



**MASSACHUSETTS GAMING COMMISSION
PUBLIC MEETING #214**

April 13, 2017
10:00 a.m.

Massachusetts Gaming Commission
101 Federal Street, 12th Floor
Boston, MA



Massachusetts Gaming Commission



UPDATED
(4/11 @ 1:00pm)

NOTICE OF MEETING and AGENDA
April 13, 2017

Pursuant to the Massachusetts Open Meeting Law, G.L. c. 30A, §§ 18-25, notice is hereby given of a meeting of the Massachusetts Gaming Commission. The meeting will take place:

Thursday, April 13, 2017
10:00 a.m.
Massachusetts Gaming Commission
101 Federal Street, 12th Floor
Boston, MA

PUBLIC MEETING - #214

1. Call to order
2. Approval of Minutes
 - a. March 30, 2017 – **VOTE**
3. Administrative Update – Ed Bedrosian, Executive Director
 - a. General Update
 - b. Sen. Kenneth J. Donnelly Recognition – J. Griffin, Director – Workforce, Supplier and Diversity Development
 - c. Racing Revenue Software Efficiencies – D. O'Donnell, Senior Financial Analyst and D. MacDonald, Manager of Infrastructure and Applications
 - d. Internal Compliance and Plainridge Park Casino Audit Update
4. Finance Division – Derek Lennon, Chief Financial and Accounting Officer
 - a. Massachusetts Gaming Commission Quarterly Budget Review and Diversity Spend Update – A. Beaulieu, Finance and Budget Office Manager
 - b. Eastern Bank
5. Ombudsman Report – John Ziemba
 - a. Foxboro Request for Mitigation Funds - **VOTE**
 - b. Legislative Update
6. Investigations and Enforcement Bureau – Karen Wells, Director
 - a. 205 CMR 152: Individuals Excluded from a Gaming Establishment – Approval of Amended Small Business Impact Statement and Final Draft Regulation – **VOTE**
 - b. Licensee Outside Director Background Checks
 - c. License and Registration Forms – P. Connelly, Director – Licensing Division



Massachusetts Gaming Commission

7. Racing Division – Alexandra Lightbown, Director and Chief Veterinarian
 - a. Plainridge Park Racecourse Request for Special Events – **VOTE**
 - b. 2015 Reimbursement of Unclaimed Tickets – D. O'Donnell, Senior Financial Analyst – **VOTE**
 - c. Quarterly Local Aid Payments – D. O'Donnell, Senior Financial Analyst – **VOTE**

8. Legal Division – Catherine Blue, General Counsel
 - a. 205 CMR 143.01: Update to standards for gaming devices incorporating Gaming Labs International (GLI)-11 3.0 (including skill based gaming) – Initial Review
 - b. 205 CMR 136.08: Alcoholic Beverage License – Amendment Removing Individual Names – Approval for Formal Promulgation Process - **VOTE**
 - c. 205 CMR 143.02: Transfer of a Progressive Jackpot – New Section – Approval for Formal Promulgation Process - **VOTE**

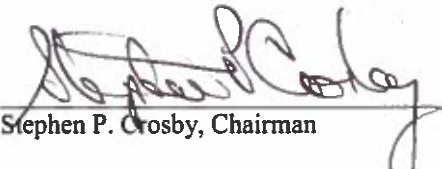
9. Research and Responsible Gaming – Mark Vander Linden, Director
 - a. Public Health Trust Fund Status Report

10. Commissioner's Updates

11. Other business – reserved for matters the Chair did not reasonably anticipate at the time of posting.

I certify that on this date, this Notice was posted as "Massachusetts Gaming Commission Meeting" at www.massgaming.com and emailed to: regs@sec.state.ma.us, melissa.andrade@state.ma.us.

4/10/17
DATE


Stephen P. Crosby, Chairman

Date Posted to Website: April 11, 2017 at 10:00 a.m.



Massachusetts Gaming Commission



Massachusetts Gaming Commission Meeting Minutes

Date/Time: March 30, 2017 – 10:00 a.m.

Place: Massachusetts Gaming Commission
101 Federal Street, 12th Floor
Boston, Massachusetts

Present: Chairman Stephen P. Crosby
Commissioner Gayle Cameron
Commissioner Bruce Stebbins
Commissioner Enrique Zuniga

Absent: Commissioner Lloyd Macdonald

Time entries are linked to
corresponding section in
Commission meeting video

Call to Order

See transcript page 2

[10:00 a.m.](#) Chairman Crosby called to order the 213th Commission meeting. He noted the absence of Commissioner Macdonald and deferred the approval of the minutes to Commissioner Zuniga.

Approval of Minutes

See transcript pages 2-4

[10:00 a.m.](#) *Commissioner Zuniga moved for the approval of the March 16, 2017, Commission meeting minutes subject to any corrections, typographical errors, or other nonmaterial matters. Commissioner Zuniga noted a typographical error – IGA should be IGT. Motion seconded by Commissioner Cameron. Commissioner Stebbins requested, at time entry 10:57 a.m., to note the changes that were made to the regulations as a result of comments received. General Counsel Catherine Blue suggested waiting until final approval of the regulations when all comments have been incorporated. Motion passed 4 to 0.*

Administrative Update

See transcript pages 4-7

[10:02 a.m.](#) Executive Director Edward Bedrosian, Jr. reported that the public comment period for the MGM Springfield operational diversity plan will be extended until April 13th

at 5:00 p.m. He stated that the Commission will not have a public meeting on this matter today at 5:00 p.m. as reported on some sites.

Executive Director Bedrosian noted the retirement of two long-time racing employees –Jeff Bothwell and Trooper Joe Sinkevich. He wished them well.

Executive Director Bedrosian stated that he virtually attended the Springfield “topping off” ceremony (installation of the last beam at MGM Springfield) and it was a great event. He stated that Commissioner Zuniga will provide an update on this event.

Racing Division

See transcript pages 7-79

10:05 a.m. General Counsel Catherine Blue presented on a request from Sterling Suffolk Racecourse, LLC for the Commission to approve the transfer of Suffolk Downs to a third-party purchaser for redevelopment. She provided an overview of the provisions in M.G.L. c. 128A, §11C for the transfer of the property and she stated that Suffolk Downs addressed the requirements in section 11C. She stated that Suffolk Downs proposes 6 days of live racing in 2017 and may engage in live racing, with a lease and Commission approval, in 2018. She noted that Suffolk Downs is not proposing to transfer their racing license and they will be responsible for the requirements of the racing license. She noted that the transfer will not impact the integrity of racing.

Commissioner Cameron stated that she does not feel that the sale affects the integrity of racing and she is in favor of the proposal. Chip Tuttle, Chief Operating Officer for Suffolk Downs, stated that they no longer have an agreement with casino operator Mohegan Sun, but they may have an ongoing agreement with Caesars Casino. Bruce Barnett, Attorney for Suffolk Downs, stated that Suffolk Downs is not part of the ownership of the buyer of the property and they anticipate closing on April 27th. Mr. Tuttle stated that any improvements to the racing property will be their responsibility. Attorney Barnett stated that he does not foresee any changes to the lease after the closing. Chairman Crosby inquired about the simulcast license and Mr. Tuttle stated that they would like to maintain the simulcast operation into the future. Chairman Crosby stated that he received public comments pertaining to the simulcast license and he doesn't think that the Commission has the authority to pull the simulcast license and give it to someone else. He also stated that we have never been approached by any entity to bid for a simulcast license.

10:28 a.m. *Commissioner Cameron moved that the Commission find that Sterling Suffolk, LLC has satisfied their requirements described in Section 11C of the Mass. General Laws Chapter 128A as more fully described in the letter from DLA Piper dated March 16, 2017, found in the Commissioner's packet, and further moved that in accordance with Section 11C the Commission approve the sale of the property described in the March 16th letter and leaseback of the property to Sterling Suffolk, LLC for the use of a racetrack in 2017 and potentially in 2018 subject to the issuance of a license to Suffolk Downs in 2018. Motion seconded by Commissioner Stebbins. Motion passed unanimously.*

Commissioner Cameron further moved that as a condition of the Commission's approval, Sterling Suffolk, LLC is required to abide by all of the terms and conditions of its racing license issued by the Commission and the requirements of Mass. General Laws, 128A and 128C as amended or revised and any other laws applicable to horse racing in the Commonwealth now and in the future. Motion seconded by Commissioner Stebbins. Motion passed unanimously.

Commissioner Stebbins requested that Attorney Barnett provide him with a copy of the signed lease.

[10:30 a.m.](#) Ed Nowak, President of the Standardbred Owners of Massachusetts, requested to be reappointed as the organization which manages the breeding of Standardbred horses in Massachusetts and the racing of these horses in the Sire Stakes program. He provided a summary of a chart detailing numbers on horses and purses since receipt of the Race Horse Development Fund. He noted that the number of broodmares have increased from 65 in 2016 to 111 in 2017. He stated that they are a growing industry which means more business for farms, vets and blacksmiths; and people from around the country are taking notice. Dr. Alexandra Lightbown, Director of Racing, recommend that the Commission approve the request of the Standardbred Owners of Massachusetts.

[10:41 a.m.](#) *Commissioner Cameron moved that the Commission approve the request of the Standardbred Owners of Massachusetts to be recognized as the group of representative Standardbred Breeders to administer the Massachusetts Standardbred breeding program and Sire Stakes races in 2017. Motion seconded by Commissioner Zuniga. Motion passed unanimously.*

[10:42 a.m.](#) Dr. Lightbown provided a Racing Division update which included the following: installation of new computers for racing employees, meetings with the horsemen on medication issues, human resource orientation and ethics training scheduled for racing seasonal employees, a facility walkthrough will be conducted to determine readiness and safety, meetings with security officials and judges to go over expectations, licensing application overhaul, and changes in the health certificate requirements. Commissioner Cameron noted the growth and professionalism of the racing program under the leadership of Dr. Lightbown.

[10:45 a.m.](#) Steve O'Toole, Director of Racing at Plainridge Park Casino, noted that Dr. Lightbown's work on getting the health certificate change will help the horsemen avoid a cumbersome process. He also provided an overview of the 2017 racing meet which included the following: racing signage, 125 days of live racing, purses, handles, simulcasting, racing employees, horsemen licenses, promotions, events, player rewards, Hollywood Races, improvement projects, community business events, Pentafecta "Wicked Hi 5" promotion, MA State Lottery events, Yuengling sponsorships, and the Spirit of Massachusetts Trot which will be held on July 28th with a \$250,000 purse. Mr. O'Toole stated that the Spirit of Massachusetts Trot is a Grand Circuit event for the best open trotters in the country. He also provided a brief history of Grand Circuit events.

Dr. Lightbown stated that the Racing Division and horsemen will miss long-term racing employees Jeff Bothwell and Trooper Joe Sinkevich who are retiring. She

stated that it was a pleasure to work with them, they did an excellent job, and we wish them well.

11:15 a.m. The Commission took a short recess.

11:22 a.m. The meeting resumed.

Research and Responsible Gaming

See transcript pages 80-207

[11:22 a.m.](#) Dr. Rachel Volberg, Principal Investigator for the SEIGMA (Social and Economic Impacts of Gambling in Massachusetts), and Dr. Robert Williams, Co-Principal Investigator on the SEIGMA project, provided a summary on a deeper analysis of the Baseline General Population Survey published in 2015. Dr. Williams participated via telephone. Dr. Volberg stated that the peer review process from GRAC (Gaming Research Advisory Committee) and RDASC (Research Design and Analysis Subcommittee) resulted in a greater product. Dr. Volberg stated that a deeper analysis was conducted to identify predictors of gambling and problem gambling in Massachusetts and to inform problem gambling prevention, intervention, and treatment initiatives. Dr. Volberg provided an overview of the following: survey challenges, characteristics in multivariate models, characteristics that distinguish non-gamblers from recreational gamblers, variables that predict higher gambling involvement, variables that distinguish at-risk gamblers from recreational gamblers, characteristics of gambling formats, characteristics that distinguish problem/pathological gamblers from recreational gamblers, and predictors (demographic, health and gambling variables). Dr. Volberg stated that this information will be of great use in the development of problem gambling services in Massachusetts.

12:25 p.m. The Commission took a short recess.

12:29 p.m. The meeting resumed.

[12:29 p.m.](#) Director Mark Vander Linden stated that Wynn Boston Harbor and MGM Springfield will present an update on their planning, preparation and possible development of a responsible gaming platform at their facilities and review of space for the GameSense information center.

[12:33 p.m.](#) Mike Mathis, President of MGM Springfield, presented on the location of the Problem Gaming Office (“PGO”) on the casino floor. He stated that the PGO will be located near the M Life desk area - a high traffic area and best location to interact with patrons. He stated that it will be approximately 370 square feet. Director Vander Linden stated that the requirements for a PGO (GameSense Center) include close proximity to the casino floor and a private location for sensitive conversations.

Mr. Mathis reported that they will implement GameSense corporate-wide, but they want to see the study on PlayMyWay at Plainridge Park Casino. He stated that they will talk to IGT (International Game Technology) regarding compatibility issues and they will do their due diligence. There was a discussion of the pros and cons of when to launch PlayMyWay. Mr. Mathis stated that it is risky to launch new software in a live environment. He also stated that they would prefer to voluntarily implement a program. Director Vander Linden noted that the workload was

significant in launching PlayMyWay at Plainridge Park Casino and a partnership is essential.

[12:54 p.m.](#) Robert DeSalvio, President of Wynn Boston Harbor, introduced Greg Dauenhauer, the Vice President/Chief Information Officer. Mr. DeSalvio reported that he met with IGT and PlayMyWay will require modification, but it will not affect the hardware or wiring. He stated that launching new software on opening day is not a good idea. He stated that based upon his personal experience, he doesn't believe that there is any software that can identify problem gamblers more than trained casino staff and their interactions with patrons. He also stated that the research on PlayMyWay may show unintended consequences.

Mr. DeSalvio provided an overview of the GameSense center on the casino floor. He stated that they picked a heavy traffic area and the center will include a private area and storage space. He reported that he will provide the square footage of the center to Chairman Crosby. Chairman Crosby inquired about an additional/mobile center due to the large facility. Mr. DeSalvio stated that a mobile cart may get in the way and it may be in conflict with fire standards. He stated that they could have a permanent area for GameSense and make information available in other areas of the casino.

[1:13 p.m.](#) Director Vander Linden reported that the responsible gaming framework, adopted in 2015, is currently under review. He stated that he looks forward to getting comments from the Commissioners, our licensees, and stakeholders.

[1:14 p.m.](#) Commissioner Zuniga stated that he attended the "topping off" event at MGM Springfield. The event highlighted the last beam that was placed in the building. He stated that it was well attended by representatives, most notably the laborers. He noted that there were two teams of father-daughter laborers working on the site. A video was shown of the event.

Jacqui Krum, Senior Vice President and General Counsel of Wynn Resorts Development, reported that Wynn celebrated a milestone as the last bucket of soil was taken away from the Wynn Boston Harbor site. Mr. DeSalvio reported that he went to MGM National Harbor and it is an amazing property. He congratulated Mr. Mathis and the team.

[1:18 p.m.](#) Director Vander Linden stated that National Problem Gambling Awareness Month activities draw attention to issues around problem gambling, treatment and prevention services.

Marlene Warner, Executive Director of Massachusetts Council on Compulsive Gambling, reported on the Council's National Problem Gambling Awareness Month activities which included: visiting treatment centers, trainings, connecting with recovery centers, working with youth through the CAPS program (Curriculum for Activities Probability and Statistics), outreach to Veterans, and social media activities targeting youth and sports gambling during March Madness. Ms. Warner reported that casino employees are a high-risk group for problem gambling. She also reported on the GameSense activities for employees at Plainridge Park Casino which included prevention, product, GameSense and financial literacy information

disseminated through bulletin boards, bathroom stalls, newsletters, and games. Chairman Crosby thanked Lisa McKenney and Lance George for their partnership.

[1:29 p.m.](#) Director Vander Linden provided an update on a new media campaign, which was launched during National Problem Gambling Awareness Month. He stated that two weeks of data for online ad buys and social media showed an increase in traffic on the GameSense website and a successful ad campaign on Facebook. He stated that the videos posted on Facebook were viewed 5,213 times and the most successful static image message was “Don’t Chase Your Losses.” Mr. Vander Linden reported that GameSense was mentioned through several media outlets due to the efforts of Communications Director Elaine Driscoll. He also reported that the campaign ad buys will continue through the end of May.

Legal Division

See transcript pages 208-217

[1:36 p.m.](#) Deputy General Counsel Todd Grossman presented on amendments to 205 CMR 136.08, which eliminate the requirement to list on a gaming beverage license the names and contact information for managers and other principals. The licensees will still need to identify those individuals and present them to the Investigations and Enforcement Bureau. Paul Connelly, Director of Licensing, stated that frequent name changes would require reissuance of a license on a frequent basis. Deputy General Counsel Grossman stated that he will put the amendment out for informal public comment.

[1:40 p.m.](#) Deputy General Counsel Grossman presented on a new section to 205 CMR 143.02 – which set forth the guidelines for a gaming licensee to transfer a progressive jackpot.

Investigations and Enforcement Bureau

See transcript pages 217-220

[1:44 p.m.](#) Bruce Band, Assistant Director and Gaming Agents Division Chief, presented on the last set of game rules (13) for the Commission’s review, which included: Boston 5 Stud Poker, Double Cross Poker, Double Attack Blackjack, Four Card Poker, Texas Hold’em Bonus Poker, Flop Poker, Two Card Joker Poker, Asia Poker, Ultimate Texas Hold’em, Winner’s Pot Poker, Supreme Pai Gow, Mississippi Stud, and Casino War. He stated that they will be posted for informal public comment.

Commissioner’s Updates

See transcript pages 221-225

[1:47 p.m.](#) Chairman Crosby reported that he testified before the Marijuana Committee and brought forth the Commissioners comments. He also reported on the DFS Online Commission and stated that they had a fascinating speaker who was the owner of eSports teams. Commissioner Zuniga stated that he observed the meeting and the owner spoke about how eSports got started and the unintended by-product of “skins”, which created a secondary market.

Other Business Not Reasonably Anticipated

See transcript pages 225-226

1:52 p.m. *Having no further business, a motion to adjourn was made by Commissioner Cameron. Motion seconded by Commissioner Zuniga. Motion passed unanimously.*

List of Documents and Other Items Used

1. Massachusetts Gaming Commission, Notice of Meeting and Agenda dated March 30, 2017
2. Massachusetts Gaming Commission, Draft Meeting Minutes dated March 16, 2017
3. Letter from Attorney Bruce Barnett (DLA Piper) to the Massachusetts Gaming Commission, dated March 16, 2017 regarding Request for Approval of Sale of Suffolk Downs Racing Facility, with attachments
4. M.G.L. c. 128A, §11C - Sale of Ten Per Cent or More of Stock; Notice; Approval
5. Massachusetts Gaming Commission, Memorandum dated March 23, 2017 regarding Standardbred Owners of Massachusetts Recognition, with attachments
6. Plainridge Park Casino Racing PowerPoint Presentation
7. SEIGMA (Social and Economic Impacts of Gambling in Massachusetts), Predictors of Gambling & Problem Gambling in Massachusetts, Dr. Rachel Volberg and Dr. Robert Williams, dated March 30, 2017 (PowerPoint Presentation)
8. SEIGMA (Social and Economic Impacts of Gambling in Massachusetts), Gambling and Problem Gambling in Massachusetts: In-Depth Analysis of Predictors, dated March 23, 2017
9. Wynn Boston Harbor Casino Floor Plan/GameSense Information Center
10. Massachusetts Gaming Commission, Memorandum dated March 30, 2017 regarding Review of March 2017 Problem Gambling Awareness Month
11. Draft 205 CMR 136.08: Form of the Gaming Beverage License
12. Draft 205 CMR 143.02: Progressive Gaming Devices
13. Draft Table Games/Rules of the Games: Boston 5 Stud Poker, Double Cross Poker, Double Attack Blackjack, Four Card Poker, Texas Hold'em Bonus Poker, Flop Poker, Two Card Joker Poker, Asia Poker, Ultimate Texas Hold'em, Winner's Pot Poker, Supreme Pai Gow, Mississippi Stud, and Casino War.
14. MGM Springfield Floor Plan/New M Life Floor Plan

/s/ Catherine Blue
Catherine Blue, Assistant Secretary

No Documents

MASSACHUSETTS GAMING COMMISSION

MEMORANDUM

To: Chairman Crosby and Commissioners Cameron, Macdonald, Stebbins and Zuniga
From: Derek Lennon, CFAO
Date: 4/13/2017
Re: Fiscal Year 2017 (FY17) Third Budget Update

Summary:

The Massachusetts Gaming Commission approved an initial FY17 Gaming Control Fund budget of \$27.17M, requiring an initial \$22.39 M assessment on licensees. After closing out FY16 and opening FY17, the Commission had surplus FY16 revenue of \$987.5K in the Gaming Control Fund. The \$987.5K surplus decreased the initial assessment to \$21.4M. The first and second quarterly updates resulted in a combined \$101.2K reduction in spending estimates. After the close of the third quarter, the Division of Administration and Finance is projecting additional spending reductions of \$117.4K, bringing the aggregate for the first three quarters of fiscal year 2017 to \$218.6K in budget reductions.

