6,575,524.11	1,126,232.35
Exotic	30,578,513.69	6,994,780.05
Total	\$37,154,037.80	8,121,012.40

Distribution of Breaks

Association Breaks	\$0.00
Breaks to Stabilization Fund	110,598.41
Breaks to CIF	0.00
Minus Breaks	2,586.36
Net Breakage	\$108,012.05

Premiums

Suffolk	\$457,188.33
Plainridge	99,939.00
Raynham	0.00
Wonderland	0.00
Total Premiums	\$557,127.33

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$61,247.95	0.00000	\$495,391.38	\$556,639.34
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	4,304.68	0.00000	52,208.31	56,512.99
Promotional Fund	0.00000	10,044.95	0.00000	66,182.73	76,227.68
Purses	0.00000	0.00	0.00000	10.35	10.35
Breeders	0.00000	0.00	0.00000	0.00	0.00
In-State Host Fee	0.00000	18,020.20	0.00000	49,362.22	67,382.42
Premiums	0.00000	148,147.53	0.00000	408,830.13	556,977.66
Tufts Veterinary	0.00000	0.00	0.00000	0.00	0.00
Division of Fairs	0.00000	0.00	0.00000	946.35	946.35
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	225,798.03	0.00000	937,101.38	1,162,899.41
Total Fees	0.00000	467,563.33	0.00000	2,010,032.85	2,477,596.19
Retained by Track	0.00000	658,669.02	0.00000	4,984,747.20	5,643,416.21
Total Commission	0.00000	\$1,126,232.35	0.00000	\$6,994,780.05	8,121,012.40

State Commission	\$556,639.34	Promo Fund	\$76,227.68
Daily License Fee	107,100.00	Cap Fund	\$56,512.99
Assessment	133,407.50	Grey Adopt	\$0.00
Sub Total	\$797,146.84	Stabilization	\$110,598.41

MASSACHUSETTS STATE RACING COMMISSION

Wonderland 2010 vs. 2011 FINANCIAL VARIANCE REPORT

Category	2010	2011	Variance	% Variance
Live Performances	0	0	0	0.00%
Live Handle	0	0	0	0.00%
Simulcast On-Track	11,194,266	2,523,747	-8,670,519	-77.46%
Simulcast Off- Track	0	0	0	0.00%
Total Simulcast	11,194,266	2,523,747	-8,670,519	-77.46%
Total Handle	11,194,266	2,523,747	-8,670,519	-77.46%
Commissions	\$243,166.79	\$63,093.75	-\$180,073.04	-74.05%
Assessments	\$44,990.92	\$22,619.44	-\$22,371.48	-49.72%
Association License Fee	\$67,800.00	\$61,200.00	-\$6,600.00	-9.73%
Occupational License Fee	\$180.00	\$30.00	-\$150.00	-83.33%
Outstanding Tickets	\$117,057.33	\$135,059.21	\$18,001.88	15.38%
Fines & Penalties	\$0.00	\$0.00	\$0.00	0.00%
Miscellaneous	<u>\$1,850.33</u>	<u>\$156.93</u>	<u>-\$1,693.40</u>	-91.52%
TOTAL REVENUES	\$475,045.37	\$282,159.33	-\$192,886.04	-40.60%

MASSACHUSETTS STATE RACING COMMISSION

MASSACHUSETTS STATE RACING COMMISSION
 Summary of Pari-mutuel Activities at Wonderland
 June 02, 2011 to December 31, 2011

Type: All Track Groups
 Pools and Commissions

Number of Events: 468

Menu	Pools	Commissions
Win/Place/Show	\$364,224	\$68,598.24
Exotic	2,159,523	511,197.70
Total	\$2,523,747	\$579,795.94

Distribution of Breaks

Association Breaks	\$0.00
Breaks to Stabilization Fund	7,640.40
Breaks to CIF	0.00
Minus Breaks	47.33
Net Breakage	\$7,593.07

Premiums

Suffolk	\$0.00
Plainridge	0.00
Raynham	0.00
Wonderland	0.00
Total Premiums	\$0.00

Distribution of Commissions	WPS Take-Out	WPS Comm	Exotic Take-Out	Exotic Comm	Total
State Commission	0.00000	\$9,105.60	0.00000	\$53,988.08	\$63,093.68
Racing Stabilization Fund	0.00000	0.00	0.00000	0.00	0.00
Capital Improvement Fund	0.00000	910.56	0.00000	5,398.81	6,309.37
Promotional Fund	0.00000	910.56	0.00000	5,398.81	6,309.37
Purses	0.00000	0.00	0.00000	0.00	0.00
Breeders	0.00000	0.00	0.00000	0.00	0.00
In-State Host Fee	0.00000	0.00	0.00000	0.00	0.00
Premiums	0.00000	0.00	0.00000	0.00	0.00
Tufts Veterinary	0.00000	0.00	0.00000	0.00	0.00
Division of Fairs	0.00000	0.00	0.00000	0.00	0.00
Greyhound Adoption Fund	0.00000	0.00	0.00000	0.00	0.00
Out of State Host Fee	0.00000	11,545.67	0.00000	68,022.65	79,568.32
Total Fees	0.00000	22,472.39	0.00000	132,808.34	155,280.73
Retained by Track	0.00000	46,125.85	0.00000	378,389.37	424,515.22
Total Commission	0.00000	\$68,598.24	0.00000	\$511,197.70	\$579,795.94

State Commission	\$63,093.68	Promo Fund	\$6,309.37
Daily License Fee	61,200.00	Cap Fund	\$6,309.37
Assessment	20,458.65	Grey Adopt	\$0.00
Sub Total	\$144,752.33	Stabilization	\$7,640.40

