



THOROUGHBRED RACING AUTHORIZED AGENT LICENSE FORM & ATTACHED AUTHORIZATION FORM

-----OFFICE USE ONLY-----

Date: _____ License Year: _____

License No.: _____

Check No.: _____

Credit Card Amount: _____

Total Fees Received: _____

Reviewer: _____

New Renewal Complete

Name of Applicant: _____

IMPORTANT

PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED
FAILURE TO ANSWER ANY QUESTION ON THIS APPLICATION COMPLETELY AND TRUTHFULLY
MAY RESULT IN THE DENIAL OF YOUR LICENSE APPLICATION

TYPE OF APPLICATION

1. Check (✓) the appropriate box or boxes to designate the purpose of this application. Attach your payment to the front of your application when it is completed.

Badges must be worn in plain view on outer clothing in all restricted areas at all times.

The applicant is eligible for a license up to three consecutive years. Select the appropriate box or boxes for the number of years desired and submit with this application.

- A. **Authorized Agent License** 1 year fee (\$30) 2 year fee (\$60) 3 year fee (\$90)
- B. **Badge** 1 year fee (\$10) 2 year fee (\$20) 3 year fee (\$30)

NOTICE TO APPLICANT: If an agent represents more than one owner, a separate license application must be filed for each owner and the fee paid for each.

NAME AND ADDRESS

NAME: LAST - INCLUDE SR., JR., ETC., IF APPLICABLE FIRST MIDDLE

MAILING ADDRESS: NUMBER AND STREET APT# CITY STATE ZIP CODE

HOME ADDRESS: IF DIFFERENT THAN MAILING ADDRESS APT# CITY STATE ZIP CODE

HOME TELEPHONE NUMBER CELL TELEPHONE NUMBER WORK TELEPHONE NUMBER EMAIL ADDRESS

DESCRIPTIVE INFORMATION

DATE OF BIRTH: - - HEIGHT: FT IN WEIGHT: LBS
(M M) (D D) (YYYY)

SOCIAL SECURITY NUMBER:

IMMIGRATION ID NUMBER (if applicable)

DRIVER LICENSE / STATE IDENTIFICATION NUMBER STATE

6. Beginning with your current residence and working backwards provide the following information with respect to each place where you have lived during the past five years.

DATES		ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY AND ZIP CODE)	TELEPHONE NUMBER
FROM (MO\YR)	TO (MO\YR)		

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 6.**

BUSINESS DESCRIPTION

NOTICE TO APPLICANT: If an agent represents more than one owner, a separate license application must be filed for each owner and the fee paid for each.

7. Provide the name of the owner your are the agent for: N/A

NAME OF OWNER	
OWNER'S ADDRESS	
OWNER'S PHONE NUMBER	
OWNER'S LICENSE NO.	

8. Provide the name of your last employer: N/A

Last Employer Name	From	To

NOTICE TO APPLICANT: "Authorized Agent" Authorization Form **TR-1A** (page 6) Must be completed by the owner you are representing and notarized. A copy of form TR-1A is submitted to the Horsemen's

Initials/Date: _____

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

The next question asks about any arrests, charges or offenses you may have committed. Prior to answering this question, carefully review the definitions and instructions which follow:

DEFINITIONS: For purposes of this question:

- A. **Arrest** means being taken into custody by any police or other law enforcement authority.
- B. **Charge** includes any indictment, complaint, information or other notice of the alleged commission of any "offense."
- C. **Conviction** includes the finding of guilty of any "offense" upon a trial or a plea of guilty. An adjudication of delinquency shall not be considered a conviction. Such a finding may, however, be considered for purposes of determining the suitability of an applicant.
- D. **Crime or Offense** includes all felonies and misdemeanors.
- E. **Disposition** the way the case was resolved: guilty, not guilty, continued without a finding, dismissed, pending.

INSTRUCTIONS:

- A. Please note, this is not an application for employment. Accordingly, you must answer all questions completely and may not omit information. Answer "yes" and provide all information to the best of your ability **EVEN IF:**
 - 1. You did not commit the offense charged;
 - 2. The charges were dismissed or subsequently downgraded to a lesser charge;
 - 3. You completed a diversionary program or the equivalent thereof;
 - 4. You were not convicted;
 - 5. You did not serve any time in prison or jail;
 - 6. The charges or offenses happened a long time ago.
- B. Answer "no" **IF:**
 - 1. You have never been arrested or charged with any crime or offense.
 - 2. Records of criminal appearances, criminal dispositions, and/or any information concerning acts of delinquency that have been sealed.

9. **Have you ever been arrested, charged and/or convicted of any crime or offense in any jurisdiction (including Massachusetts)?** Yes No If you checked yes, complete the following chart:

NATURE OF CHARGE OR OFFENSE	DATE OF CHARGE OR OFFENSE	NAME AND ADDRESS OF LAW ENFORCEMENT OR COURT INVOLVED	DISPOSITION

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 9.**

10. **A. Are you presently on parole or probation?** Yes No

B. Have you ever had any permit or license of any type whatsoever denied, suspended, or revoked by any Federal, State, or City Agency? Yes No If you checked yes to either question, complete the following chart:

DATE FILED	JURISDICTION	DOCKET NUMBER	OTHER PARTIES TO THE LAWSUIT	NATURE OF THE LAWSUIT	DISPOSITION (IF APPLICABLE)	DATE OF DISPOSITION (IF APPLICABLE)

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 10.**

Initials/Date: _____

LICENSING HISTORY

11. Have you been licensed previously by the Massachusetts State Racing or Gaming Commission?

Yes No If you checked yes, complete the following chart:

NAME AND ADDRESS OF LICENSING AGENCY	TYPE OF LICENSE, PERMIT, REGISTRATION, CERTIFICATION, OR OTHER AUTHORIZATION	LICENSE, PERMIT, REGISTRATION, CERTIFICATION, OR OTHER AUTHORIZATION NUMBER

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 11.**

12. Do you have, or have you ever had a license from any other state?

Yes No If you checked yes, complete the following chart:

NAME STATE	TYPE OF LICENSE	YEAR(S)

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 12.**

13. Are you now or ever have been found ineligible for licensure, denied a license, had a license revoked or suspended, or been set down, ruled off or otherwise barred from participation in racing by any racing organization, association, commission or other recognized turf authority in the U.S. or elsewhere?