FY17 Third Update:

Gaming Control Fund 1050-0001

The Massachusetts Gaming Commission approved a FY17 budget for the Gaming Control Fund of \$27.17M which required an assessment of \$22.39M on licensees. The spending is composed of \$18.59M for gaming operations, \$1.65M for Indirect Costs, \$4.48M for Research and Responsible Gaming and a \$2.37M assessment for the Office of the Attorney General's (AGO) gaming operations inclusive of Massachusetts State Police (MSP) assigned to the AGO and \$75K to the Alcohol Beverage and Control Commission (ABCC). The FY16 balanced forward of \$987.5K in unrestricted revenues will result in a reduction in the annual assessment. After the first two quarters, the Commission's budget projected \$101.2K in spending reductions. The third quarter's net effect is an additional \$117.4K in projected savings to the Gaming Control Fund Budget

Appendix B to this document shows the transfer requests for this quarter. Third quarter payroll savings due to attrition or delays in hiring as well as the corresponding fringe benefits make up ~\$300K in anticipated spending reductions. However, anticipated legal bills will offset the payroll savings. The Commission continues to reduce its reliance on consultants with an \$80K decrease to the Executive Director's budget, and the Ombudsman's Office has lowered its estimates for consultant costs by 50%. The cumulative effect of the first three quarters budget adjustments for this fiscal year is a reduction in spending estimates by \$218.6K.

A review of Appendix A of this document shows that the MGC regulatory cost spending is on pace with the amount of the fiscal year that has passed. However, spending for the Office of the Attorney General, the Research and Responsible Gaming and Public Health ISA as well as the Indirect Costs are lagging. We have done an in-depth review of the Research and Responsible Gaming budget and can account for the variance of amount spent to percentage of year passed to both a lag in some billings as well as a projected surge in spending in the research agenda for the last quarter of the fiscal year. We are still working with the Office of the Comptroller to narrow in on the indirect assessments. The final component is the Office of the Attorney General. While it appears there could be a surplus at the close of the fiscal year, if the AGO begins an investigation that requires significant State Police resources, the currently projected surplus could be utilized before the close of the fiscal year.

The FY17 budget eliminated many contingency items and was developed in an attempt to reduce surplus revenue at the close of a year. For the reasons mentioned, the office of Administration and Finance is not recommending reducing the assessment further at this time as we may have spending exposures in the final quarter.

Appendix A to this document is the budget to actual spending and revenue for each account for the MGC for the first three quarters of the 2017 fiscal year. The budget section of Appendix A has a column titled Proposed Adjustments. This column references budget transfers division Directors requested, and are laid out in detail in Appendix B. All of the remaining appropriations on Appendix A are related to the Racing division. Appendix C shows spending compared to budget for each division within the MGC.

Conclusion:

The Massachusetts Gaming Commission is projecting a \$216.8K surplus in the Gaming control fund after the 3rd quarterly update. However, staff is not recommending adjusting the assessment at this time.

Appendix A: FY17 Actuals Spending and Revenue as of 4-1-2017

Appendix B: QRY Step 16A Budget Amendment Requests by Quarter by Object Class

Appendix C: QRY Step 05A Expense Budget Form

| 2017 Row Labels | Budget Projections | | | | Current Budget (Initial+Apvd Adjmts) | Actuals To Date | | % BFY Passed |
|--|-------------------------|-------------------------|-------------------------|---|---|-----------------|------------|-----------------|
| | Initial Projection | Approved Adjustments | Proposed Adjustments | | | Total | %Spent | |
| 10500001--Gaming Control Fund | | | | | | | | |
| MGC Regulatory Cost | | | | | | | | |
| AA REGULAR EMPLOYEE COMPENSATION | \$ 6,119,738.87 | \$ (111,826.80) | \$ (176,991.49) | \$ 5,830,920.58 | \$ 4,102,690.55 | 70% | 67% | |
| BB REGULAR EMPLOYEE RELATED EXPEN | \$ 64,000.00 | \$ 7,900.00 | \$ 200.00 | \$ 72,100.00 | \$ 29,983.86 | 42% | 67% | |
| CC SPECIAL EMPLOYEES | \$ 100,000.00 | \$ 6,862.80 | \$ - | \$ 106,862.80 | \$ 78,221.20 | 73% | 67% | |
| DD PENSION & INSURANCE RELATED EX | \$ 2,208,428.60 | \$ (35,170.00) | \$ (105,420.57) | \$ 2,067,838.03 | \$ 1,423,559.15 | 69% | 67% | |
| EE ADMINISTRATIVE EXPENSES | \$ 590,725.64 | \$ 2,100.00 | \$ 390.42 | \$ 593,216.06 | \$ 287,214.97 | 48% | 67% | |
| GG ENERGY COSTS AND SPACE RENTAL | \$ 1,221,982.62 | \$ - | \$ - | \$ 1,221,982.62 | \$ 940,444.86 | 77% | 67% | |
| HH CONSULTANT SVCS (TO DEPTS) | \$ 1,254,603.14 | \$ 20,000.00 | \$ 148,691.49 | \$ 1,423,294.63 | \$ 863,044.62 | 61% | 67% | |
| JJ OPERATIONAL SERVICES | \$ 3,091,685.78 | \$ 37,500.00 | \$ - | \$ 3,129,185.78 | \$ 1,967,977.70 | 63% | 67% | |
| KK Equipment Purchase | \$ - | \$ 8,400.00 | \$ 2,909.58 | \$ 11,309.58 | \$ 11,157.78 | 99% | 67% | |
| LL EQUIPMENT LEASE-MAINTAIN/REPAR | \$ 29,683.80 | \$ - | \$ - | \$ 29,683.80 | \$ 7,969.65 | 27% | 67% | |
| PP STATE AID/POL SUB | \$ 225,000.00 | \$ (50,000.00) | \$ - | \$ 175,000.00 | \$ 5,000.00 | 3% | 67% | |
| TT PAYMENTS & REFUNDS POL ISA | \$ 50,000.00 | \$ - | \$ - | \$ 50,000.00 | \$ 5,167.00 | | 67% | |
| UU IT Non-Payroll Expenses | \$ 3,639,596.18 | \$ 2,980.00 | \$ 12,800.00 | \$ 3,655,376.18 | \$ 2,114,256.39 | 58% | 67% | |
| MGC Regulatory Cost Subtotal: | \$ 18,595,444.63 | \$ (111,254.00) | \$ (117,420.57) | \$ 18,366,770.06 | \$ 11,836,687.73 | 64% | 67% | |
| EE--Indirect Costs | \$ 1,648,870.20 | \$ - | \$ (13,394.00) | \$ 1,648,870.20 | \$ 966,562.57 | 59% | 67% | |
| Office of Attorney General | | | | | | | | |
| ISA to AGO | \$ 1,904,540.60 | \$ - | \$ - | \$ 1,904,540.60 | \$ 970,859.96 | 51% | 67% | |
| TT Reimbursement for AGO 0810-1024 | \$ - | \$ - | \$ - | \$ - | \$ 80,660.32 | #DIV/0! | 67% | |
| AGO State Police | \$ 472,303.76 | \$ - | \$ - | \$ 472,303.76 | \$ 169,095.85 | 36% | 67% | |
| Office of Attorney General Subtotal: | \$ 2,376,844.36 | \$ - | \$ - | \$ 2,376,844.36 | \$ 1,220,616.13 | 51% | 67% | |
| Research and Responsible Gaming/Public Health | | | | | | | | |
| Trust Fund | | | | | | | | |
| AA REGULAR EMPLOYEE COMPENSATION | \$ 232,465.30 | \$ - | \$ (17,781.00) | \$ 214,684.30 | 155,547.92 | 72% | 67% | |
| BB REGULAR EMPLOYEE RELATED EXPEN | \$ 6,000.00 | \$ - | \$ - | \$ 6,000.00 | 3,368.65 | 56% | 67% | |
| CC SPECIAL EMPLOYEES | \$ - | \$ - | \$ - | \$ - | 2,112.00 | #DIV/0! | 67% | |
| DD PENSION & INSURANCE RELATED EX | \$ 81,758.03 | \$ - | \$ - | \$ 81,758.03 | 53,995.74 | 66% | 67% | |
| EE ADMINISTRATIVE EXPENSES | \$ 8,825.00 | \$ - | \$ 6,175.00 | \$ 15,000.00 | 15,440.93 | 103% | 67% | |
| FF PROGRAMMATIC FACILITY OPERATONAL | \$ - | \$ - | \$ - | \$ - | - | 0% | 67% | |
| SUPPLIES | \$ 500.00 | \$ - | \$ - | \$ 500.00 | - | | 67% | |
| HH CONSULTANT SVCS (TO DEPTS) | \$ 1,437,500.00 | \$ (10,000.00) | \$ 108,495.00 | \$ 1,535,995.00 | 770,975.77 | 50% | 67% | |
| JJ OPERATIONAL SERVICES | \$ - | \$ 20,000.00 | \$ - | \$ 20,000.00 | 4,481.25 | 22% | 67% | |
| MM PURCHASED CLIENT/PROGRAM SVCS | \$ 40,000.00 | \$ - | \$ - | \$ 40,000.00 | 265.30 | 1% | 67% | |
| PP STATE AID/POL SUB | \$ 2,130,000.00 | \$ - | \$ (103,700.00) | \$ 2,026,300.00 | 1,061,081.71 | 52% | 67% | |
| UU IT Non-Payroll Expenses | \$ 65,000.00 | \$ - | \$ 31,700.00 | \$ 96,700.00 | 8,966.46 | 9% | 67% | |
| ISA to DPH | \$ 473,487.00 | \$ - | \$ (11,495.00) | \$ 461,992.00 | 256,926.00 | 56% | 67% | |
| Research and Responsible Gaming/Public Health | \$ 4,475,535.33 | \$ 10,000.00 | \$ 13,394.00 | \$ 4,498,929.33 | \$ 2,335,788.93 | 52% | 67% | |
| Trust Fund Subtotal: | \$ 4,475,535.33 | \$ 10,000.00 | \$ 13,394.00 | \$ 4,498,929.33 | \$ 2,335,788.93 | 52% | 67% | |
| ISA to ABCC | \$ 75,000.00 | \$ - | \$ - | \$ 75,000.00 | \$ 69,795.96 | 93% | 67% | |
| Gaming Control Fund Total Costs | \$ 27,171,694.52 | \$ (101,254.00) | \$ (117,420.57) | \$ 26,966,413.95 | \$ 16,429,451.32 | 61% | 67% | |
| Revenue Projections | | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | | |
| Gaming Control Fund Beginning Balance 0500 | | \$ 987,501.13 | \$ - | \$ 987,501.13 | \$ 987,501.13 | | | |
| Phase 1 Collections (restricted) 0500 | | \$ - | \$ - | \$ - | \$ - | | | |
| Phase 1 Refunds 0500 | | \$ - | \$ - | \$ - | \$ - | | | |
| Phase 2 Category 1 Collections (restricted) 0500 | | \$ - | \$ - | \$ - | \$ - | | | |
| Region C Phase 1 Investigation Collections 0500 | \$ - | \$ - | \$ - | \$ - | \$ - | | | |
| Region C Phase 2 Category 1 Collections 0500 | | \$ - | \$ - | \$ - | \$ - | | | |
| Grant Collections (restricted) 0500 | \$ 50,000.00 | \$ - | \$ - | \$ 50,000.00 | \$ - | | | |
| Region A slot Machine Fee 0500 | \$ 1,945,200.00 | \$ - | \$ - | \$ 1,945,200.00 | \$ 1,716,000.00 | | | |
| Region B Slot Machine Fee 0500 | \$ 1,800,000.00 | \$ - | \$ - | \$ 1,800,000.00 | \$ 1,800,000.00 | | | |
| Slots Parlor Slot Machine Fee 0500 | \$ 750,000.00 | \$ - | \$ - | \$ 750,000.00 | \$ 750,000.00 | | | |
| Gaming Employee License Fees (GEL) 3000 | \$ 35,000.00 | \$ - | \$ - | \$ 35,000.00 | \$ 31,125.00 | | | |
| Key Gaming Executive (GKE) 3000 | \$ 5,000.00 | \$ - | \$ - | \$ 5,000.00 | \$ 9,000.00 | | | |
| Key Gaming Employee (GKS) 3000 | \$ 15,000.00 | \$ - | \$ - | \$ 15,000.00 | \$ 10,000.00 | | | |
| Non-Gaming Vendor (NGV) 3000 | \$ 31,000.00 | \$ - | \$ - | \$ 31,000.00 | \$ 30,200.02 | | | |
| Vendor Gaming Primary (VGP) 3000 | \$ 30,000.00 | \$ - | \$ - | \$ 30,000.00 | \$ 45,000.00 | | | |
| Vendor Gaming Secondary (VGS) 3000 | \$ 45,000.00 | \$ - | \$ - | \$ 45,000.00 | \$ - | | | |
| Gaming School License (GSB) | \$ - | \$ - | \$ - | \$ - | \$ - | | | |
| Gaming Service Employee License (SER) 3000 | \$ 15,000.00 | \$ - | \$ - | \$ 15,000.00 | \$ 13,050.00 | | | |
| Subcontractor ID Initial License (SUB) 3000 | | \$ - | \$ - | \$ - | \$ - | | | |
| Temporary License Initial License (TEM) 3000 | | \$ - | \$ - | \$ - | \$ - | | | |
| Veterans Initial License (VET) 3000 | | \$ - | \$ - | \$ - | \$ - | | | |
| Transfer of Licensing Fees to CMF 0500 | | \$ - | \$ - | \$ - | \$ - | | | |
| Assessment 0500 | \$ 22,450,494.52 | \$ (987,501.13) | \$ - | \$ 21,462,993.39 | \$ 15,805,468.51 | | | |
| Misc 0500 | | \$ - | \$ - | \$ - | \$ - | | | |
| Grand Total | \$ 27,171,694.52 | \$ - | \$ - | \$ 27,171,694.52 | \$ 21,197,344.66 | | | |

Budget Projections

| Row Labels | Current Budget | | | | Actuals To Date Total | %Spent | % BFY Passed |
|--|----------------------|----------------------|----------------------|--------------------------------------|-----------------------|--------|--------------|
| | Initial Projection | Approved Adjustments | Proposed Adjustments | (Initial+Bal Fwd+Apvd Adjmts) | | | |
| 10500002 | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS | \$ - | | \$ - | \$ - | \$ - | | #DIV/0! |
| Revenue Projections | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | |
| Greyhound Balance Forward Simulcast 7200 | | | \$ - | \$ - | \$ - | | |
| Plainridge Greyhound Import Simulcast 7200 | \$ 32,174.19 | | \$ - | \$ 32,174.19 | \$ 20,787.86 | | |
| Raynham Greyhound Import Simulcast 7200 | \$ 112,449.69 | | \$ - | \$ 112,449.69 | \$ 77,812.06 | | |
| Wonderland Greyhound Import Simulcast 7200 | \$ 36,338.91 | | \$ - | \$ 36,338.91 | \$ 24,664.08 | | |
| Total | \$ 180,962.79 | \$ - | \$ - | \$ 180,962.79 | \$ 123,264.00 | | |

| Row Labels | Current Budget | | | | Actuals To Date Total | %Spent | % BFY Passed |
|--|------------------------|----------------------|----------------------|-------------------------------|------------------------|------------|--------------|
| | Initial Projection | Approved Adjustments | Proposed Adjustments | (Initial+Bal Fwd+Apvd Adjmts) | | | |
| 1050003 | | | | | | | |
| AA REGULAR EMPLOYEE COMPENSATION | \$ 383,644.22 | | \$ - | \$ 383,644.22 | \$ 274,777.57 | 49% | 67% |
| BB REGULAR EMPLOYEE RELATED EXPEN | \$ 12,000.00 | | \$ - | \$ 12,000.00 | \$ 2,129.38 | 16% | 67% |
| CC SPECIAL EMPLOYEES | \$ 330,000.00 | | \$ - | \$ 330,000.00 | \$ 269,998.77 | 81% | 67% |
| DD PENSION & INSURANCE RELATED EX | \$ 135,249.14 | | \$ 51,618.00 | \$ 135,249.14 | \$ 113,170.13 | 61% | 67% |
| EE ADMINISTRATIVE EXPENSES | \$ 32,855.00 | | \$ 114,181.85 | \$ 32,855.00 | \$ 124,404.31 | 311% | 67% |
| FF PROGRAMMATIC FACILITY OPERATONAL SUPPLIES | \$ 2,000.00 | | \$ - | \$ 2,000.00 | \$ 1,963.24 | 70% | 67% |
| HH CONSULTANT SVCS (TO DEPTS) | \$ 25,000.00 | | \$ - | \$ 25,000.00 | \$ 12,187.50 | 35% | 67% |
| JJ OPERATIONAL SERVICES | \$ 238,300.00 | | \$ 465,300.00 | \$ 238,300.00 | \$ 484,820.19 | 141% | 67% |
| KK EQUIPMENT PURCHASES | \$ - | | \$ 15,000.00 | \$ - | \$ 36,458.90 | | 67% |
| LL EQUIPMENT LEASE-MAINTAIN/REPAR | \$ 3,500.00 | | \$ - | \$ 3,500.00 | \$ 118.74 | 3% | 67% |
| MM PURCHASED CLIENT/PROGRAM SVCS | \$ 235,000.00 | | \$ - | \$ 235,000.00 | \$ 130,000.00 | 0% | 67% |
| NN INFRASTRUCTURE: | | | \$ - | \$ - | \$ - | | #DIV/0! |
| UU IT Non-Payroll Expenses | \$ 78,700.00 | | \$ - | \$ 78,700.00 | \$ 61,657.51 | 50% | 67% |
| ISA to DPH | | | \$ - | \$ - | \$ - | | #DIV/0! |
| Grand Total | \$ 1,476,248.36 | \$ - | \$ 646,099.85 | \$ 1,476,248.36 | \$ 1,511,686.24 | 69% | 67% |