MASSACHUSETTS STATE RACING COMMISSION

LABORATORY ANNUAL REPORT

**The Commonwealth of Massachusetts
State Racing Commission Laboratory
305 South Street
Jamaica Plain, MA 02130**

Telephone 617-522-9807

Telefax 617-727-3179

February 28, 2012

TO: Massachusetts State Racing Commission

FROM: Tracy Payne, Chief of Laboratory

RE: Laboratory Annual Report for 2011

QUALITY RACING

The State Racing Commission Laboratory is an important link in the Racing Commission's effort to ensure the integrity of pari-mutuel racing, to guard the health of the race animals and to safeguard the interest of the wagering public and racing participants within the Commonwealth. The primary function of the State Racing Commission Laboratory is to analyze samples of urine and blood for the presence of any drug which is of such character as could affect the racing condition of the animal. Samples are taken from every winning horse and any other horse designated by Racing Commission officials in cooperation with track officials. In the calendar year 2011 samples were tested from Plainridge Racecourse and Suffolk Downs. Testing is performed by a specially trained staff at modern facilities located within the State Laboratory Institute, University of Massachusetts Medical School, Jamaica Plain Campus.

LABORATORY STAFF

Chief of Laboratory

Tracy Payne, B.S., Biology, B.S., Med. Lab. Sci.

Assistant Chemists

Lucille Saccardo, B.S., Animal Science

Melchor S. Layon, A.S.

MASSACHUSETTS STATE RACING COMMISSION

INTEGRITY OF SAMPLES ENSURED

Special precautions are taken at all Massachusetts race tracks when post race urine and blood samples are collected to ensure that no tampering can take place. In order to assure the continuity-of-evidence, every winning horse and all designated horses are under the surveillance of a uniformed Massachusetts State Police officer and a Racing Commission Testing Assistant from the finish of the race until specimens are obtained. All equine samples are properly identified and transported immediately after the close of each racing performance by a uniformed Massachusetts State Police officer to the Racing Commission Laboratory in Jamaica Plain and placed in a locked and refrigerated laboratory locker for analysis the following day.

Responsibility for the custody of samples is assumed by the State Racing Commission Laboratory personnel once the samples have been placed in the laboratory locker or upon receipt of locked boxes. To eliminate bias, the chemist identifies the sample by number only. After analyses are completed, any positive results are reported directly to the State Racing Commission.

DRUG FINDINGS

In the calendar year 2011, the State Racing Commission Laboratory analyzed the following numbers of samples for the presence of drugs:

<u>SOURCE</u>	<u>URINE</u>	<u>BLOOD</u>	<u>POSITIVES*</u>
Thoroughbred	1,094	357	2
Harness	1,054	313	3
TOTALS	2,148	670	5

* Not including Controlled/Bleeder Medication Program violations.

CONTROLLED MEDICATION PROGRAM

All equine urine samples submitted were subjected to screening for phenylbutazone and/or its metabolites as per the Massachusetts State Racing Commission Controlled Medication Program Rules with the following results:

<u>Source</u>	<u>Drug Found Not On Program</u>	<u>No Drug Found On Program</u>
Thoroughbred	-0-	-0-
Harness	-2-	-0-

MASSACHUSETTS STATE RACING COMMISSION

BLEEDER MEDICATION PROGRAM

All equine urine samples submitted were subjected to screening for the presence of SALIX (Furosemide) as per the Massachusetts State Racing Commission Bleeder Medication Program Rules with the following results:

Source	Lasix Found Not On Program	No Lasix Found On Program
Thoroughbred	-0-	-0-
Harness	-0-	-0-

DRUG FOUND IN SAMPLES

The drug reported as Equine positive was as follows:

SOURCE	DRUG FOUND	#SAMPLES
Suffolk Downs		
"	Clenbuterol	1
"	Naproxen	1
Plainridge	Flunixin	3

SPECIAL ANALYSIS

In 2011, in cooperation with the Department of Agricultural Resources, this laboratory analyzed blood samples taken from 12 draft animals involved in 'pulling contests' at the Marshfield Agricultural Fair and the Eastern States Exposition (BigE).

No Drugs were found in these samples.

QUALITY ASSURANCE PROGRAM

As a member laboratory in the **Association of Racing Commissioners International Quality Assurance Program (ARCI-QAP)**, this laboratory participated with the **Interstate Drug Testing Alliance (IDTA)** at Iowa State University during 2011, and received and analyzed controlled administration sets of equine urine samples containing the following drugs:

Levamisole	Zomepirac	Zilpaterol	Etodolac
Tilemanine	Zolazepam		

MASSACHUSETTS STATE RACING COMMISSION

SUMMARY

Attached, please find a breakdown of the numbers of samples submitted to this laboratory in 2011, by month and track.

Respectfully submitted,

Tracy Payne
Chief of Laboratory
State Racing Commission

MASSACHUSETTS STATE RACING COMMISSION

Official Urine & Blood Samples Analyzed for 2011

Month	Suffolk		Plainridge		Monthly Totals	
	Urine	Blood	Urine	Blood	Urine	Blood
January					-	-
February					-	-
March					-	-
April					-	-
May	53	12	114	32	167	44
June	195	30	154	39	349	69
July	157	72	123	55	280	127
August	227	80	138	37	365	117
September	224	66	139	49	363	115
October	198	87	156	36	354	123
November	40	10	140	40	180	50
December			90	25	90	25
Totals	1094	357	1054	313	2148	670

CONTACT INFORMATION

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