Yes No If you checked yes, complete the following chart:

DATE	STATE	TRACK	SPECIFIC VIOLATION

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 13.**

14. Have you ever been assessed a fine of \$500 or greater by any racing organization, association, commission or other recognized turf authority in the U.S. or elsewhere?

Yes No If you checked yes, complete the following chart:

DATE	STATE	TRACK	SPECIFIC VIOLATION

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 14.**

Initials/Date: _____

READ THE FOLLOWING STATEMENTS AND SIGN BELOW

SIGNATURE SECTION

STATEMENT OF TRUTH and CONSENT

I hereby state under the pains and penalties of perjury that:

1. The information contained herein and accompanies this application is true and accurate to the best of my knowledge and understanding.
2. I personally supplied and/or reviewed the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. Any document accompanying this application that is not an original document is a true copy of the original document.
5. I am aware that if any of the foregoing statements made by me are false or misleading this application may be denied.
6. I hereby consent to fingerprinting, photographing and the supplying of handwriting exemplars as authorized by 205 CMR 134.07.

I understand if I have questions regarding this form, I should ask an employee of the Commission's Division of Licensing.

NOTICE TO APPLICANT:

The Bureau or Commission may decline to issue, deny suspend or revoke a license or registration if the individual has been convicted of a felony or other crime involving embezzlement, theft, fraud or perjury; submitted an application under M.G.L. c. 268, sec. 9A and 205 CMR 3.00, that contains false or misleading information; or committed prior acts which form a pattern of misconduct that makes the applicant unsuitable. In determining whether an applicant is suitable, the Bureau or Commission will evaluate and consider the overall reputation of the applicant including, without limitation, the individual's integrity, honesty, good character and reputation, and whether the applicant has been convicted of a crime of moral turpitude.

SIGN UNDER THE PAINS AND PENALTIES OF PERJURY

License applied for Expires December 31st year of Issuance

 Print Name of Applicant

 Signature of Applicant

 Date of Signature

RELEASE AUTHORIZATION - INDIVIDUAL

To: Law Enforcement Agencies, Courts, Probation Departments, Military Organizations, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, All Gaming Regulatory Agencies, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic (the "issuing entity").

I, _____, authorize the Massachusetts Gaming Commission (Commission) and _____ (Print Name) Investigations and Enforcement Bureau (Bureau) to conduct a full investigation into my background and activities.

I acknowledge that the Commission and/or Bureau may contract or may have contracted with third parties for the purpose of conducting due diligence suitability investigations on behalf of the Commission and/or Bureau in connection with my application filed with the Commission.

I authorize the release of any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Commission or Bureau, provided that he or she certifies to you that I have an application pending before the Commission or that I am presently a licensee or person required to be qualified.

I release any issuing entity, the Commission, the Bureau and their agents, representatives and employees, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result because of compliance with this authorization for release of information.

I acknowledge that this authorization shall supersede and replace any prior release authorization executed by me for the Commission and/or Bureau.

This release shall be valid from the date of signature and, once issued, for the duration of the license.

A photocopy of this authorization will be considered as effective and valid as the original.

 (Signature of Applicant)

 (Type, Stamp or Print Name)

 (Date)



**AUTHORIZED AGENT
AUTHORIZATION
FORM (TR-1A)
COMPLETED BY OWNER**

-----OFFICE USE ONLY-----

Date: _____ License Year: _____

License No.: _____

TO WHOM IT MAY CONCERN:

I have appointed _____
(Print Name of Individual)

Whose address is, _____
(Street) (City) (State) (Zip Code)

As my agent to act for me during the year 20____ in all matters pertaining to racing of horses, other than the collection of purses and moneys due me, under the Rules of Racing as adopted by the Racing Division of the Massachusetts Gaming Commission.

I further authorize _____ to collect all purses and other Money due me from the association racing under the jurisdiction of the Racing Division of the Massachusetts Gaming Commission for the year 20____ with authority to endorse checks payable to me.

Authorized Signature: _____

Print Authorized Name: _____

Print Legal Address: _____
(Street) (City) (State) (Zip Code)

On this _____ day of _____, 20____, before me, the undersigned notary public,
personally appeared _____ (name of document signer), proved to me through satisfactory
evidence of identification, which was _____, to be the person whose name is signed on the preceding or
attached document, and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

(Signature of Notary) NOTARY STAMP

NOTICE TO APPLICANT: If an agent represents more than one owner, a separate license application must be filed for each owner and the fee paid for each.

IF THIS FORM IS ACCOMPANIED BY A POWER OF ATTORNEY FROM THE OWNER, THIS FORM WILL NOT NEED NOT BE SWORN TO.

THIS FORM MUST BE COMPLETED IN DUPLICATE:

ATTACH ONE SIGNED ORIGINAL TO THE AUTHORIZED AGENT FORM AND GIVE A COPY OF THE SIGNED FORM TO THE HORSEMEN'S BOOKKEEPER.