| Row Labels | Current Budget | | | | Actuals Total | %Spent | % BFY Passed |
|--|-----------------------|----------------------|----------------------|--------------------------------------|-----------------------|---------------|--------------|
| | Initial Projection | Approved Adjustments | Proposed Adjustments | (Initial+Apvd Adjmts) | | | |
| Revenue Projections | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | |
| Plainridge Assessment 4800 | \$ 170,849.60 | | \$ - | \$ 170,849.60 | \$ 87,799.36 | | |
| Plainridge Daily License Fee 3003 | \$ 124,695.42 | | \$ - | \$ 124,695.42 | \$ 85,251.11 | | |
| Plainridge Occupational License 3003/3004 | \$ 85,000.00 | | \$ - | \$ 85,000.00 | \$ 17,875.00 | | |
| Plainridge Racing Development Oversight Live 0131 | \$ 18,674.66 | | \$ - | \$ 18,674.66 | \$ 8,464.27 | | |
| Plainridge Racing Development Oversight Simulcast 0131 | \$ 264,972.66 | | \$ - | \$ 264,972.66 | \$ 103,635.79 | | |
| Racing Oversight and Development Balance Forward 0131 | | | \$ - | \$ - | \$ 1,807,217.44 | | |
| Raynham Assessment 4800 | \$ 126,681.83 | | \$ - | \$ 126,681.83 | \$ 86,383.82 | | |
| Raynham Daily License Fee 3003 | \$ 110,931.00 | | \$ - | \$ 110,931.00 | \$ 78,900.00 | | |
| Raynham Racing Development Oversight Simulcast 0131 | \$ 457,149.55 | | \$ - | \$ 457,149.55 | \$ 201,726.37 | | |
| Suffolk Commission Racing Development Oversight Simulcast 0131 | \$ 437,169.33 | | \$ - | \$ 437,169.33 | \$ 293,289.18 | | |
| Suffolk Commission Racing Development Oversight Simulcast 0131 | \$ 170,748.32 | | \$ - | \$ 170,748.32 | \$ 111,443.97 | | |
| Suffolk Daily License Fee 3003 | \$ 80,631.00 | | \$ - | \$ 80,631.00 | \$ 91,000.32 | | |
| Suffolk Occupational License 3003/3004 | \$ 20,000.00 | | \$ - | \$ 20,000.00 | \$ 33,295.00 | | |
| Suffolk Racing Development Oversight Live 0131 | | | \$ - | \$ - | \$ 8,813.87 | | |
| Suffolk TVG Commission Live 0131 | | | \$ - | \$ - | \$ 603.84 | | |
| Suffolk TVG Commission Simulcast 0131 | \$ 92,997.43 | | \$ - | \$ 92,997.43 | \$ 128,266.82 | | |
| Suffolk Twin Spires Commission Live 0131 | | | \$ - | \$ - | \$ 372.74 | | |
| Suffolk Twin Spires Commission Simulcast 0131 | \$ 92,997.43 | | \$ - | \$ 92,997.43 | \$ 76,835.72 | | |
| Suffolk Xpress Bet Commission Live 0131 | | | \$ - | \$ - | \$ 203.03 | | |
| Suffolk Xpress Bet Commission Simulcast 0131 | \$ 92,997.43 | | \$ - | \$ 92,997.43 | \$ 28,412.19 | | |
| Suffolk NYRA Bet Commission Live 0131 | \$ - | | \$ - | \$ - | \$ - | | |
| Suffolk NYRA Bet Commission Simulcast 0131 | \$ - | | \$ - | \$ - | \$ - | | |
| Transfer to General Fund 10500140 0000 | | | \$ - | \$ - | \$ - | | |
| Wonderland Assessment 4800 | \$ 15,132.22 | | \$ - | \$ 15,132.22 | \$ 22,837.42 | | |
| Wonderland Daily License Fee 3003 | \$ 80,073.00 | | \$ - | \$ 80,073.00 | \$ 50,751.17 | | |
| Wonderland Racing Development Oversight Simulcast 0131 | \$ 120,746.64 | | \$ - | \$ 120,746.64 | \$ 20,033.96 | | |
| Plainridge fine 2700 | | | \$ - | \$ - | \$ 12,700.00 | | |
| Suffolk Fine 2700 | | | \$ - | \$ - | \$ - | | |
| Plainridge Unclaimed wagers 5009 | \$ 185,000.00 | | \$ - | \$ 185,000.00 | \$ 163,101.82 | | |
| Suffolk Unclaimed wagers 5009 | \$ 250,000.00 | | \$ - | \$ 250,000.00 | \$ - | | |
| Raynham Unclaimed wagers 5009 | \$ 155,000.00 | | \$ - | \$ 155,000.00 | \$ - | | |
| Wonderland Unclaimed wagers 5009 | \$ 7,000.00 | | \$ - | \$ 7,000.00 | \$ - | | |
| Misc 0131 | | | \$ - | \$ - | \$ - | | |
| Grand Total | \$3,159,447.52 | \$0.00 | \$0.00 | \$3,159,447.52 | \$3,519,214.21 | \$0.00 | |

| Row Labels | Current Budget | | | | Actuals To Date Total | %Spent | % BFY Passed |
|-----------------|--------------------|----------------------|----------------------|-------------------------------|-----------------------|--------|--------------|
| | Initial Projection | Approved Adjustments | Proposed Adjustments | (Initial+Bal Fwd+Apvd Adjmts) | | | |
| 10500004 | | | | | | | |

PP Grants and Subsidies (Community Mitigation Fund)

\$ - \$ 361,662.50 67%

| Revenue Projections | | | | | |
|----------------------------|--------------------|----------------------|----------------------|--------------------------------------|------------------|
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total |
| Balance forward prior year | | | | \$ - | \$ 17,100,375.00 |
| Grand Total | \$ - | \$ - | \$ - | \$ - | \$ 17,100,375.00 |

| Budget Projections | | | | | | | | |
|---|--------------------|----------------------|----------------------|--|-----------------------|--------|--------------|--|
| Row Labels | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Bal Fwd+Apvd Adjmts) | Actuals To Date Total | %Spent | % BFY Passed | |
| 10500005 | | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS (Race Horse Dev Fund) | \$ 14,400,000.00 | \$ - | \$ - | \$ 14,400,000.00 | \$ 9,617,941.85 | 67% | 67% | |
| Revenue Projections | | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | | |
| Balance forward prior year 3003 | | | | \$ - | \$ 12,962,441.68 | | | |
| Race Horse Development Fund assessment 3003 | \$ 15,000,000.00 | | | \$ 15,000,000.00 | \$ 10,403,578.58 | | | |
| Grand Total | \$ 15,000,000.00 | \$ - | \$ - | \$ 15,000,000.00 | \$ 23,366,020.26 | \$ - | | |

| Budget Projections | | | | | | | | |
|---|--------------------|----------------------|----------------------|--|-----------------------|---------|--------------|--|
| Row Labels | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Bal Fwd+Apvd Adjmts) | Actuals To Date Total | %Spent | % BFY Passed | |
| 10500012 | | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS | \$ - | \$ - | \$ - | \$ - | \$ - | #DIV/0! | 67% | |
| Revenue Projections | | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | | |
| Plainridge Import Harness Horse Simulcast 0131 | \$ 1,933.38 | | | \$ 1,933.38 | \$ 13,168.94 | | | |
| Plainridge Racing Harness Horse Live 0131 | \$ 7,272.51 | | | \$ 7,272.51 | \$ 8,090.40 | | | |
| Raynham Import Plainridge Simulcast 0131 | \$ 298.34 | | | \$ 298.34 | \$ 2,801.11 | | | |
| Suffolk Import Plainridge Simulcast 0131 | \$ - | | | \$ - | \$ 2,515.30 | | | |
| Plainridge Racecourse Promo Fund Beginning Balance 7205 | \$ - | | | \$ - | \$ 17,739.08 | | | |
| TVG Live 0131 | \$ - | | | \$ - | \$ - | | | |
| TVG Simulcast 0131 | \$ 9,048.31 | | | \$ 9,048.31 | \$ 8,893.75 | | | |
| Twin Spires Live 01 | \$ - | | | \$ - | \$ - | | | |
| Twin Spires Simulcast 0131 | \$ 11,759.99 | | | \$ 11,759.99 | \$ 9,229.63 | | | |
| Xpress Bets Live 0131 | \$ - | | | \$ - | \$ - | | | |
| Xpress Bets Simulcast 0131 | \$ 2,460.58 | | | \$ 2,460.58 | \$ 1,789.35 | | | |
| NYRA Live 0131 | \$ - | | | \$ - | \$ - | | | |
| NYRA Simulcast 0131 | \$ - | | | \$ - | \$ 166.02 | | | |
| Grand Total | \$ 32,773.11 | \$ - | \$ - | \$ 32,773.11 | \$ 64,393.58 | \$ - | | |

| Budget Projections | | | | | | | | |
|--|--------------------|----------------------|----------------------|--|-----------------------|--------|--------------|--|
| Row Labels | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Bal Fwd+Apvd Adjmts) | Actuals To Date Total | %Spent | % BFY Passed | |
| 10500013 | | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS | \$ 125,000.00 | \$ - | \$ - | \$ 125,000.00 | \$ - | 0% | 67% | |
| Revenue Projections | | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | | |
| Plainridge Import Harness Horse Simulcast 0131 | \$ 24,981.94 | | | \$ 24,981.94 | \$ 32,347.24 | | | |
| Plainridge Racing Harness Horse Live 0131 | \$ 12,020.54 | | | \$ 12,020.54 | \$ 14,144.53 | | | |
| Raynham Import Plainridge Simulcast 0131 | \$ 3,825.51 | | | \$ 3,825.51 | \$ 5,369.14 | | | |
| Suffolk Import Plainridge Simulcast 0131 | \$ - | | | \$ - | \$ 5,652.92 | | | |
| Plainridge Capital Improvement Fund Beginning Balance 7205 | \$ - | | | \$ - | \$ 269,358.42 | | | |
| TVG Live 0131 | \$ - | | \$ - | \$ - | \$ - | | | |
| TVG Simulcast 0131 | \$ 23,526.60 | | \$ - | \$ 23,526.60 | \$ 28,729.24 | | | |
| Twin Spires Live 0131 | \$ - | | \$ - | \$ - | \$ - | | | |
| Twin Spires Simulcast 0131 | \$ 28,932.47 | | \$ - | \$ 28,932.47 | \$ 25,293.74 | | | |
| Xpress Bets Live 0131 | \$ - | | \$ - | \$ - | \$ - | | | |
| Xpress Bets Simulcast 0131 | \$ 9,228.91 | | \$ - | \$ 9,228.91 | \$ 4,798.80 | | | |
| NYRA Live 0131 | \$ - | | \$ - | \$ - | \$ - | | | |
| NYRA Simulcast 0131 | \$ - | | \$ - | \$ - | \$ - | | | |
| Grand Total | \$102,515.97 | \$0.00 | \$0.00 | \$102,515.97 | \$385,694.03 | | | |

| Budget Projections | | | | | | | | |
|--|--------------------|----------------------|----------------------|--|-----------------------|--------|--------------|--|
| Row Labels | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Bal Fwd+Apvd Adjmts) | Actuals To Date Total | %Spent | % BFY Passed | |
| 10500021 | | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS | \$ 146,000.00 | \$ - | \$ - | \$ 146,000.00 | \$ - | 0% | 67% | |
| Revenue Projections | | | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | | |
| Plainridge Import Suffolk Simulcast 0131 | \$ 31,069.66 | | | \$ 31,069.66 | \$ 21,005.74 | | | |
| Raynham Import Suffolk Simulcast 0131 | \$ 15,440.76 | | | \$ 15,440.76 | \$ 12,773.24 | | | |

| | | | | | |
|---|---------------------|---------------|---------------|---------------------|---------------------|
| Suffolk Import Running Horse Simulcast 0131 | \$ 54,208.12 | \$ - | \$ - | \$ 54,208.12 | \$ 36,322.34 |
| Suffolk Racing Running Horse Live 0131 | \$ 1,866.24 | \$ - | \$ - | \$ 1,866.24 | \$ 2,937.96 |
| Suffolk Promotional Fund Beginning Balance 7205 | \$ - | \$ - | \$ - | \$ - | \$ 82,095.54 |
| TVG Live 0131 | \$ 100.85 | \$ - | \$ - | \$ 100.85 | \$ 201.27 |
| TVG Simulcast 0131 | \$ 45,779.53 | \$ - | \$ - | \$ 45,779.53 | \$ 39,130.09 |
| Twin Spires Live 0131 | \$ 48.63 | \$ - | \$ - | \$ 48.63 | \$ 124.25 |
| Twin Spires Simulcast 0131 | \$ 28,161.18 | \$ - | \$ - | \$ 28,161.18 | \$ 21,778.60 |
| Xpress Bets Live 0131 | \$ 28.60 | \$ - | \$ - | \$ 28.60 | \$ 67.68 |
| Xpress Bets Simulcast 0131 | \$ 13,867.46 | \$ - | \$ - | \$ 13,867.46 | \$ 8,766.14 |
| NYRA Live 0131 | \$ - | \$ - | \$ - | \$ - | \$ 2.96 |
| NYRA Simulcast 0131 | \$ - | \$ - | \$ - | \$ - | \$ 2,013.39 |
| Grand Total | \$190,571.03 | \$0.00 | \$0.00 | \$190,571.03 | \$227,219.20 |

| | | Budget Projections | | | Current Budget | Actuals To Date | % Spent | % BFY |
|---|---------------------|----------------------|----------------------|--------------------------------------|-----------------------|-----------------|---------|--------|
| Row Labels | Initial Projection | Approved Adjustments | Proposed Adjustments | (Initial+Bal Fwd+Apvd Adjmts) | Actuals Total | Total | %Spent | Passed |
| 10500022 | | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS | \$ 525,500.00 | \$ - | \$ - | \$ 525,500.00 | \$ 427,779.41 | | 81% | 67% |
| | | Revenue Projections | | | | | | |
| Revenues | Initial Projection | Approved Adjustments | Proposed Adjustments | Current Budget (Initial+Apvd Adjmts) | Actuals Total | | | |
| Plainridge Import Suffolk Simulcast 0131 | \$ 100,662.78 | | \$ - | \$ 100,662.78 | \$ 87,562.48 | | | |
| Raynham Import Suffolk Simulcast 0131 | \$ 78,597.18 | | \$ - | \$ 78,597.18 | \$ 42,977.35 | | | |
| Suffolk Import Running Horse Simulcast 0131 | \$ 177,470.92 | | \$ - | \$ 177,470.92 | \$ 141,602.63 | | | |
| Suffolk Racing Running Horse Live 0131 | \$ 6,129.71 | | \$ - | \$ 6,129.71 | \$ 9,876.27 | | | |
| Suffolk Capital Improvement Fund Beginning Balance 7205 | \$ - | | \$ - | \$ - | \$ 846,341.76 | | | |
| TVG Live 0131 | \$ 279.86 | | \$ - | \$ 279.86 | \$ 684.82 | | | |
| TVG Simulcast 0131 | \$ 172,972.00 | | \$ - | \$ 172,972.00 | \$ 146,489.56 | | | |
| Twin Spires Live 0131 | \$ 124.80 | | \$ - | \$ 124.80 | \$ 475.02 | | | |
| Twin Spires Simulcast 0131 | \$ 83,514.94 | | \$ - | \$ 83,514.94 | \$ 90,040.28 | | | |
| Xpress Bets Live 0131 | \$ 101.42 | | \$ - | \$ 101.42 | \$ 1,451.50 | | | |
| Xpress Bets Simulcast 0131 | \$ 46,749.67 | | \$ - | \$ 46,749.67 | \$ 28,687.76 | | | |
| NYRA Live 0131 | \$ - | | \$ - | \$ - | \$ 3.00 | | | |
| NYRA Simulcast 0131 | \$ - | | \$ - | \$ - | \$ 6,643.99 | | | |
| Grand Total | \$666,603.28 | \$0.00 | \$0.00 | \$666,603.28 | \$1,402,836.42 | | | |

| | | Budget Projections | | | Current Budget | Actuals To Date | % Spent | % BFY |
|-------------------------------|--------------------|----------------------|----------------------|-------------------------------|----------------|-----------------|---------|--------|
| Row Labels | Initial Projection | Approved Adjustments | Proposed Adjustments | (Initial+Bal Fwd+Apvd Adjmts) | Actuals Total | Total | %Spent | Passed |
| 10500140 | | | | | | | | |
| TT LOANS AND SPECIAL PAYMENTS | \$ 1,150,000.00 | \$ - | \$ - | \$ 1,150,000.00 | \$ 394,924.31 | | 34% | 67% |

QRY--Step 16A Budget Amendment Requests by Qtr and Object Class

Amendments for Quarter: **3**

| Approp | Type | Obj Class | Division | Obj Code | Description of Change | Date Requested | Aprvd | Denied | Date Approved | Approved Denied By | Comments | Change Amount |
|------------------------------|-----------|-----------|----------|----------|---|----------------|--------------------------|--------------------------|---------------|--------------------|----------|-----------------------|
| 10500001 | Amendment | AA | | | | | | | | | | |
| | | 1100 | A01 | | Reduction Net Zero to Legal & Licensing | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$26,190.95) |
| | | 1100 | A01 | | Move to unit 1000 Net Zero | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$65,991.49) |
| | | 1200 | A01 | | Adjustment from HR Net Zero | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$13,615.92 |
| | | 1400 | A01 | | Payroll Savings | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$10,000.00) |
| | | 1500 | A01 | | Transfer out Balance | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$15,000.00) |
| | | 1600 | A01 | | Reduction of surplus | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$16,000.00) |
| | | 1700 | A01 | | Move to HH for increase to Mass Council Contract & Sci Games Net Zero | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$17,781.00) |
| | | 5000 | A01 | | Reduction of surplus net zero to legal | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$50,000.00) |
| | | 5000 | A01 | | Reduction of surplus | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$20,000.00) |
| | | 7000 | A01 | | Salaries Inclusive Net Zero from HR | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$12,575.03 |
| Apvd/Pending Subtotal | | | | | | | | | | | | (\$194,772.49) |
| Obj Class Totals | | | | | | | | | | | | (\$194,772.49) |
| | | BB | | | | | | | | | | |
| | | 1000 | B01 | | Out of State Travel Reimbursement | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$200.00 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$200.00 |
| Obj Class Totals | | | | | | | | | | | | \$200.00 |
| | | CC | | | | | | | | | | |
| | | 1700 | C23 | | Move to HH for Bruce Cohen Contract Net Zero | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$11,495.00) |
| Apvd/Pending Subtotal | | | | | | | | | | | | (\$11,495.00) |
| Obj Class Totals | | | | | | | | | | | | (\$11,495.00) |
| | | DD | | | | | | | | | | |
| | | 1100 | D09 | | Corresponding reduction to A01 | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$32,420.57) |
| | | 5000 | D09 | | Reduction of Surplus | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$24,000.00) |
| | | 1100 | D15 | | Worker's Comp Net Zero to Legal | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$49,000.00) |
| Apvd/Pending Subtotal | | | | | | | | | | | | (\$105,420.57) |
| Obj Class Totals | | | | | | | | | | | | (\$105,420.57) |
| | | EE | | | | | | | | | | |

| Approp | Type | Obj Class | Division | Obj Code | Description of Change | Date Requested | Aprvd | Denied | Date Approved | Approved Denied By | Comments | Change Amount |
|------------------------------|------|-----------|----------|----------|---|----------------|--------------------------|--------------------------|---------------|--------------------|----------|-----------------------|
| | | | 1700 | E16 | Indirect Cost Recoupment Net Zero | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$13,394.00) |
| | | | 1000 | E19 | Fast Lane Charges | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$300.00 |
| | | | 1000 | E40 | Travel Agency Costs | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$2,000.00 |
| | | | 1700 | E41 | Travel expenses budgeted in BB not E41 | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$6,175.00 |
| | | | 7000 | E41 | Out of State Travel Expen on Behalf of State Empl | 3/6/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$1,909.58) |
| Apvd/Pending Subtotal | | | | | | | | | | | | (\$6,828.58) |
| Obj Class Totals | | | | | | | | | | | | (\$6,828.58) |
| HH | | | | | | | | | | | | |
| | | | 1200 | H09 | Attorneys/Litigation Net Zero from other Depts. | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$229,000.00 |
| | | | 1000 | H19 | Eide Baily | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$49,691.49 |
| | | | 1300 | H19 | MANAGEMENT CONSULTANTS NET ZERO TO LEGAL | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$80,000.00) |
| | | | 1600 | H19 | Workforce Coordinator Net Zero to Legal | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$25,000.00) |
| | | | 1700 | H19 | Mass Council contract increased Net Zero | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$107,000.00 |
| | | | 1700 | H19 | Michael & Carroll/ Christopher Bruce Reducation | 3/16/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$10,000.00) |
| | | | 1900 | H19 | Construction Consultant Net Zero to Legal | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$25,000.00) |
| | | | 1700 | H23 | Bruce Cohen Contract Net Zero | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$11,495.00 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$257,186.49 |
| Obj Class Totals | | | | | | | | | | | | \$257,186.49 |
| KK | | | | | | | | | | | | |
| | | | 1000 | K07 | Standing Desk WB Mason | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$1,000.00 |
| | | | 7000 | K07 | File Cabinets | 3/6/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$1,909.58 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$2,909.58 |
| Obj Class Totals | | | | | | | | | | | | \$2,909.58 |
| PP | | | | | | | | | | | | |
| | | | 1700 | P01 | Data Storage Project Net Zero move to Council Amendment | 3/16/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$100,000.00) |
| | | | 1700 | P01 | Grants/Data Storage Net Zero | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | (\$3,700.00) |
| Apvd/Pending Subtotal | | | | | | | | | | | | (\$103,700.00) |
| Obj Class Totals | | | | | | | | | | | | (\$103,700.00) |
| UU | | | | | | | | | | | | |
| | | | 1700 | U | Itrac/Iview systems Net Zero | 3/20/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$3,700.00 |

Amendments for Quarter: **3**

| Approp | Type | Obj Class | Division | Obj Code | Description of Change | Date Requested | Aprvd | Denied | Date Approved | Approved Denied By | Comments | Change Amount |
|------------------------------|-----------|-----------|----------|----------|------------------------------------|----------------|--------------------------|--------------------------|---------------|--------------------|----------|-----------------------|
| | | | 1700 | U03 | Sci Games/Play Management Net Zero | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$28,000.00 |
| | | | 1000 | U10 | Comcast Bandwidth | 3/13/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$12,800.00 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$44,500.00 |
| Obj Class Totals | | | | | | | | | | | | \$44,500.00 |
| Type Totals | | | | | | | | | | | | (\$117,420.57) |
| Appropriation Totals | | | | | | | | | | | | (\$117,420.57) |
| 10500003 | Amendment | | | | | | | | | | | |
| | | DD | | | | | | | | | | |
| | | | 3000 | D15 | Worker's Compensation | 3/9/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$51,618.00 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$51,618.00 |
| Obj Class Totals | | | | | | | | | | | | \$51,618.00 |
| | | EE | | | | | | | | | | |
| | | | 3000 | E16 | Indirect Charges | 3/9/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$114,181.85 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$114,181.85 |
| Obj Class Totals | | | | | | | | | | | | \$114,181.85 |
| | | JJ | | | | | | | | | | |
| | | | 3000 | J25 | State Police | 3/9/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$465,300.00 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$465,300.00 |
| Obj Class Totals | | | | | | | | | | | | \$465,300.00 |
| | | KK | | | | | | | | | | |
| | | | 3000 | K07 | Desks/ Chairs / File Cabinets | 3/9/2017 | <input type="checkbox"/> | <input type="checkbox"/> | | | | \$15,000.00 |
| Apvd/Pending Subtotal | | | | | | | | | | | | \$15,000.00 |
| Obj Class Totals | | | | | | | | | | | | \$15,000.00 |
| Type Totals | | | | | | | | | | | | \$646,099.85 |
| Appropriation Totals | | | | | | | | | | | | \$646,099.85 |

QRY--Step 05A Expense Budget Form

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|------|---------------|----------|---------------|---|-----------------------|------------------|-----------------------|-----------------------|---------------------|-----------------------|---------------------|---------------|---------------|---------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500001 | | | | | | | | | | | | | |
| | | 1000 | | Division of Finance and Administration | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$500,089.89 | \$0.00 | \$378,592.70 | \$393,592.70 | \$15,000.00 | \$408,592.70 | \$91,497.19 | 78.70% | 81.70% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$2,000.00 | \$0.00 | \$973.71 | \$973.71 | \$0.00 | \$973.71 | \$1,026.29 | 48.69% | 48.69% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$175,881.61 | \$0.00 | \$125,658.00 | \$125,658.00 | \$0.00 | \$125,658.00 | \$50,223.61 | 71.44% | 71.44% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$196,988.63 | \$822.49 | \$138,801.96 | \$139,624.45 | \$44,121.78 | \$183,746.23 | \$13,242.40 | 70.88% | 93.28% | 77.53% |
| | | | GG | ENERGY COSTS AND SPACE RENTAL | \$1,193,902.62 | \$0.00 | \$989,980.55 | \$989,980.55 | \$210,382.07 | \$1,200,362.62 | (\$6,460.00) | 82.92% | 100.54% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$125,000.00 | \$0.00 | \$140,819.84 | \$140,819.84 | \$25,042.56 | \$165,862.40 | (\$40,862.40) | 112.66% | 132.69% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$2,000.00 | \$0.00 | \$1,061.20 | \$1,061.20 | \$738.80 | \$1,800.00 | \$200.00 | 53.06% | 90.00% | 77.53% |
| | | | KK | EQUIPMENT PURCHASE | \$0.00 | \$0.00 | \$415.96 | \$415.96 | \$27.37 | \$443.33 | (\$443.33) | #Div/0! | #Div/0! | 77.53% |
| | | | LL | EQUIPMENT LEASE-MAINTAIN/REPAR | \$29,683.80 | \$0.00 | \$3,878.86 | \$3,878.86 | \$10,365.37 | \$14,244.23 | \$15,439.57 | 13.07% | 47.99% | 77.53% |
| | | | UU | IT Non-Payroll Expenses | \$1,900.00 | \$0.00 | \$10,504.88 | \$10,504.88 | \$15,076.45 | \$25,581.33 | (\$23,681.33) | 552.89% | ##### | 77.53% |
| | | | Total: | Division of Finance and Administration | \$2,227,446.55 | \$822.49 | \$1,790,687.66 | \$1,806,510.15 | \$320,754.40 | \$2,127,264.55 | \$100,182.00 | 81.10% | 95.50% | 77.53% |
| | | 1100 | | Human Resources | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$360,718.51 | \$0.00 | \$177,409.94 | \$177,409.94 | \$0.00 | \$177,409.94 | \$183,308.57 | 49.18% | 49.18% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$1,000.00 | \$0.00 | \$97.10 | \$97.10 | \$0.00 | \$97.10 | \$902.90 | 9.71% | 9.71% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$187,283.52 | \$0.00 | \$59,195.55 | \$59,195.55 | \$0.00 | \$59,195.55 | \$128,087.97 | 31.61% | 31.61% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$66,199.85 | \$0.00 | \$32,420.45 | \$32,420.45 | \$3,315.15 | \$35,735.60 | \$30,464.25 | 48.97% | 53.98% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$5,000.00 | \$0.00 | \$1,580.02 | \$1,580.02 | \$0.00 | \$1,580.02 | \$3,419.98 | 31.60% | 31.60% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$19,750.00 | \$0.00 | \$3,502.00 | \$3,502.00 | \$774.00 | \$4,276.00 | \$15,474.00 | 17.73% | 21.65% | 77.53% |
| | | | Total: | Human Resources | \$639,951.88 | \$0.00 | \$274,205.06 | \$274,205.06 | \$4,089.15 | \$278,294.21 | \$361,657.67 | 42.85% | 43.49% | 77.53% |
| | | 1200 | | Office of the General Counsel | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$496,797.94 | \$0.00 | \$385,293.34 | \$385,293.34 | \$0.00 | \$385,293.34 | \$111,504.60 | 77.56% | 77.56% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$6,500.00 | \$0.00 | \$1,240.55 | \$1,240.55 | \$0.00 | \$1,240.55 | \$5,259.45 | 19.09% | 19.09% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$165,543.17 | \$0.00 | \$127,952.29 | \$127,952.29 | \$0.00 | \$127,952.29 | \$37,590.88 | 77.29% | 77.29% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$141,640.11 | \$0.00 | \$100,331.10 | \$100,331.10 | \$11,029.18 | \$111,360.28 | \$30,279.83 | 70.84% | 78.62% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$659,603.14 | \$0.00 | \$538,492.26 | \$538,492.26 | \$75,956.35 | \$614,448.61 | \$45,154.53 | 81.64% | 93.15% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$10,000.00 | \$0.00 | \$3,991.62 | \$3,991.62 | \$11,377.25 | \$15,368.87 | (\$5,368.87) | 39.92% | 153.69% | 77.53% |

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|------|---------------|----------|---------------|---|-----------------------|------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------------------|---------------|---------------|-----------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500001 | | | | | | | | | | | | | |
| | | 1200 | | Office of the General Counsel | | | | | | | | | | |
| | | | Total: | Office of the General Counsel | \$1,480,084.36 | \$0.00 | \$1,157,301.16 | \$1,157,301.16 | \$98,362.78 | \$1,255,663.94 | \$224,420.42 | 78.19% | 84.84% | 77.53% |
| | | 1300 | | Executive Director | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$378,640.38 | \$0.00 | \$287,434.31 | \$287,434.31 | \$0.00 | \$287,434.31 | \$91,206.07 | 75.91% | 75.91% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$8,000.00 | \$0.00 | \$2,426.29 | \$2,426.29 | \$0.00 | \$2,426.29 | \$5,573.71 | 30.33% | 30.33% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$133,167.82 | \$0.00 | \$95,099.21 | \$95,099.21 | \$0.00 | \$95,099.21 | \$38,068.61 | 71.41% | 71.41% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$88,864.04 | \$0.00 | \$44,764.12 | \$44,764.12 | \$5,397.14 | \$50,161.26 | \$38,702.78 | 50.37% | 56.45% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$260,000.00 | \$0.00 | \$117,221.64 | \$117,221.64 | \$62,778.36 | \$180,000.00 | \$80,000.00 | 45.09% | 69.23% | 77.53% |
| | | | Total: | Executive Director | \$868,672.24 | \$0.00 | \$546,945.57 | \$546,945.57 | \$68,175.50 | \$615,121.07 | \$253,551.17 | 62.96% | 70.81% | 77.53% |
| | | 1400 | | Information Technology | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$563,295.91 | \$0.00 | \$400,503.90 | \$400,503.90 | \$0.00 | \$400,503.90 | \$162,792.01 | 71.10% | 71.10% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$9,000.00 | \$0.00 | \$2,689.16 | \$2,689.16 | \$0.00 | \$2,689.16 | \$6,310.84 | 29.88% | 29.88% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$198,111.17 | \$0.00 | \$133,009.63 | \$133,009.63 | \$0.00 | \$133,009.63 | \$65,101.54 | 67.14% | 67.14% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$462,369.29 | \$0.00 | \$275,170.78 | \$275,170.78 | \$41,575.55 | \$316,746.33 | \$145,622.96 | 59.51% | 68.51% | 77.53% |
| | | | GG | ENERGY COSTS AND SPACE RENTAL | \$28,000.00 | \$0.00 | \$25,036.11 | \$25,036.11 | \$9,593.23 | \$34,629.34 | (\$6,629.34) | 89.41% | 123.68% | 77.53% |
| | | | UU | IT Non-Payroll Expenses | \$3,628,676.18 | \$0.00 | \$2,130,888.67 | \$2,130,888.67 | \$1,120,788.36 | \$3,251,677.03 | \$376,999.15 | 58.72% | 89.61% | 77.53% |
| | | | Total: | Information Technology | \$4,889,452.55 | \$0.00 | \$2,967,298.25 | \$2,967,298.25 | \$1,171,957.14 | \$4,139,255.39 | \$750,197.16 | 60.69% | 84.66% | 77.53% |
| | | 1500 | | Commissioners | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$884,653.65 | \$0.00 | \$670,282.57 | \$670,282.57 | \$0.00 | \$670,282.57 | \$214,371.08 | 75.77% | 75.77% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$10,000.00 | \$0.00 | \$7,906.31 | \$7,906.31 | \$0.00 | \$7,906.31 | \$2,093.69 | 79.06% | 79.06% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$311,132.69 | \$0.00 | \$221,402.62 | \$221,402.62 | \$0.00 | \$221,402.62 | \$89,730.07 | 71.16% | 71.16% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$155,125.37 | \$0.00 | \$89,477.92 | \$89,477.92 | \$36,644.30 | \$126,122.22 | \$29,003.15 | 57.68% | 81.30% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$50,000.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$50,000.00 | 0.00% | 0.00% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$54,600.00 | \$0.00 | \$24,371.00 | \$24,371.00 | \$30,429.00 | \$54,800.00 | (\$200.00) | 44.64% | 100.37% | 77.53% |
| | | | Total: | Commissioners | \$1,465,511.71 | \$0.00 | \$1,013,440.42 | \$1,013,440.42 | \$67,073.30 | \$1,080,513.72 | \$384,997.99 | 69.15% | 73.73% | 77.53% |
| | | 1600 | | Office of Workforce, Supplier and Diversity Development | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$192,279.28 | \$0.00 | \$121,074.75 | \$121,074.75 | \$0.00 | \$121,074.75 | \$71,204.53 | 62.97% | 62.97% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$5,000.00 | \$0.00 | \$3,989.56 | \$3,989.56 | \$0.00 | \$3,989.56 | \$1,010.44 | 79.79% | 79.79% | 77.53% |

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|------|---------------|----------|---------------|---|-----------------------|------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------------------|---------------|---------------|---------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500001 | | | | | | | | | | | | | |
| | | 1600 | | Office of Workforce, Supplier and Diversity Development | | | | | | | | | | |
| | | | CC | SPECIAL EMPLOYEES | \$6,862.80 | \$0.00 | \$6,862.80 | \$6,862.80 | \$0.00 | \$6,862.80 | \$0.00 | 100.00% | 100.00% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$69,159.02 | \$0.00 | \$39,821.22 | \$39,821.22 | \$0.00 | \$39,821.22 | \$29,337.80 | 57.58% | 57.58% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$59,664.21 | \$0.00 | \$27,236.12 | \$27,236.12 | \$4,495.75 | \$31,731.87 | \$27,932.34 | 45.65% | 53.18% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$150,000.00 | \$0.00 | \$24,500.00 | \$24,500.00 | \$75,000.00 | \$99,500.00 | \$50,500.00 | 16.33% | 66.33% | 77.53% |
| | | | PP | STATE AID/POL SUB | \$125,000.00 | \$0.00 | \$5,000.00 | \$5,000.00 | \$50,000.00 | \$55,000.00 | \$70,000.00 | 4.00% | 44.00% | 77.53% |
| | | | Total: | Office of Workforce, Supplier and Diversity D | \$607,965.31 | \$0.00 | \$228,484.45 | \$228,484.45 | \$129,495.75 | \$357,980.20 | \$249,985.11 | 37.58% | 58.88% | 77.53% |
| | | 1700 | | Office of Research and Problem Gambling | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$322,465.30 | \$0.00 | \$164,228.84 | \$164,228.84 | \$0.00 | \$164,228.84 | \$158,236.46 | 50.93% | 50.93% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$9,000.00 | \$0.00 | \$3,368.65 | \$3,368.65 | \$0.00 | \$3,368.65 | \$5,631.35 | 37.43% | 37.43% | 77.53% |
| | | | CC | SPECIAL EMPLOYEES | \$20,000.00 | \$0.00 | \$2,112.00 | \$2,112.00 | \$0.00 | \$2,112.00 | \$17,888.00 | 10.56% | 10.56% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$113,745.03 | \$0.00 | \$53,995.74 | \$53,995.74 | \$0.00 | \$53,995.74 | \$59,749.29 | 47.47% | 47.47% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$192,715.53 | \$0.00 | \$108,727.04 | \$108,727.04 | \$5,871.55 | \$114,598.59 | \$78,116.94 | 56.42% | 59.47% | 77.53% |
| | | | FF | FACILITY OPERATIONAL EXPENSES | \$500.00 | \$0.00 | \$0.00 | \$0.00 | \$100.00 | \$100.00 | \$400.00 | 0.00% | 20.00% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$1,427,500.00 | \$0.00 | \$833,762.58 | \$833,762.58 | \$557,325.18 | \$1,391,087.76 | \$36,412.24 | 58.41% | 97.45% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$20,000.00 | \$0.00 | \$4,481.25 | \$4,481.25 | \$15,218.75 | \$19,700.00 | \$300.00 | 22.41% | 98.50% | 77.53% |
| | | | MM | PURCHASED CLIENT/PROGRAM SVCS | \$40,000.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$40,000.00 | 0.00% | 0.00% | 77.53% |
| | | | PP | STATE AID/POL SUB | \$2,455,000.00 | \$0.00 | \$1,061,081.71 | \$1,061,081.71 | \$964,013.29 | \$2,025,095.00 | \$429,905.00 | 43.22% | 82.49% | 77.53% |
| | | | UU | IT Non-Payroll Expenses | \$65,000.00 | \$0.00 | \$8,966.46 | \$8,966.46 | \$82,050.24 | \$91,016.70 | (\$26,016.70) | 13.79% | 140.03% | 77.53% |
| | | | Total: | Office of Research and Problem Gambling | \$4,665,925.86 | \$0.00 | \$2,240,724.27 | \$2,240,724.27 | \$1,624,579.01 | \$3,865,303.28 | \$800,622.58 | 48.02% | 82.84% | 77.53% |
| | | 1800 | | Office of Communications | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$209,210.02 | \$0.00 | \$159,267.90 | \$159,267.90 | \$0.00 | \$159,267.90 | \$49,942.12 | 76.13% | 76.13% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$3,900.00 | \$0.00 | \$2,494.18 | \$2,494.18 | \$0.00 | \$2,494.18 | \$1,405.82 | 63.95% | 63.95% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$73,579.17 | \$0.00 | \$52,532.12 | \$52,532.12 | \$0.00 | \$52,532.12 | \$21,047.05 | 71.40% | 71.40% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$57,521.00 | \$0.00 | \$40,217.65 | \$40,217.65 | \$10,158.64 | \$50,376.29 | \$7,144.71 | 69.92% | 87.58% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$25,000.00 | \$0.00 | \$5,999.48 | \$5,999.48 | \$19,000.52 | \$25,000.00 | \$0.00 | 24.00% | 100.00% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$30,000.00 | \$0.00 | \$19,163.50 | \$19,163.50 | \$10,836.50 | \$30,000.00 | \$0.00 | 63.88% | 100.00% | 77.53% |
| | | | KK | EQUIPMENT PURCHASE | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | #Div/0! | #Div/0! | 77.53% |
| | | | Total: | Office of Communications | \$399,210.19 | \$0.00 | \$279,674.83 | \$279,674.83 | \$39,995.66 | \$319,670.49 | \$79,539.70 | 70.06% | 80.08% | 77.53% |

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|------|---------------|----------|---------------|-----------------------------------|-----------------------|------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------------------|---------------|---------------|---------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500001 | | | | | | | | | | | | | |
| | 1900 | | | Ombudsman | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$314,594.66 | \$0.00 | \$238,258.07 | \$238,258.07 | \$0.00 | \$238,258.07 | \$76,336.59 | 75.73% | 75.73% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$0.00 | \$0.00 | \$175.13 | \$175.13 | \$0.00 | \$175.13 | (\$175.13) | #Div/0! | #Div/0! | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$110,642.94 | \$0.00 | \$78,888.00 | \$78,888.00 | \$0.00 | \$78,888.00 | \$31,754.94 | 71.30% | 71.30% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$37,459.47 | \$0.00 | \$22,620.09 | \$22,620.09 | \$0.00 | \$22,620.09 | \$14,839.38 | 60.39% | 60.39% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$50,000.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$50,000.00 | 0.00% | 0.00% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$10,000.00 | \$0.00 | \$0.00 | \$0.00 | \$5,000.00 | \$5,000.00 | \$5,000.00 | 0.00% | 50.00% | 77.53% |
| | | | PP | STATE AID/POL SUB | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | #Div/0! | #Div/0! | 77.53% |
| | | | Total: | Ombudsman | \$522,697.07 | \$0.00 | \$339,941.29 | \$339,941.29 | \$5,000.00 | \$344,941.29 | \$177,755.78 | 65.04% | 65.99% | 77.53% |
| | 5000 | | | Investigations Enforcement | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$1,717,026.32 | \$0.00 | \$1,197,492.83 | \$1,197,492.83 | \$0.00 | \$1,197,492.83 | \$519,533.49 | 69.74% | 69.74% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$19,000.00 | \$0.00 | \$8,865.29 | \$8,865.29 | \$0.00 | \$8,865.29 | \$10,134.71 | 46.66% | 46.66% | 77.53% |
| | | | CC | SPECIAL EMPLOYEES | \$100,000.00 | \$0.00 | \$68,488.40 | \$68,488.40 | \$0.00 | \$68,488.40 | \$31,511.60 | 68.49% | 68.49% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$611,299.22 | \$0.00 | \$388,965.08 | \$388,965.08 | \$0.00 | \$388,965.08 | \$222,334.14 | 63.63% | 63.63% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$682,186.21 | \$0.00 | \$398,271.62 | \$398,271.62 | \$25,355.14 | \$423,626.76 | \$258,559.45 | 58.38% | 62.10% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$0.00 | \$0.00 | \$41,073.22 | \$41,073.22 | \$432,725.40 | \$473,798.62 | (\$473,798.62) | #Div/0! | #Div/0! | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$3,052,835.78 | \$0.00 | \$1,914,831.73 | \$1,914,831.73 | \$899,718.49 | \$2,814,550.22 | \$238,285.56 | 62.72% | 92.19% | 77.53% |
| | | | KK | EQUIPMENT PURCHASE | \$8,900.00 | \$0.00 | \$8,897.68 | \$8,897.68 | \$1,077.32 | \$9,975.00 | (\$1,075.00) | 99.97% | 112.08% | 77.53% |
| | | | UU | IT Non-Payroll Expenses | \$12,000.00 | \$0.00 | \$0.00 | \$0.00 | \$750.00 | \$750.00 | \$11,250.00 | 0.00% | 6.25% | 77.53% |
| | | | Total: | Investigations Enforcement | \$6,203,247.53 | \$0.00 | \$4,026,885.85 | \$4,026,885.85 | \$1,359,626.35 | \$5,386,512.20 | \$816,735.33 | 64.92% | 86.83% | 77.53% |
| | 7000 | | | Licensing | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$390,569.51 | \$0.00 | \$302,797.04 | \$302,797.04 | \$0.00 | \$302,797.04 | \$87,772.47 | 77.53% | 77.53% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$7,500.00 | \$0.00 | \$1,938.00 | \$1,938.00 | \$0.00 | \$1,938.00 | \$5,562.00 | 25.84% | 25.84% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$137,458.27 | \$0.00 | \$101,035.43 | \$101,035.43 | \$0.00 | \$101,035.43 | \$36,422.84 | 73.50% | 73.50% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$55,056.96 | \$0.00 | \$30,506.10 | \$30,506.10 | \$8,836.49 | \$39,342.59 | \$15,714.37 | 55.41% | 71.46% | 77.53% |
| | | | Total: | Licensing | \$590,584.74 | \$0.00 | \$436,276.57 | \$436,276.57 | \$8,836.49 | \$445,113.06 | \$145,471.68 | 73.87% | 75.37% | 77.53% |
| | 9000 | | | AGO State Police | | | | | | | | | | |
| | | | EE | ADMINISTRATIVE EXPENSES | \$47,230.38 | \$0.00 | \$16,909.59 | \$16,909.59 | \$0.00 | \$16,909.59 | \$30,320.79 | 35.80% | 35.80% | 77.53% |

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|------|---------------|-----------------|---------------|---|------------------------|------------------|------------------------|------------------------|-----------------------|------------------------|-----------------------|---------------|---------------|---------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500001 | | | | | | | | | | | | | |
| | | 9000 | | AGO State Police | | | | | | | | | | |
| | | | JJ | OPERATIONAL SERVICES | \$472,303.76 | \$0.00 | \$169,095.85 | \$169,095.85 | \$303,207.91 | \$472,303.76 | \$0.00 | 35.80% | 100.00% | 77.53% |
| | | | Total: | AGO State Police | \$519,534.14 | \$0.00 | \$186,005.44 | \$186,005.44 | \$303,207.91 | \$489,213.35 | \$30,320.79 | 35.80% | 94.16% | 77.53% |
| | Total: | 10500001 | | | \$25,080,284.13 | \$822.49 | \$15,487,870.82 | \$15,503,693.31 | \$5,201,153.44 | \$20,704,846.75 | \$4,375,437.38 | 61.82% | 82.55% | 77.53% |
| | 10500003 | | | | | | | | | | | | | |
| | | 1000 | | Division of Finance and Administration | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$24,808.99 | \$0.00 | \$19,142.12 | \$19,142.12 | \$0.00 | \$19,142.12 | \$5,666.87 | 77.16% | 77.16% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$8,725.32 | \$0.00 | \$6,349.47 | \$6,349.47 | \$0.00 | \$6,349.47 | \$2,375.85 | 72.77% | 72.77% | 77.53% |
| | | | Total: | Division of Finance and Administration | \$33,534.31 | \$0.00 | \$25,491.59 | \$25,491.59 | \$0.00 | \$25,491.59 | \$8,042.72 | 76.02% | 76.02% | 77.53% |
| | | 1100 | | Human Resources | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$20,887.31 | \$0.00 | \$4,673.68 | \$4,673.68 | \$0.00 | \$4,673.68 | \$16,213.63 | 22.38% | 22.38% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$2,156.54 | \$0.00 | \$1,559.73 | \$1,559.73 | \$0.00 | \$1,559.73 | \$596.81 | 72.33% | 72.33% | 77.53% |
| | | | Total: | Human Resources | \$23,043.85 | \$0.00 | \$6,233.41 | \$6,233.41 | \$0.00 | \$6,233.41 | \$16,810.44 | 27.05% | 27.05% | 77.53% |
| | | 1200 | | Office of the General Counsel | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$7,590.34 | \$0.00 | \$5,926.39 | \$5,926.39 | \$0.00 | \$5,926.39 | \$1,663.95 | 78.08% | 78.08% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$2,669.52 | \$0.00 | \$1,941.95 | \$1,941.95 | \$0.00 | \$1,941.95 | \$727.57 | 72.75% | 72.75% | 77.53% |
| | | | Total: | Office of the General Counsel | \$10,259.86 | \$0.00 | \$7,868.34 | \$7,868.34 | \$0.00 | \$7,868.34 | \$2,391.52 | 76.69% | 76.69% | 77.53% |
| | | 1300 | | Executive Director | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$9,321.15 | \$0.00 | \$7,277.77 | \$7,277.77 | \$0.00 | \$7,277.77 | \$2,043.38 | 78.08% | 78.08% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$3,278.25 | \$0.00 | \$2,384.72 | \$2,384.72 | \$0.00 | \$2,384.72 | \$893.53 | 72.74% | 72.74% | 77.53% |
| | | | Total: | Executive Director | \$12,599.40 | \$0.00 | \$9,662.49 | \$9,662.49 | \$0.00 | \$9,662.49 | \$2,936.91 | 76.69% | 76.69% | 77.53% |
| | | 1400 | | Information Technology | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$9,735.42 | \$0.00 | \$7,623.10 | \$7,623.10 | \$0.00 | \$7,623.10 | \$2,112.32 | 78.30% | 78.30% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$3,423.95 | \$0.00 | \$2,509.82 | \$2,509.82 | \$0.00 | \$2,509.82 | \$914.13 | 73.30% | 73.30% | 77.53% |
| | | | Total: | Information Technology | \$13,159.37 | \$0.00 | \$10,132.92 | \$10,132.92 | \$0.00 | \$10,132.92 | \$3,026.45 | 77.00% | 77.00% | 77.53% |
| | | 1500 | | Commissioners | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$30,868.79 | \$0.00 | \$23,727.04 | \$23,727.04 | \$0.00 | \$23,727.04 | \$7,141.75 | 76.86% | 76.86% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$10,856.55 | \$0.00 | \$7,890.10 | \$7,890.10 | \$0.00 | \$7,890.10 | \$2,966.45 | 72.68% | 72.68% | 77.53% |

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|---------------|-----------------|----------|---------------|--------------------------------|-----------------------|------------------|-----------------------|-----------------------|---------------------|-----------------------|-----------------------|----------------|----------------|-----------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500003 | | | | | | | | | | | | | |
| | | 1500 | | Commissioners | | | | | | | | | | |
| | | | Total: | Commissioners | \$41,725.34 | \$0.00 | \$31,617.14 | \$31,617.14 | \$0.00 | \$31,617.14 | \$10,108.20 | 75.77% | 75.77% | 77.53% |
| | | 3000 | | Racing Division | | | | | | | | | | |
| | | | AA | REGULAR EMPLOYEE COMPENSATION | \$280,432.22 | \$0.00 | \$228,904.39 | \$228,904.39 | \$0.00 | \$228,904.39 | \$51,527.83 | 81.63% | 81.63% | 77.53% |
| | | | BB | REGULAR EMPLOYEE RELATED EXPEN | \$12,000.00 | \$0.00 | \$1,498.93 | \$1,498.93 | \$0.00 | \$1,498.93 | \$10,501.07 | 12.49% | 12.49% | 77.53% |
| | | | CC | SPECIAL EMPLOYEES | \$330,000.00 | \$0.00 | \$274,848.73 | \$274,848.73 | \$0.00 | \$274,848.73 | \$55,151.27 | 83.29% | 83.29% | 77.53% |
| | | | DD | PENSION & INSURANCE RELATED EX | \$105,139.01 | \$0.00 | \$100,924.49 | \$100,924.49 | \$28,997.47 | \$129,921.96 | (\$24,782.95) | 95.99% | 123.57% | 77.53% |
| | | | EE | ADMINISTRATIVE EXPENSES | \$32,855.00 | \$0.00 | \$127,325.61 | \$127,325.61 | \$21,207.38 | \$148,532.99 | (\$115,677.99) | 387.54% | 452.09% | 77.53% |
| | | | FF | FACILITY OPERATIONAL EXPENSES | \$2,000.00 | \$0.00 | \$1,963.24 | \$1,963.24 | \$200.00 | \$2,163.24 | (\$163.24) | 98.16% | 108.16% | 77.53% |
| | | | HH | CONSULTANT SVCS (TO DEPTS) | \$25,000.00 | \$0.00 | \$12,187.50 | \$12,187.50 | \$12,812.50 | \$25,000.00 | \$0.00 | 48.75% | 100.00% | 77.53% |
| | | | JJ | OPERATIONAL SERVICES | \$238,300.00 | \$0.00 | \$484,820.19 | \$484,820.19 | \$322,956.03 | \$807,776.22 | (\$569,476.22) | 203.45% | 338.97% | 77.53% |
| | | | LL | EQUIPMENT LEASE-MAINTAIN/REPAR | \$3,500.00 | \$0.00 | \$118.74 | \$118.74 | \$378.84 | \$497.58 | \$3,002.42 | 3.39% | 14.22% | 77.53% |
| | | | MM | PURCHASED CLIENT/PROGRAM SVCS | \$235,000.00 | \$0.00 | \$130,000.00 | \$130,000.00 | \$0.00 | \$130,000.00 | \$105,000.00 | 55.32% | 55.32% | 77.53% |
| | | | UU | IT Non-Payroll Expenses | \$78,700.00 | \$0.00 | \$61,657.51 | \$61,657.51 | \$39,916.05 | \$101,573.56 | (\$22,873.56) | 78.34% | 129.06% | 77.53% |
| | | | Total: | Racing Division | \$1,342,926.23 | \$0.00 | \$1,424,249.33 | \$1,424,249.33 | \$426,468.27 | \$1,850,717.60 | (\$507,791.37) | 106.06% | 137.81% | 77.53% |
| Total: | 10500003 | | | | \$1,477,248.36 | \$0.00 | \$1,515,255.22 | \$1,515,255.22 | \$426,468.27 | \$1,941,723.49 | (\$464,475.13) | 102.57% | 131.44% | 77.53% |
| | 10500013 | | | | | | | | | | | | | |
| | | 3000 | | Racing Division | | | | | | | | | | |
| | | | TT | LOANS AND SPECIAL PAYMENTS | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | #Div/0! | #Div/0! | 77.53% |
| | | | Total: | Racing Division | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | #Div/0! | #Div/0! | 77.53% |
| Total: | 10500013 | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | #Div/0! | #Div/0! | 77.53% |
| | 10500021 | | | | | | | | | | | | | |
| | | 3000 | | Racing Division | | | | | | | | | | |
| | | | TT | LOANS AND SPECIAL PAYMENTS | \$0.00 | \$0.00 | \$206,952.57 | \$206,952.57 | \$0.00 | \$206,952.57 | (\$206,952.57) | #Div/0! | #Div/0! | 77.53% |
| | | | Total: | Racing Division | \$0.00 | \$0.00 | \$206,952.57 | \$206,952.57 | \$0.00 | \$206,952.57 | (\$206,952.57) | #Div/0! | #Div/0! | 77.53% |
| Total: | 10500021 | | | | \$0.00 | \$0.00 | \$206,952.57 | \$206,952.57 | \$0.00 | \$206,952.57 | (\$206,952.57) | #Div/0! | #Div/0! | 77.53% |
| | 10500022 | | | | | | | | | | | | | |
| | | 3000 | | Racing Division | | | | | | | | | | |

| BFY | Appropriation | Division | Obj Clas | Object Class Name | Obligation Ceiling | Accrued Expenses | Cash Expenses | Total Expenses | Encumbered | Committed | Uncommitted | % Spent | % Comtd | % BFY Passed |
|---------------|-----------------|---------------|-------------|----------------------------|--------------------|------------------|---------------------|---------------------|---------------|---------------------|-----------------------|----------------|----------------|-----------------|
| 2017 | | | | | | | | | | | | | | |
| | 10500022 | | | | | | | | | | | | | |
| | | 3000 | | Racing Division | | | | | | | | | | |
| | | | TT | LOANS AND SPECIAL PAYMENTS | \$0.00 | \$0.00 | \$766,575.08 | \$766,575.08 | \$0.00 | \$766,575.08 | (\$766,575.08) | #Div/0! | #Div/0! | 77.53% |
| | | Total: | | Racing Division | \$0.00 | \$0.00 | \$766,575.08 | \$766,575.08 | \$0.00 | \$766,575.08 | (\$766,575.08) | #Div/0! | #Div/0! | 77.53% |
| Total: | 10500022 | | | | \$0.00 | \$0.00 | \$766,575.08 | \$766,575.08 | \$0.00 | \$766,575.08 | (\$766,575.08) | #Div/0! | #Div/0! | 77.53% |

budget_fiscal_year

2017

| Row Labels | Sum of encumb_open_amount |
|--------------------------------|---------------------------|
| MGC | 1,226,546.07 |
| MNRT | 305,505.22 |
| CAM OFFICE SERVICES INC | 7,312.26 |
| CARIBE COMMUNICATIONS & PUB | 250.00 |
| CAUSEMEDIA INC | 161,572.96 |
| COLETTE PHILLIPS | 3,045.00 |
| WESTNET INC | 200.00 |
| XFACT INC | 133,125.00 |
| SBUS | 615,265.31 |
| BOSTON CAFE & CATERING INC | 305.05 |
| CAM OFFICE SERVICES INC | 3,656.13 |
| CAUSEMEDIA INC | 161,572.96 |
| COLETTE PHILLIPS | 6,090.00 |
| EOS APPROACH LLC | 219.00 |
| FUTURE TECHNOLOGIES GROUP INC | 23,178.86 |
| FUTURE TECHNOLOGIES GROUP LLC | 29,299.27 |
| GREAT GETAWAYS INC | 41,204.89 |
| JACKRABBIT DESIGN, INC. | 19,000.52 |
| KHJ INTEGRATED MARKETING INC | 75,000.00 |
| LANE PRINTING CO INC | 786.00 |
| MASSACHUSETTS COUNCIL ON COMPU | 88,068.86 |
| NATIONAL VIDEO REPORTERS INC | 10,836.50 |
| TARGET LITIGATION CONSULTING | 8,418.75 |
| THE RESOURCE CONNECTION INC | 14,127.52 |
| USA COURIERS INC | 176.00 |
| WESTNET INC | 200.00 |
| XFACT INC | 133,125.00 |
| WMNO | 305,775.54 |
| CAM OFFICE SERVICES INC | 7,312.26 |
| CAUSEMEDIA INC | 161,572.96 |
| COLETTE PHILLIPS | 3,045.00 |
| EOS APPROACH LLC | 219.00 |
| GREAT GETAWAYS INC | 41,204.89 |
| KHJ INTEGRATED MARKETING INC | 75,000.00 |
| LANE PRINTING CO INC | 393.00 |
| MASS REPRO LTD | 2,548.91 |
| THE RESOURCE CONNECTION INC | 14,127.52 |
| USA COURIERS INC | 352.00 |
| Grand Total | 1,226,546.07 |

No Documents



Massachusetts Gaming Commission
101 Federal Street, 12th Floor
Boston, MA 02110

2017 COMMUNITY MITIGATION FUND
Specific Impact Grant Application
BD-17-1068-1068C-1068L-11234

Please complete entire Application

| | |
|----|---|
| 1. | Town of Foxborough NAME OF MUNICIPALITY/GOVERNMENT ENTITY/DISTRICT |
| 2. | Town of Foxborough Police Department DEPARTMENT RECEIVING FUNDS |
| 3. | William D. Baker, Chief of Police NAME AND TITLE OF INDIVIDUAL RESPONSIBLE FOR HANDLING OF FUNDS |
| 4. | 8 Chestnut Street Foxborough Massachusetts 02035 ADDRESS OF INDIVIDUAL RESPONSIBLE FOR HANDLING OF FUNDS |
| 5. | 508-543-4343 and wbaker@foxboroughpolice.com PHONE # AND EMAIL ADDRESS OF INDIVIDUAL RESPONSIBLE FOR HANDLING OF FUNDS |
| 6. | William G. Keegan, Jr. Town Manager NAME AND TITLE OF INDIVIDUAL AUTHORIZED TO COMMIT FUNDS ON BEHALF OF MUNICIPALITY/GOVERNMENTAL ENTITY |
| 7. | Foxborough Town Hall - 40 South Street Foxborough Massachusetts 02035 ADDRESS OF INDIVIDUAL AUTHORIZED TO COMMIT FUNDS ON BEHALF OF MUNICIPALITY/GOVERNMENTAL ENTITY |
| 8. | 508-543-1219 and bkeegan@foxboroughma.gov PHONE # AND EMAIL ADDRESS OF INDIVIDUAL AUTHORIZED TO COMMIT FUNDS ON BEHALF OF MUNICIPALITY/GOVERNMENTAL ENTITY |
| 9. | Penn National - Plainridge Park Casino NAME OF GAMING LICENSEE |

1. IMPACT DESCRIPTION

Please describe in detail the impact that is attributed to the construction of a gaming facility. Please provide support for the determination that the construction of the gaming facility caused or is causing the impact.

To facilitate the work of the Commission, crime data is sought from each of the host and surrounding communities on an annual basis to help the Commission determine if there is a measureable impact from the gaming facility.

This funding request is directly related to the ability of the Town of Foxborough, as a surrounding community partner, to give the Commission or their agent access to our crime data

2. PROPOSED MITIGATION

Please identify below the manner in which the funds are proposed to be used. Please provide documentation (e.g. - invoices, proposals, estimates, etc.) adequate for the Commission to ensure that the funds will be used for the cost of mitigating the impact from the construction of a proposed gaming establishment. Please describe how the mitigation request will address the specific impact indicated. Please attach additional sheets/supplemental materials if necessary.

Christopher W. Bruce is the Commission's crime analysis contractor. Mr. Bruce and the Town of Foxborough IT Director Aaron Hyre determined that in order for the Commission to access Foxborough Crime Data from the Foxborough TriTech information management system that a specific "DDF module" would need to be installed at the Foxborough Police Department. An estimate on the cost of that DDF module has been obtained from TriTech Corporation and that estimate is 1,180 dollars. (attached)

The installation of the module will allow the Commission access to our data and mitigate the cost of that module for the Town of Foxborough.

3. IMPACT CONTROLS/ADMINISTRATION OF IMPACT FUNDS

Please provide detail regarding the controls that will be used to ensure that funds will only be used to address the specific impact. If non-governmental entities will receive any funds, please describe what reporting will be required and how the applicant will remedy any misuse of funds.

The funds will be sent to the Town of Foxborough Finance Department for this specific purpose. The TriTech corporation will bill the Town of Foxborough for this module. The Finance Department will issue a check to the TriTech corporation and provide regularly audited financial trail of the money coming in from the Mitigation fund and going out from the Foxborough treasury for the intended purpose and all such paper work will be supplied to the Commission.

4. RELEVANT EXCERPTS FROM HOST OR SURROUNDING COMMUNITY AGREEMENTS


Please describe and include excerpts from any relevant sections of any Host or Surrounding Community Agreement. Please explain how this impact was either anticipated or not anticipated in that Agreement.

The following language contemplates the need for planning and analysis that would allow the Commission and the community to mitigate the impact of the gaming facility. This need just arose because this will be the first year that the Town of Foxborough has cooperated with the request to access our crime data.

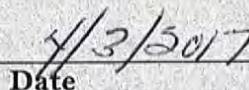
The Mitigation fund "may also be used for planning, either to determine how to achieve further benefits from a facility or to avoid or minimize any adverse impacts"

CERTIFICATION BY MUNICIPALITY/GOVERNMENTAL ENTITY

On behalf of the aforementioned municipality/governmental entity I hereby certify that the funds that are requested in this application will be used solely for the purposes articulated in this Application.



Signature of Responsible Municipal
Official/Governmental Entity



Date

APPROVAL OF THE MASSACHUSETTS GAMING COMMISSION

On behalf of the Massachusetts Gaming Commission, the Commission hereby authorizes the payment from the Community Mitigation Fund in accordance with M.G.L. c. 23K as outlined in this Application.

Executive Director

Date

Ombudsman

Date

| Proposal/Sales Quotation | |
|----------------------------|---------------------------|
| Quotation QUO-43754-3LQPE3 | Quotation Date: 3/27/2017 |

| General & Client Information | |
|---|--|
| Agency Name: Foxborough Police Department System Description: Foxborough PD MA DDF Software and Training Client Contact: Lieutenant Richard Noonan Contact Phone: (508) 543-1212 Contact Email: rnoonan@foxboroughpolice.com Expiration Date: Quote Valid for 180 Days Presented By: Dennis Noe | Bill To: Foxborough Police Department 8 Chestnut St Foxborough MA 02035 Ship To: 8 Chestnut St Foxborough MA 02035 |

Project Products & Services

Project Related Fee(s)

| Product Name | Unit Price | Qty | Total Price |
|------------------------------------|------------|-----|-------------|
| ODBC Data Dictionary Files (DDF's) | \$1,000.00 | 1 | \$1,000.00 |

Project Related Fee(s) Total: **\$1,000.00**

Annual Maintenance Fee(s) (Year 1)

| Product Name | Support level | Total Price |
|------------------------------------|---------------|-------------|
| ODBC Data Dictionary Files (DDF's) | Perform | \$180.00 |

Annual Maintenance Fee(s) (Year 1) Total: **\$180.00**

| |
|----------------------------------|
| Project Total: \$1,180.00 |
|----------------------------------|

| | | |
|---------------------------------------|-----------------------|--------------------------------|
| Estimated Sales Tax: (State: at %) | Taxable sales: \$0.00 | Subtotal: \$1,180.00 |
| | | Sales Tax Amount: \$0.00 |
| | | Quote Total: \$1,180.00 |



TO: Commissioners

FROM: John Ziemba

DATE: April 10, 2017

RE: Foxborough - 2015 Community Mitigation Reserve Fund

On January 20, 2015, the town of Foxborough submitted a request for the establishment of a \$100,000 reserve in its 2015 Community Mitigation Fund Application. The Commission authorized the establishment of a \$100,000 reserve fund for the Town of Foxborough in March 2015. The purpose of this reserve is to “assist communities in offsetting costs related to construction related impacts that may arise in 2015 or thereafter and for planning purposes, either to determine how to achieve further benefits from a facility or to avoid or minimize any adverse impacts”.

The Commission received a 2017 Community Mitigation Fund Application from Town Manager William G. Keegan, Jr. and the Chief of Police William Baker on April 4, 2017 for the use of \$1,180.00 from Foxborough’s Reserve Fund. The town of Foxborough determined “...that in order for the Commission to access Foxborough Crime Data from the Foxborough Tritech information management system that a specific “DDF module” would need to be installed at the Foxborough Police Department.” The installation of the module will give the Commission access to Foxborough’s “data and mitigate the cost of the module for the town of Foxborough.” Attached with the application was an estimate by Tritech.

Crime data is sought from surrounding communities on an annual basis to help the Commission determine if there is a measureable impact from the Plainridge Park casino. As Foxborough was not previously included in studies done by Christopher Bruce, the Commission’s Crime Analyst, Foxborough’s information will assist the Commission in making its crime data studies more comprehensive.

We believe this application is consistent with the Community Mitigation Funds Guidelines “...reserve may be used to help communities plan how to avoid potential adverse impacts...”, and therefore recommend that the Commission approve the \$1,180.00 application request.



Massachusetts Gaming Commission



2017 - 2018 BILLS

Racing

Economic Development and Emerging Technologies

SB175 - An Act Relative to Horse Racing and Wagering – Senator Joseph Boncore

SB185 - An Act relative to a feasibility study for a horse park in the commonwealth - Senator Anne Gobi

SB206 - An Act relative to thoroughbred horse breeding - Senator James L. Timilty

HB2007 - An Act relative to the Community Preservation Trust Fund – Representative Bradley H. Jones, Jr.

HB2008 - An Act relative to the race horse development fund - Representative Bradley H. Jones, Jr.

Consumer Protection and Professional Licensure

HB9 - An Act relative to horse racing and wagering – MGC

HB1978 - An Act relative to thoroughbred breeding - Representative Ann-Margaret Ferrante,
Cosponsors: Representative Kimberly Ferguson; Senator Anne Gobi; Senator Michael Rush

HB208 - An Act relative to simulcasting and racing - Representative RoseLee Vincent

Gaming

Economic Development and Emerging Technologies

HB10 - An Act to enable municipal and regional planning agency employees to fully participate in gaming policy advisory committees – MGC

HB214 - An Act relative to the use of slot machines in veterans' organizations - Representative Thomas Calter

HB2836 - An Act relative to charitable gaming - Representative Joseph Wagner

SB183 - An Act to enable municipal and regional planning agency employees to fully participate in gaming policy advisory committees - Senator Jennifer L. Flanagan

SB189 - An Act to enhance education and combat addiction at slot parlors and casinos - Senator Jason M. Lewis

SB193 - An Act relative to early education funding - Senator Michael O. Moore

SB203 - An Act regarding funding the foundation budget review commission - Senator Bruce E. Tarr

SB205 - An Act relative to gaming machines made in Massachusetts - Senator James L. Timilty

SB964 – An Act further defining a bona fide coin-operated amusement machine - Senator Bruce E. Tarr



Massachusetts Gaming Commission

101 Federal Street, 12th Floor, Boston, Massachusetts 02110 | TEL. 617.979.8400 | FAX 617.725.0258 | www.massgaming.com

House Committee on Ways and Means (HWM)

HB1951 – An Act to amend Section 23K - Representative Timothy Whelan, Co-sponsors: Representative William Crocker, Representative Mathew Muratore, Senator Michael Moore

Joint Committee on Education

SB325 - An Act relative to Learning Innovation for Tomorrow (LIFT) to fund the foundation budget review commission - Senator Bruce E. Tarr

Environment, Natural Resources and Agriculture

HB3474 - An Act relative to the classification of gaming establishment employees - Representative Mary Keefe (not on instatrac report)

On-Line Gaming

Consumer Protection & Professional Licensure

HB26 – An Act relative to online lottery – Treasurer

HB135 – An Act relative to online lottery – Representative Daniel Cahill

SB134 – An Act relative to online lottery products – Senator Barbara L’Italien

Economic Development and Emerging Technologies

SB182 – An Act relative to online lottery – Senator Jennifer Flanagan

SB200 - An Act relative to gaming – Senator Bruce E. Tarr

CORI Bills

Judiciary

HB860 – An Act Relative to CORI – Representative Bradley Jones

HB3084 – An Act promoting community prosperity by further reforming criminal offender record information – Representative Russell Holmes

SB871 – An Act mandating that offenses for which a pardon has been granted be expunged from Criminal Offender Record Information reports – Senator Adam Hinds

Joint Committee on Economic Development and Emerging Technologies

HB2832 - An Act to institute CORI Reform - Representative Russell E. Holmes and Evandro C. Carvalho



Massachusetts Gaming Commission

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Referred to Committee**HB9 - An Act relative to horse racing and wagering**

Sponsor: Massachusetts Gaming Commission
Summary: No Summary
Status: Joint Committee on Consumer Protection & Professional Licensure (JCP)

HB208 - An Act relative to simulcasting and racing

Sponsor: Rep. Vincent, RoseLee (D)
Summary: No Summary
Status: Joint Committee on Consumer Protection & Professional Licensure (JCP)

HB1978 - An Act relative to thoroughbred breeding

Sponsor: Rep. Ferrante, Ann-Margaret (D)
Summary: Amends GL 128:2 relative to the powers and duties of the Department of Agriculture by rewriting the provisions of the Massachusetts Thoroughbred Breeding Program. As rewritten, the Chairman of the Program no longer needs to consult with the Chairman of the Racing Commission and the Program Manager for the Equine Division in the DAR to set the percentages for bonuses to be awarded to the breeder of a Massachusetts thoroughbred; cash prizes are to be limited to horses registered with the Jockey Club and the MTBA at licensed pari-mutual race meetings; requires that a mare to stay in the Commonwealth for a continuous stay of not less than 60 days before or after foaling, and require the filing of a domicile report with the MTBA by certified mail annually; requires that all stallions and in-foal mares registered with the MTBA are subject to on-site inspection at any time to ensure domicile status; and authorizes the MTBA to spent up to 12% of program funds for advertising, marketing, promotion, and administration of the Thoroughbred breeding program in the Commonwealth, which may include incentive payments to owners of qualified broodmares and stallions residing in the state of Massachusetts.
Status: Joint Committee on Consumer Protection & Professional Licensure (JCP)

HB2007 - An Act relative to the Community Preservation Trust Fund

Sponsor: Rep. Jones, Bradley (R)
Summary: No Summary
Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

HB2008 - An Act relative to the race horse development fund

Sponsor: Rep. Jones, Bradley (R)
Summary: No Summary
Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB175 - An Act relative to horse racing and wagering

Sponsor: Sen. Boncore, Joseph (D)
Summary: No Summary
Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB185 - An Act relative to a feasibility study for a horse park in the commonwealth

Sponsor: Sen. Gobi, Anne (D)
Summary: Requires that the Massachusetts Gaming Commission to report recommendations to promote and protect the equine and thoroughbred industry in Massachusetts by June 1, 2017. As part of the report, the Commission, in consultation with the New England Horsemen Benevolent and Protective Association, is to determine the feasibility of creating a new horse park. In so doing,

the Commission is to consult with appropriate state agencies, agricultural associations, groups directly involved in equine activities, horse retirement programs, horse parks located in other states and a veterinary school; further, the Commission is to consider the findings from an equestrian center feasibility study produced by the Landscape Architecture and Regional Planning Department at the University of Massachusetts.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB206 - An Act relative to thoroughbred horse breeding

Sponsor: Sen. Timilty, James (D)

Summary: Amends GL 128:2 relative to the powers and duties of the Department of Agriculture by rewriting the provisions of the Massachusetts Thoroughbred Breeding Program. As rewritten, the Chairman of the Program no longer needs to consult with the Chairman of the Racing Commission and the Program Manager for the Equine Division in the DAR to set the percentages for bonuses to be awarded to the breeder of a Massachusetts thoroughbred; cash prizes are to be limited to horses registered with the Jockey Club and the MTBA at licensed pari-mutual race meetings; requires that a mare to stay in the Commonwealth for a continuous stay of not less than 60 days before or after foaling, and require the filing of a domicile report with the MTBA by certified mail annually; requires that all stallions and in-foal mares registered with the MTBA are subject to on-site inspection at any time to ensure domicile status; and authorizes the MTBA to spent up to 12% of program funds for advertising, marketing, promotion, and administration of the Thoroughbred breeding program in the Commonwealth, which may include incentive payments to owners of qualified broodmares and stallions residing in the state of Massachusetts.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

HB10 - An Act to enable municipal and regional planning agency employees to fully participate in gaming policy advisory committees**Sponsor:** Massachusetts Gaming Commission**Summary:** This bill amends MGL c 23K section 68 by adding a paragraph providing that municipal employees serving in an advisory capacity for his/her employer on an committee or subcommittee of the gaming commission on gaming issues of concern to the employing municipality does not violate the conflict of interest statute in receiving his/her usual compensation from the municipality.**Status:** Joint Committee on Economic Development and Emerging Technologies (JEC)**HB214 - An Act relative to the use of slot machines in veterans' organizations****Sponsor:** Rep. Calter, Thomas (D)**Summary:** Amends GL 23K:2 to provide definitions creating a limited slot machine license for veterans organizations while also excluding such establishments from being considered a gaming establishment. Adds new section 20A to GL Chapter 23K to provide for rules regarding the limited slot machine license and directs the gaming commission to promulgate further regulations.**Status:** Joint Committee on Economic Development and Emerging Technologies (JEC)**HB1951 - An Act to amend Chapter 23K****Sponsor:** Rep. Whelan, Timothy (R)**Summary:** Establishes that in any year that the combination of funds in the Gaming Local Aid Fund and the Local Aid Stabilization Fund totals more than \$100,000,000, \$20,000,000 of the Local Aid Stabilization Fund is to be set aside for the unrestricted local aid distributions to those cities and towns that did not receive an amount equal to at least 10% of the revenues generated in such community for the Massachusetts State Lottery. These provisions are to take effect in the 5th year after the 1st year that the Gaming Local Aid Fund receives funds.**Status:** House Committee on Ways and Means (HWM)**HB2007 - An Act relative to the Community Preservation Trust Fund****Sponsor:** Rep. Jones, Bradley (R)**Summary:** No Summary**Status:** Joint Committee on Economic Development and Emerging Technologies (JEC)**HB2836 - An Act relative to charitable gaming****Sponsor:** Rep. Wagner, Joseph (D)**Summary:** Substantially rewrite the provisions of GL 271:7A relative to raffles and bazaars. As rewritten, the provisions redefine raffles and bazaars by among other things, limiting cash awards to \$100 and limiting bazaars to three per year; allows cities or towns to prohibit raffles or bazaars at local option; requires that organizations conducting these events be in compliance with the filing requirements of the Public Charities Division; requires city and town clerks to notify the Lottery Commission of these events, and gives the Lottery Commission the power to overturn permits under certain circumstances; gives the Lottery Commission a 2/5ths cut of taxes received from raffles and bazaars; and makes violations of these provisions an automatic 93A violation. In addition, the bill strikes out the authority of the Gaming Commission to regulate bazaars and strikes out provisions on raffles and bazaars in the authorizing statute for the State Treasurer.**Status:** Joint Committee on Economic Development and Emerging Technologies (JEC)**SB183 - An Act to enable municipal and regional planning agency employees to fully participate in gaming policy advisory committees****Sponsor:** Sen. Flanagan, Jennifer (D)**Summary:** Directs the commissioner of insurance to establish and maintain a Holocaust Era Insurance

Registry containing records and information relating to insurance policies of Holocaust victims, living and deceased. Requires insurance companies to file information
Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB189 - An Act to enhance education and combat addiction at slot parlors and casinos

Sponsor: Sen. Lewis, Jason (D)

Summary: Adds new provisions to GL 23K (Massachusetts Gaming Commission) requiring that all slot machines be affixed with a sticker delineating the (1) addictive nature of slot machines; (2) information regarding the programming and therefore non-randomness of slot machines; and (3) the compulsive gambling hotline phone number. The sticker must be affixed to the front of the machine, in plain sight of the user.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB193 - An Act relative to early education funding

Sponsor: Sen. Moore, Michael (D)

Summary: Amends GL 23K:64 relative to the Education Fund in the chapter on the Massachusetts Gaming Commission, by establishing that 32.5% of the funds are to be appropriated for the purposes of early education to supplement, not offset, any reduction in the General Appropriation Act from the previous fiscal year.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB200 - An Act relative to gaming

Sponsor: Sen. Tarr, Bruce (R)

Summary: Amends provisions of GL c.23K (The Massachusetts Gaming Commission) to allow gaming establishments licensed under the chapter to also conduct games over the Internet, except those operated by, or that 'reflect' gaming mechanisms operated by the State Lottery, or those that simulate slot machines. In addition, the bill sets up a Priority Expense Fund into which Internet gaming proceeds received by the Commonwealth are to be deposited.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB203 - An Act regarding funding the foundation budget review commission

Sponsor: Sen. Tarr, Bruce (R)

Summary: No Summary

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB205 - An Act relative to gaming machines made in Massachusetts

Sponsor: Sen. Timilty, James (D)

Summary: Adds new Section 72 to GL 23K (Massachusetts Gaming Commission) to require the Commission, to the extent provided by Federal law, to procure products or services from businesses whose principal place of business is in the Commonwealth, unless the same products or services are available for 10 percent less from businesses outside the Commonwealth.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB325 - An Act relative to Learning Innovation for Tomorrow (LIFT) to fund the foundation budget review commission

Sponsor: Sen. Tarr, Bruce (R)

Summary: No Summary

Status: Joint Committee on Education (JED)

SB964 - An Act further defining a bona fide coin-operated amusement machine

Sponsor: Sen. Tarr, Bruce (R)

Summary: Adds new section 5B to GL Chapter 271 to distinguish certain game machines based on skill used for entertainment only or that may reward the user with free replays or small non-cash prizes worth less than \$25. Directs that such amusements are not to be governed by gambling statutes in said chapter and provides examples of such games, including pinball, air hockey,

Status: video games, etc.
Joint Committee on Judiciary (JJU)



HB26 - An Act relative to online lottery

Sponsor: Treasurer
Summary: No Summary
Status: Joint Committee on Consumer Protection & Professional Licensure (JCP)

HB135 - An Act relative to online lottery

Sponsor: Rep. Cahill, Daniel (D)
Summary: Amends MGL c 10 Section 24 (Lottery Commission) by providing for lottery games conducted online, over the internet or through the use of mobile applications. The bill delineates types of lotteries permitted, the prices of tickets, the amount of prizes on the winning tickets. It also provides for the manner of selecting winning tickets, payment of prizes to holders of winning tickets, the frequency of the drawings, the locations and methods for selling tickets, licensing of agents who must be at least 18 to sell tickets, and the amount of commission paid to selling agents. The Commission shall report monthly to the governor, attorney general and general court on sales prizes paid and monthly expenses, as well as annual publicly audited reports that will be made to the public as well as to the governor, AG, and the general court. The identity and personal information of people who play the lottery on line do not constitute a public record, and can only be disclosed in an investigation by law enforcement. Multi jurisdictional online games are permissible so long as all jurisdictions are permitted by law to do so.
Status: Joint Committee on Consumer Protection & Professional Licensure (JCP)

SB134 - An Act relative to online lottery products

Sponsor: Sen. L'Italien, Barbara (D)
Summary: Amends GL 10:24, relative to the State Lottery Commission, by: (1) allowing the Lottery to take some of its games on-line, over the Internet or through the use of mobile applications; and (2) striking provisions that prohibit the sales of lottery tickets by phone or fax. With respect to a lottery or lotteries conducted online, over the Internet or through mobile applications, the procedures must include: (1) age verification measures to block access to those under age 18; (2) that sales of lottery tickets be limited to transactions initiated within MA; (3) privacy protections to protect personal and financial information; (4) that winnings between \$200 and \$600 be collected by the winner on the premises of a licensed lottery sales agent; (5) that winnings over \$600 be collected by the winner at the Lottery Commission office and may not be distributed until outstanding child or spousal support payments or other state debt is paid; (6) that maximum daily, weekly, and monthly limits be established and allow players to lower their deposit limit at any time; (7) that direct deposit cannot be used to transfer funds to a player's online lottery account; (8) that players may withdraw any winnings at any time; (9) that online game cards may be purchased from existing licensed sales agents; and (10) that the use of credit or debit cards to purchase lottery tickets be limited to online transactions and transactions at the Lottery Commission office.
Status: Joint Committee on Consumer Protection & Professional Licensure (JCP)

SB182 - An Act relative to online lottery

Sponsor: Sen. Flanagan, Jennifer (D)
Summary: Amends GL 10:24 (State Lottery Commission) to expand the powers of the Commission to authorize it to conduct the state lottery online, over the Internet or through the use of mobile applications.

In particular, requires the Commission to establish new rules and regulations for online lotteries, that must include at least (1) provisions insuring age verification of players, (2) limiting the sales of lottery tickets, games or shares online, (3) establishing maximum limits for account deposits and transactions, (4) limiting electronic deposits made in an online lottery account to the use of a verified bank account, prepaid gift card or debit card; and (5) requiring the Lottery Commission to implement promotional activities.

The bill also establishes that the name, address, transaction history, account balance or other personal or identifying information of an individual who purchases lottery tickets, games or shares online is not to be a public record.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

SB200 - An Act relative to gaming

Sponsor: Sen. Tarr, Bruce (R)

Summary: Amends provisions of GL c.23K (The Massachusetts Gaming Commission) to allow gaming establishments licensed under the chapter to also conduct games over the Internet, except those operated by, or that 'reflect' gaming mechanisms operated by the State Lottery, or those that simulate slot machines. In addition, the bill sets up a Priority Expense Fund into which Internet gaming proceeds received by the Commonwealth are to be deposited.

Status: Joint Committee on Economic Development and Emerging Technologies (JEC)

HB860 - An Act relative to CORI**Sponsor:** Rep. Jones, Bradley (R)**Summary:** Amends GL 6:172A, the statute governing fees for CORI checks, to provide that a parent or guardian shall not pay a fee when requesting a CORI check on a child care provider that may come into contact with their child.**Status:** Joint Committee on Judiciary (JJU)**HB2832 - An Act to institute CORI reform****Sponsor:** Rep. Holmes, Russell (D)**Summary:** No Summary**Status:** Joint Committee on Economic Development and Emerging Technologies (JEC)**HB3084 - An Act promoting community prosperity by further reforming criminal offender record information****Sponsor:** Rep. Malia, Elizabeth (D)**Summary:** No Summary**Status:** Joint Committee on Judiciary (JJU)**SB871 - An Act mandating that offenses for which a pardon has been granted be expunged from Criminal Offender Record Information reports****Sponsor:** Sen. Hinds, Adam (D)**Summary:** Requires offenses for which a pardon was granted to be expunged from Criminal Offender Record Information (CORI) reports including the granting of the pardon and request for the pardon itself; directs the Criminal History Systems Board, within 180 days after the effective date of this act, to carry out said expunging; directs said board to provide a copy of such amended report to the recipient of the pardon; requires said board to, upon a showing that the pardoned person may be or has been subject to an adverse decision because of the dissemination of a CORI containing information relative to the offense for which a pardon has been granted, provide a copy of the amended CORI to the relevant person or agency.**Status:** Joint Committee on Judiciary (JJU)

HB3600**House Ways and Means - An Act making appropriations for fiscal year 2018**

| | | |
|-------------------|---|--------------|
| 0810-1204 | For the costs of the gaming enforcement division as required by section 11M of chapter 12 of the General Laws; provided, that the gaming commission shall reimburse the General Fund for the total amount of this appropriation and associated fringe benefits costs under said section 11M of said chapter 12 | \$449,364.00 |
| 1050-0140 | For payments to cities and towns in accordance with chapter 23K of the General Laws | \$721,350.00 |
| SECTION 14 | <p>Section 68 of chapter 23K of the General Laws, as so appearing, is hereby 64 amended by striking out, in line 63, the word "abuse" and inserting in place thereof the following 65 word:- addiction.</p> <p>(c) There shall be a subcommittee on addiction services under the gaming policy advisory committee consisting of 5 members, 1 of whom shall be a representative from the department of public health's bureau of substance abuse services; 1 of whom shall be a representative from the Massachusetts Council on Compulsive Gambling, Inc., 1 of whom shall be a representative of the commission and 2 of whom shall be appointed by the governor with professional experience in the area of gambling addictions. The subcommittee shall develop recommendations for regulations to be considered by the commission in addressing issues related to addiction services as a result of the development of gaming establishments in the commonwealth including, by not limited to, prevention and intervention strategies.</p> | |
| SECTION 69 | Notwithstanding any general or special law or regulation to the contrary, in issuing a gaming beverage license pursuant to section 26 of chapter 23K of the General Laws, the Massachusetts Gaming Commission shall describe the scope of the particular license and any restrictions and limitations. The gaming beverage license may permit the sale or distribution of alcoholic beverages beyond the hour of 2 a.m. only to patrons who are actively engaged in gambling as defined in section 2 of said chapter 23K; provided, however, that the gaming beverage license shall not permit the sale or distribution of alcoholic beverages between the hours of 4 a.m. and 8 a.m.. | |

H3600 - An Act making appropriations for fiscal year 2018**Sponsor:** House Ways and Means**Summary:** The budget for FY 2018, as reported by the House Ways & Means Committee appropriates \$43,846,930,885 in 1183 line-items. This includes \$38,080,308,483 in direct appropriations, \$523,841,587 in chargebacks, \$464,725,901 in reserve accounts, \$572,428,106 in retained revenues, \$2,569,717,228 in federal grants, and \$1,635,909,580 in operating transfers.

The budget includes 76 outside sections that address issues such as the Bureau of Purchased Services, a new Bureau of Substance Addiction, a Child Care Quality Fund, a Commonwealth Facility Trust for Energy Efficiency, gaming beverages licenses, the Health Safety Net Trust Fund, a new Innovation Voucher Program Fund, MassDOT/MBTA Cost Recovery, MassHealth Dental Services, municipal overlay accounts and safety zones, non-acute hospital reimbursements the nursing home assessment, priority public health trends, issues related to state leasing, tax credits for hiring veterans, and the Trial Court Energy Conservation program, among other things.

(Please note that the "\$43.1 billion" amount cited in the fiscal note and reported in the press only adds up if you don't count chargebacks and federal grants, which are both part of the appropriated budget.)

Status: House Committee on Steering, Policy and Scheduling (HSP)



Amended Small Business Impact Statement

The Massachusetts Gaming Commission (“Commission”) hereby files this amended small business impact statement in accordance with G.L. c.30A, §5 relative to the proposed amendments in 205 CMR 152.00: Individuals Excluded from a Gaming Establishment, for which a public hearing was held on April 5, 2017. These amendments were developed as part of the process of promulgating regulations governing the operation of gaming establishments in the Commonwealth. The amendments differentiate between the information regarding excluded individuals that will be posted publicly on the Commission’s website and the information about excluded individuals that is possessed by the Commission and made available to the casinos. The amendments also add the Gaming Enforcement Division of the Office of the Attorney General as a referral source for individuals to be placed on the exclusion list and requires gaming licensees to notify the IEB in advance of ejecting an individual and to confiscate winnings from excluded individuals. These regulations are largely governed by G.L. c.23K, §§4(28), 4(37), and 45.

These amendments apply directly to gaming licensees and individuals excluded, or individuals put on notice for exclusion, from a gaming establishment. Accordingly, these amendments are unlikely to have an impact on small businesses.

In accordance with G.L. c.30A, §5, the Commission offers the following responses on whether any of the following methods of reducing the impact of the proposed regulation on small businesses would hinder achievement of the purpose of the proposed regulation:

1. Establishing less stringent compliance or reporting requirements for small businesses:

As a general matter, no small businesses will be impacted by these regulations. Accordingly, there are no less stringent compliance or reporting requirements for small businesses.

2. Establishing less stringent schedules or deadlines for compliance or reporting requirements for small businesses:

There are no schedules or deadlines for compliance or reporting requirements for small businesses created by these regulations.



Massachusetts Gaming Commission

3. Consolidating or simplifying compliance or reporting requirements for small businesses:

There are no compliance or reporting requirements for small businesses.

4. Establishing performance standards for small businesses to replace design or operational standards required in the proposed regulation:

There are no performance standards for small businesses to replace design or operational standards required in the proposed regulation.

5. An analysis of whether the proposed regulation is likely to deter or encourage the formation of new businesses in the Commonwealth:

These amendments apply directly to gaming licensees and individuals excluded, or individuals put on notice for exclusion, from a gaming establishment and therefore are not likely to deter or encourage the formation of new businesses in the Commonwealth.

6. Minimizing adverse impact on small businesses by using alternative regulatory methods:

These regulations do not create any adverse impact on small businesses.

Massachusetts Gaming Commission
By:

Cecelia M. Porché
Paralegal
Legal Division

Dated: _____



Massachusetts Gaming Commission

205 CMR: MASSACHUSETTS GAMING COMMISSION

205 CMR 152.00: INDIVIDUALS EXCLUDED FROM A GAMING ESTABLISHMENT

Section

152.01: Scope and Authority

152.02: Maintenance and Distribution of List

152.03: Criteria for Exclusion

152.04: Investigation and Initial Placement of Names on the List

152.05: Notice and Proceedings Before the Commission

~~152.06: Information Contained on List~~

~~152.07~~152.06: Duty of Gaming Licensee

~~152.08~~152.07: Petition to Remove Name From Exclusion List

~~152.09~~152.08: Forfeiture of Winnings

152.01: Scope and Authority

The provisions of 205 CMR 152.00 shall provide for the establishment and maintenance of a list, and associated protocols and procedures, for exclusion of individuals from gaming establishments in accordance with M.G.L. c. 23K, §§ 45(a) through (e) and 45(j). Such list shall be maintained separately from that established and maintained in accordance with M.G.L. c. 23K, § 45(f) through (h).

152.02: Maintenance and Distribution of List

(1) The Commission shall maintain a list of persons to be excluded or ejected from a gaming establishment ~~which~~ **and whose names and year of birth** shall be posted on the commission's website (<http://massgaming.com/>).

~~(2) Each gaming licensee shall ensure that it accesses and reviews the list on a regular basis and that it is made available to all employees of the gaming establishment.~~

(2) **The Bureau shall promptly notify each gaming licensee of the placement of an individual on the list. The notification to each gaming licensee shall include:**

- a. The full name and all aliases the individual is believed to have used;**
- b. A description of the individual's physical appearance, including height, weight, type of build, color of hair and eyes, and any other physical characteristics which may assist in the identification of the individual;**
- c. The individual's date of birth;**
- d. The effective date of the order mandating the exclusion of the individual;**
- e. A photograph, if obtainable, and the date thereof; and**
- f. Such other information deemed necessary by the commission for the enforcement of 205 CMR 152.00.**

152.03: Criteria for Exclusion

(1) In the commission's discretion, an individual may be placed on the exclusion list if the commission determines that the individual meets one or more of the following criteria:

- (a) the individual has been convicted of a criminal offense under the laws of any state or the United States that is punishable by more than six months in a state prison, a house of correction or any comparable incarceration, a crime of moral turpitude or a violation of the gaming laws of any state;
- (b) the individual has violated or conspired to violate M.G.L. c. 23K or any laws related to gaming;
- (c) the individual has a notorious or unsavory reputation which would adversely affect public confidence and trust that the gaming industry is free from criminal or corruptive elements;
- (d) the individual is an associate of an individual who falls into a category identified in 205 CMR 152.03(1)(a) through (c);
- (e) there exists the potential of injurious threat to the interests of the commonwealth if the individual is permitted in a gaming establishment.

(2) In determining whether there exists the potential of injurious threat to the interests of the commonwealth if an individual is permitted in a gaming establishment in accordance with 205 CMR 152.03(1)(e), the commission may consider the following:

- (a) Whether the individual is a known cheat;
- (b) Whether the individual has had a license or registration issued in accordance with 205 CMR 134.00: Licensing and Registration of Employees, Vendors, Junket Enterprises and Representatives, and Labor Organizations, or a like license or registration issued by another jurisdiction, suspended or revoked or has been otherwise subjected to adverse action;
- (c) Whether the individual poses a threat to the safety of the patrons or employees of a gaming establishment;
- (d) Whether the individual has a documented history of conduct involving the undue disruption of gaming operations in any jurisdiction;
- (e) Whether the individual is subject to a no trespass order at any casino or gaming establishment in any jurisdiction.

(3) The commission shall not base a finding to place an individual on the excluded list on an individual's race, color, religion, religious creed, national origin, ancestry, sexual orientation, gender identity or expression, age (other than minimum age requirements), marital status, veteran status, genetic information, disability or sex.

152.04: Investigation and Initial Placement of Names on the List

(1) The **Bureau** shall investigate any individual who may meet one or more criterion for inclusion on the list in accordance with 205 CMR 152.03 upon referral by the commission, **the Gaming Enforcement Division of the Office of the Attorney General**, or a gaming licensee. The **Bureau** may investigate any individual on its own initiative.

(2) If, upon completion of an investigation, the **Bureau** determines that an individual meets one or more criterion contained in 205 CMR 152.03 and should be placed on the exclusion list, the **Bureau** shall prepare a preliminary order that identifies the individual and sets forth a factual basis as to why the **Bureau** believes the individual meets one or more criterion for inclusion on the list in accordance with 205 CMR 152.03.

(3) The **Bureau** shall serve the preliminary order prepared in accordance with 205 CMR 152.04(2) upon the named individual advising them that it intends to place the individual's name on the exclusion list. The preliminary order shall serve to notify the individual that placement of their name on the exclusion list will result in their prohibition from being present in a gaming establishment and shall offer them an opportunity to request a hearing before a hearing officer to determine whether the individual meets one or more criterion for inclusion on the list in accordance with 205 CMR 152.03. The preliminary order shall be sent by either first class mail to the individual's last ascertainable address, email, publication in a daily newspaper of general circulation for one week, or via any practicable means reasonably calculated to provide the individual with actual notice. The individual shall have 30 days from the date of the notice to request a hearing, except for notice provided by publication in a newspaper in which case the individual shall have 60 days from the last publication. Alternatively, the **Bureau** may provide an individual with in hand service of the preliminary order in which case the individual shall have ten days from the date of service to request a hearing.

(4) If a request for a hearing is received from the individual, a hearing shall be scheduled before a hearing officer and notice of such, including the date, time, and issue to be presented, shall be sent to the individual. The hearing shall be conducted in accordance with 205 CMR 101.03: Review of Orders Issued by the Bureau or the Racing Division. If the hearing officer finds that the individual meets one or more criterion for inclusion on the list in accordance with 205 CMR 152.03 the individual's name shall be placed on the exclusion list. If the hearing officer finds that the individual does not meet any criterion for inclusion on the list, the individual's name shall not be placed on the list and the matter closed.

(5) If no request for a hearing is received within the applicable timeline provided in 205 CMR 152.04(3), the individual's name shall be placed on the exclusion list.

152.05: Notice and Proceedings Before the Commission

(1) Whenever an individual's name is placed on the list of excluded persons in accordance with 205 CMR 152.04, the **Bureau** shall promptly serve written notice upon that individual by personal service, registered or certified mail return receipt requested to the last ascertainable address or by publication in a daily newspaper of general circulation for one week. The notice shall contain a description of the cause for the exclusion, notice that the individual is prohibited from being present at and gambling in a gaming establishment, and an explanation of the hearing process and manner in which the individual may request a hearing in accordance with 205 CMR 152.05(2).

(2) (a) Within 30 days of receipt of service of notice by mail or 60 days after the last publication under 205 CMR 152.05(1), an individual placed on the list of excluded persons may request an adjudicatory hearing before the commission under M.G.L. c. 30A and show cause as to why the individual should be removed from the list of excluded persons. Such request shall be made by the individual in writing. Failure to demand a hearing within the time allotted in 205 CMR 152.05(2)(a) shall preclude the individual from having an administrative hearing, but shall not affect the individual's right to petition for judicial review.

(b) Upon receipt of a demand for hearing, the commission shall set a time and place for the hearing. This hearing shall be held not later than 30 days after receipt of the demand for the hearing, unless the time of the hearing is changed by agreement of the commission and the individual demanding the hearing. The hearing shall be conducted in accordance with 205 CMR 101.00: M.G.L. c. 23K Adjudicatory Proceedings. Where applicable, the administrative record of the hearing conducted in accordance with 205 CMR 152.04(4) shall be made part of the hearing record.

(c) If upon completion of the hearing the commission determines that the individual was wrongfully placed on the list of excluded persons, the commission shall remove the individual's name from the list of excluded persons and notify all gaming licensees. (d) A person aggrieved by a final decision of the commission in an adjudicatory proceeding under 205 CMR 152.05 may petition for judicial review under M.G.L. c. 30A, § 14.

(3) Upon receipt of notice from a district court that an individual has been prohibited from gaming in gaming establishments in accordance with M.G.L. c. 23K, § 45(i) the commission shall place the name of an individual on the excluded list.

152.06: Information Contained on List

The following information and data, where available, shall be provided for each excluded individual:

- ~~(1) The full name and all aliases the individual is believed to have used;~~
- ~~(2) A description of the individual's physical appearance, including height, weight, type of build, color of hair and eyes and any other physical characteristics which may assist in the identification of the individual;~~
- ~~(3) The individual's date of birth;~~
- ~~(4) The effective date of the order mandating the exclusion of the individual;~~
- ~~(5) A photograph, if obtainable, and the date thereof; and~~
- ~~(6) Such other information deemed necessary by the commission for the enforcement of 205 CMR 152.00.~~

152.07 152.06: Duty of Gaming Licensee

- (1) Each gaming licensee shall ensure that it accesses and reviews the list on a regular basis and that the list is made available to employees of the gaming licensee in a manner designed to assist them in identifying and inhibiting excluded individuals from entering the gaming establishment.
- (2) Upon identification, a gaming licensee shall exclude or eject from its gaming establishment any individual who has been placed on the list in accordance with 205 CMR 152.00.
- (3) If an excluded individual enters, attempts to enter, or is in a gaming establishment and is recognized by the gaming licensee, the gaming licensee shall immediately notify the Bureau and discuss the matter in advance of ejecting the individual.
- (4) It shall be the continuing duty of a gaming licensee to ~~inform~~ refer to the Bureau in writing individuals whom it wishes to be placed on the exclusion list and to promptly notify the Bureau in writing of no trespass orders which it issues ~~the commission in writing of the names of individuals it believes are appropriate for placement on the exclusion list.~~
- (5) A gaming licensee shall submit a written policy for compliance with the exclusion list program for approval by the executive director. The executive director shall review the plan for compliance with 205 CMR 152.00. If approved, notice shall be provided to the commission and the plan shall be implemented and followed by the gaming licensee. The plan for compliance with the exclusion list program shall include at a minimum procedures to:
 - (a) Prevent an individual on the exclusion list from entering the gaming establishment;
 - (b) Identify and eject individuals on the list from the gaming establishment if they are able to enter;
 - (c) Remove individuals on the exclusion list from marketing lists and refrain from sending or transmitting to them any advertisement, promotion, or other direct marketing mailing from the gaming establishment more than 30 days after receiving notice from commission that the individual has been placed on the exclusion list;
 - (d) Prevent an individual on the exclusion list from having access to credit, cashless wagering program access, or from receiving complimentary services, check-cashing services, junket participation and other benefits from the gaming establishment;
 - (e) Train employees relative to the exclusion list and the licensee's program.

~~(5)~~ (6) The commission may revoke, limit, condition, suspend or fine a gaming licensee if it knowingly or recklessly fails to exclude or eject from its gaming establishment any individual placed by the commission on the list of excluded persons.

~~152.08~~ **152.07**: Petition to Remove Name from Exclusion List

(1) An individual who has been placed on the list in accordance with 205 CMR 152.00 may petition the commission in writing to request that their name be removed from the list. Except in extraordinary circumstances, such a petition may not be filed sooner than five years from the date an individual's name is initially placed on the list.

(2) The individual shall state with particularity in the petition the reason why the individual believes they no longer satisfy one or more criterion for inclusion on the list in accordance with 205 CMR 152.03.

(3) The commission shall schedule a hearing on any properly filed petitions and provide written notice to the petitioner identifying the time and place of the hearing. Such a hearing shall be conducted in accordance with 205 CMR 101.00: M.G.L. c. 23K Adjudicatory Proceedings.

(4) An individual who was placed on the excluded list by virtue of an order of the district court in accordance with M.G.L. c. 23K, § 45(i) may not petition for removal in accordance with 205 CMR 152.08.

~~152.09~~ **152.08**: Forfeiture of Winnings

(1) An individual who is on the excluded list shall not collect any winnings or recover losses arising as a result of prohibited gaming in a gaming establishment and such winnings shall be forfeited to the commission and deposited into the Gaming Revenue Fund pursuant to M.G.L. c. 23K, §§ 45(j) and 59.

(2) Upon verification that an individual who is present in its gaming establishment is on the excluded list, a gaming licensee shall take steps to:

(a) Remove the individual from the gaming establishment;

(b) Where **reasonably** possible, **lawfully confiscate from the individual in a lawful manner or notify the Bureau who shall lawfully confiscate, or refuse to pay** ~~cause the individual to forfeit~~ any winnings or things of value obtained from engaging in a gaming transaction including:

1. gaming chips, gaming plaques, slot machine tokens and vouchers, and gaming vouchers;

2. any electronic gaming device or slot machine jackpot won by the individual;

3. any cashable credits remaining on an electronic gaming device or slot machine credit meter played by the individual.

(c) Deliver any winnings or things of value obtained from the individual to the cashiers' cage, ~~where they shall be converted into cash,~~ and **transmit** the cash value ~~transmitted~~ to the commission for deposit in the Gaming Revenue Fund.

(d) In conjunction with a forfeiture of winnings or things of value, a gaming licensee shall prepare a form known as a Notice of Forfeiture, which shall include, without limitation, the name of the individual on the list and the manner in which the individual's identity was established, the total value of the forfeited winnings or things of value, the date, time, and a description of the incident leading to the forfeiture. The Notice of Forfeiture shall be signed and attested to by the prohibited individual, unless the individual refuses to sign or is unknown, the employee delivering the winnings or things of value to the cashiers' cage, and the cashiers' cage employee who received the winnings or things of value.

(3) If an individual wishes to contest the forfeiture of winnings or things of value, the individual may request a hearing in writing with the commission within 15 days of the date of the forfeiture. The request shall identify the reason why the winnings or things of value should not be forfeited. The commission shall schedule a hearing on such request and provide notice to the petitioner.

REGULATORY AUTHORITY

205 CMR 152.00: M.G.L. c. 23K, §§ 4(28), 4(37), and 45.

TREATMENT OF OUTSIDE DIRECTORS IN OTHER JURISDICTIONS (CASINO COMPANIES)

| STATE | GOVERNING STATUTE/REGULATION | TREATMENT OF OUTSIDE DIRECTORS OF CASINO APPLICANTS/LICENSEES |
|--------------|---|--|
| New Jersey | Statute – N.J.S.A. 5:12-85 | All Directors are required to be qualified but are subject to waiver by the Director of the Division of Gaming Enforcement on an individual basis depending on their degree of influence and control |
| Pennsylvania | 58 Pa. Code Sec. 433.2(d) | All Directors in a privately held company must qualify. For publicly traded companies, only those outside Directors who serve on the Audit Committee or are the Chairman of the Board. However, the Gaming Board, in its discretion, may determine that any Director must qualify |
| Illinois | Statute at 230 ILCS 10/6(c) and Regulations at 86 Section 3000.222(c) | No distinction is made between inside and outside Directors. All persons whom the Board determines exercise control and influence must qualify. |
| Indiana | Statute at IC 4-33-6-4(a)(1)(B) and Regulations at 68 IAC 1-1-57 and 68 IAC 2-1-4 | Commission must approve all substantial owners, key persons or any other person that the Commission deems necessary. Key persons are defined to include Directors. We have been advised by the Commission that it does not distinguish between inside and outside Directors except that outside Directors are not usually required to have a face to face interview. |
| Missouri | Statute at 313.020.1 and Regulations at 11 C.S.R. 45-4020(3) | All Directors are treated exactly the same whether inside or outside. |
| Michigan | Statute at Michigan Gaming Control and Revenue Act Section 432.205(b) and Regulations at R. 432.1104(c) and R. 432.1304 | All inside Directors are required to be qualified. Outside Directors are reviewed on a case by case basis depending on their role. Can file a limited disclosure. |



NON-GAMING VENDOR REGISTRATION FORM

APPLICANT: _____

PLEASE NOTE

- This application should be completed only after you have entered into a business relationship with a Massachusetts casino.
- The business relationship must be demonstrated by obtaining the “Statement of Casino’s Business Relationship with Non-Gaming Vendor” form from a Massachusetts casino and submitting it along with this application.
- The fee for a Non-Gaming Vendor registration is \$100. (**Application fees are nonrefundable.**)
- If you are unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.

Si usted no puede entender este formulario completamente en Inglés, es su responsabilidad obtener los metodos necesarios de traducción.

COMPLETING THIS APPLICATION

- Please **read each question** carefully prior to answering.
- **Answer every question** completely and honestly and be sure not to leave any question blank.
- Throughout this form, if you have nothing to disclose or if a question does not apply to you, please check “// **N/A** //” where available.
- Ensure that **all attachments required for this application are labeled** with the correct title and attachment numbers and are attached to the application filed with the Commission.
- **Initial and date** each page where indicated.
- All entries on this form, except signatures, must be **typed or printed in block lettering** using dark ink. If the application is not legible, it will not be accepted.
(*Note: the Commission will not accept your application if it is illegible or if you have modified any of the questions or pre-printed information on this application.*)
- Sign and notarize the **Release Authorization** form included with this application.
- **Retain a completed copy** of this application for your own records.
- All authorizations, waivers, acknowledgment, and releases must be **signed by the applicant or its designated representative** or signatory.

Initials/Date _____

SUBMITTING THIS APPLICATION

Please submit completed applications to:

**Massachusetts Gaming Commission
Division of Licensing
101 Federal Street, 12th Floor
Boston, MA 02110**

AFTER SUBMISSION:

Upon receipt of an application for a Non-Gaming Vendor Registration, the Division of Licensing shall conduct an administrative review. The Division of Licensing may return the application to the applicant for corrections or request clarification before deeming it complete.

Once your application has been reviewed and deemed complete by the MGC Division of Licensing, you will receive an approval email along with a certificate of registration from the Division of Licensing containing your Vendor Registration number.

Additionally, the application will be forwarded to the Investigations and Enforcement Bureau (Bureau) for a background investigation of the vendor. During the background investigation, the Bureau in its discretion may require one or more officers or employees to be fingerprinted for the purpose of conducting a criminal background investigation.

If you have any questions regarding this form, please contact the Commission's Division of Licensing at 617.979.8400 or VendorLicensing.MGC@state.ma.us.

IMPORTANT INFORMATION

- The Massachusetts Public Records Law (Law), <http://www.sec.state.ma.us/pre/preidx.htm> found in Chapter 66, Section 10 of the Massachusetts General Laws, applies to records made or received by a Massachusetts governmental entity. Unless the requested records fall under an exemption to the Law, the responsive documents must be made available to the requester. A list of exemptions may be found in Chapter 4, Section 7(26) of the Massachusetts General Laws.

Initials/Date _____

PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED
IF ITEMS ARE NOT APPLICABLE, INDICATE "NONE" OR "NOT APPLICABLE"
DO NOT LEAVE ANY QUESTIONS UNANSWERED

NAME OF BUSINESS

Name of Business (Do Not Abbreviate)

Name as Appears on the Certificate of Incorporation, Charter, By-Laws, Partnership Agreement or Other Official Documents

Type of Goods or Services You Plan to Provide to the Gaming Establishment

PERSON TO BE CONTACTED IN REFERENCE TO THIS FORM

Name and Title

Contact Telephone Number (include extension if applicable)

E-mail Address

REQUIRED ATTACHMENTS

Please submit copies of the following documents with your application:

- Statement of Business Relationship (To be obtained from the MA casino with which you will be doing business.)
- Certificate of Incorporation (or Annual Report filed with Secretary of State)
- Certificate of Good Standing (Can be obtained from MA Department of Revenue at the link below:
<https://mtc.dor.state.ma.us/mtc/> /

DESCRIPTION OF BUSINESS

1. Type of Organization (check one):

- Sole Proprietorship Partnership Limited Partnership C-Corporation LLC
- S-Corporation Trust Other, please describe _____

2. Business name as it appears on formation documents: _____

3. Place of Incorporation or Formation: _____

4. Date of Incorporation or Formation: _____

5. Provide below your business's Federal Identification Number (FID#).

FID# _____ - _____

Check here if FID has been applied for

6. If sole proprietor, please provide your Social Security Number (SSN).

SSN: _____ - _____ - _____

Initials/Date _____

BUSINESS ADDRESS

7. PRINCIPAL ADDRESS

Address (Number and Street) City State Zip Code

Mailing Address – if different (P.O. Box, City, State, Zip Code)

Telephone Number Website (URL)

8. ADDRESS FROM WHICH THE APPLICANT IS OR WILL BE CONDUCTING BUSINESS WITH THE CASINO // N/A //
(Complete only if different than the above principal address)

Address (Number and Street) City State Zip Code

Telephone Number Website (URL)

CERTIFICATIONS

9. Is the applicant a minority-owned business that has been certified by either the Massachusetts Supplier Diversity Office or the Greater New England Minority Supplier Development Council, or both?

Yes - Provide Letter of Verification or Certification Number _____

NOTE: If providing a Letter of Verification, please label as **attachment to question 9.**

No

10. Is the applicant a woman-owned business that has been certified by either the Massachusetts Supplier Diversity Office, the Women’s Business Enterprise or National Council, or both?

Yes - Provide Letter of Verification or Certification Number _____

NOTE: If providing a Letter of Verification, please label as **attachment to question 10.**

No

11. Is the applicant a “veteran-owned small business” or a “service-disabled veteran-owned small business”, as defined by the Federal government and whose status can be verified via the “VetBiz.Gov database” or by the Division of Licensing through the submission of “Qualifier’s DD214 form?

Yes - Provide Letter of Verification, Qualifier’s DD214, or Certification Number _____

NOTE: If providing a Letter of Verification, please label as **attachment to question 11.**

No (If you answer “No”, proceed to Question 13.)

12. If you have indicated that you are “veteran-owned small business”, answer the following questions:

A. Have you ever served in the US Military or reserves of the United States?

Yes No

If you checked “Yes”, provide the following information:

Branch of Service: _____ Service Serial #: _____ Highest Rank Held: _____

Period(s) of Active Service: From _____ To _____

From _____ To _____

B. Date and type of discharge or separation (Honorable, Dishonorable, Honorable Conditions, Medical, etc.) from Military Service(s):

Date of each discharge/separation: _____ Type of discharge(s): _____

Attach a copy of your military record (DD214). If in the reserves, please attach a copy of your discharge papers. Label as **attachment to question 12.** Initials/Date _____

OWNERSHIP AND SALES REPRESENTATIVE OF THE BUSINESS AND SUBCONTRACTORS TO THE BUSINESS

13. Provide the names, addresses and percentage of ownership held by each entity or person directly owning more than five (5%) percent of this business. When listing individuals, also provide social security number and date of birth.

No Entity or Person owns more than five (5%) percent.

| NAME | ADDRESS | SOCIAL SECURITY NUMBER | DATE OF BIRTH | % OF OWNERSHIP |
|------|---------|------------------------|---------------|----------------|
| | | | | |
| | | | | |
| | | | | |

NOTE: Should you require additional space, attach a separate sheet of paper in the format and label it **attachment to question 13.**

14. Provide the name, residence address, social security number, and the date of birth of any sales representatives or other person who solicit business from a gaming licensee or applicant or is that person's immediate supervisor. Also, provide the name, residence address, social security number, and the date of birth of any person authorized to sign any agreement with the gaming licensee or applicant on behalf of the vendor.

| NAME | MIDDLE INITIAL | LAST NAME | RESIDENCE ADDRESS | SOCIAL SECURITY NUMBER | DATE OF BIRTH |
|------|----------------|-----------|-------------------|------------------------|---------------|
| | | | | | |
| | | | | | |
| | | | | | |

NOTE: Should you require additional space, attach a separate sheet of paper in the format and label it **attachment to question 14.**

15. Identify in the table below all known and/or anticipated subcontractors that you will be contracting with to provide goods or services necessary to fulfill your contract with a gaming licensee.

// N/A //

| NAME OF SUBCONTRACTOR | ADDRESS | TYPES OF GOODS AND SERVICES | CONTRACT AMOUNT | SUBCONTRACTOR CONTACT PERSON IN REFERENCE TO THIS INFORMATION | TELEPHONE NUMBER |
|-----------------------|---------|-----------------------------|-----------------|---|------------------|
| | | | | | |
| | | | | | |
| | | | | | |

NOTE: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 15.**

Initials/Date _____

CRIMINAL HISTORY

The next question asks about any charges or offenses the entity may have committed. Prior to answering this question, carefully review the definitions and instructions which follow:

DEFINITIONS: For purposes of this question:

- A. **Arrest** means being taken into custody by any police or other law enforcement authority.
- B. **Charge** includes any indictment, complaint, information or other notice of the alleged commission of any "offense."
- C. **Conviction** includes the finding of guilty of any "offense" upon a trial or a plea of guilty. An adjudication of delinquency shall not be considered a conviction. Such a finding may, however, be considered for purposes of determining the suitability of an applicant.
- D. **Crime or Offense** includes all felonies and misdemeanors.
- E. **Disposition** the way the case was resolved: guilty, not guilty, continued without a finding, dismissed, pending,

INSTRUCTIONS: You must answer all questions completely and may not omit information. Answer "yes" and provide all information to the best of your ability **EVEN IF**:

1. The entity did not commit the offense charged;
2. The entity were dismissed or subsequently downgraded to a lesser charge;
3. The entity completed a diversionary program or the equivalent thereof;
4. The entity was not convicted;
5. The charges or offenses happened a long time ago.

16. Has the entity or any of its subsidiaries ever been indicted, charged with or convicted of a criminal offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding of **any crime or offense in any jurisdiction (including Massachusetts)?**

Yes No if you checked yes, complete the following chart:

| NATURE OF CHARGE OR OFFENSE | DATE OF CHARGE OR OFFENSE (MM/DD/YYYY) | NAME AND ADDRESS OF LAW ENFORCEMENT OR COURT INVOLVED | DISPOSITION |
|-----------------------------|--|---|-------------|
| | | | |
| | | | |
| | | | |
| | | | |

NOTE: Should you require additional space, attach a separate sheet of paper in the format and label it **attachment to question 16.**

ANTITRUST, TRADE REGULATION AND SECURITIES AGREEMENTS; STATUTORY AND REGULATORY VIOLATIONS

17. Has the business ever had a judgment, order, consent decree or consent order pertaining to a violation, or alleged violation of the Federal antitrust, trade regulation or securities laws, or similar laws of any state, province or country entered against it?

Yes No

18. In the past 10 years, has the business had a judgment, order, consent decree or consent order pertaining to a violation, or alleged violation, of any state or Federal statute, regulation or code that resulted in a penalty or fine of \$50,000 or more entered against it?

Yes No

If the answer to either question is "YES", provide the following information for each judgment, order, consent decree or consent order:

| DATE OF OFFENSE | NATURE OF OFFENSE | TITLE OF CASE AND DOCKET NUMBER | NAME AND ADDRESS OF COURT OR AGENCY | NATURE OF JUDGMENT, DECREE OR ORDER | DATE ENTERED |
|-----------------|-------------------|---------------------------------|-------------------------------------|-------------------------------------|--------------|
| | | | | | |
| | | | | | |
| | | | | | |

NOTE: Should you require additional space, attach a separate sheet of paper in the format and label it **attachment to question 18.**

Initials/Date _____

STATEMENT OF TRUTH and ACKNOWLEDGMENT

STATEMENT OF TRUTH

I, _____, hereby state under the pains and penalties of perjury that:
(Print Name)

- 1. The information contained herein and accompanies this application is true and accurate to the best of my knowledge and understanding.
- 2. I personally supplied and/or reviewed the information contained in this form.
- 3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
- 4. Any document accompanying this application that is not an original document is a true copy of the original document.
- 5. I am aware that if any of the foregoing statements made by me are false or misleading this application may be denied.

ACKNOWLEDGMENT

The Massachusetts Gaming Commission may, at some time during the course of the term of the Non-Gaming Vendor's Registration, require one or more officers or employees of the business to submit fingerprints, as authorized by 205 CMR 134.13, for the purpose of conducting a criminal background check.

I, _____, as an officer and/or employee of the Non-Gaming Vendor, hereby acknowledge
(Print Name)
consent to a request for such fingerprinting may be required.

I understand that if I have questions regarding this form, I should ask an employee of the Massachusetts Gaming Commission – Division of Licensing.

(Signature)

(Print Name)

(Date)

RELEASE AUTHORIZATION

To Law Enforcement Agencies, Courts, Probation Departments, Military Organizations, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, All Gaming Regulatory Agencies, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic (the “issuing entity”).

On behalf of _____,
(Name of Vendor)

I, _____ authorize the
(Name of President, Officer, Partner, or Sole Proprietor)

Massachusetts Gaming Commission (Commission) and Investigations and Enforcement Bureau (Bureau) to conduct a full investigation into the background and activities of the said entity.

I acknowledge that the Commission and/or Bureau may contract or may have contracted with third parties for the purpose of conducting due diligence suitability investigations on behalf of the Commission and/or Bureau in connection with the application of said entity filed with the Commission.

I authorize the release of any and all information pertaining to the said entity, documentary or otherwise, as requested by any employee or agent of the Commission or Bureau, provided that he or she certifies to you that the said entity has an application pending before the Commission.

I release any issuing entity, the Commission, the Bureau and their agents, representatives and employees, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result because of compliance with this authorization for release of information.

I acknowledge that this authorization shall supersede and replace any prior release authorization executed by me for the Commission and/or Bureau.

This release shall be valid from the date of signature and, once issued, for the duration of the 5 year license.

A photocopy of this authorization will be considered as effective and valid as the original.

(Signature of Applicant)

(Type, Stamp or Print Name)

(Date)

On this ____ day of _____ 20____, before me, the undersigned notary public, personally appeared _____ (name of document signer), proved to me through satisfactory evidence of identification, which was _____, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

(Signature of Notary)

(Notary Stamp)



GAMING SERVICE EMPLOYEE REGISTRATION FORM

APPLICANT: _____

PLEASE NOTE

- This application should be completed only after you have received an offer of employment from a Massachusetts casino.
- Make sure you are filling out the correct form (**Gaming Service Employee Registration**) associated with your job offer.
- The fee for a Gaming Service Employee registration is \$75. This fee may be initially paid by the casino and recovered by way of deduction from the applicant's paycheck.
- If you are unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.
Si usted no puede entender este formulario completamente en Inglés, es su responsabilidad obtener los métodos necesarios de traducción.

IMPORTANT INSTRUCTIONS FOR COMPLETING THIS APPLICATION

- Please **read each question** carefully prior to answering.
- **Answer every question completely and honestly.** Do not omit information and be sure not to leave any question blank.
- This is **not an employment application** and the Massachusetts State Police will be conducting your background review to determine your suitability for registration.
- Throughout this form, if you have nothing to disclose or if a question does not apply to you, please check "**// N/A** **//**" where available.
- Ensure that **all attachments required for this application are labeled** with the correct title and attachment numbers and are attached to the application filed with the Commission.
- **Initial and date** each page where indicated.
- All entries on this form, except signatures, must be **typed or printed in block lettering** using dark ink. If the application is not legible, it will not be accepted.
(Note: the Commission will not accept your application if it is illegible or if you have modified any of the questions or pre-printed information on this application.)
- Sign and notarize the **Release Authorization** form included with this application.
- **Retain a completed copy** of this application for your own records.

Initials/Date: _____

FINGERPRINTING & BACKGROUND INVESTIGATION

- Along with a completed application, you will be **required to be fingerprinted** so the Commission may initiate a criminal record check to determine your suitability for a Gaming Service Employee registration.
- You will be **notified by your employer or the Commission of the location, time, and date** so that you may be fingerprinted and your photo taken.
- You will be required to provide **proof of identification** when you are being fingerprinted. Acceptable proof of identity includes:
 1. A current and valid United States passport; **OR** a Certificate of United States Citizenship, or a Certificate of Naturalization; **OR** a current and valid identification card issued by the USCIS containing a photograph or fingerprints and identifying information such as name, date of birth, sex, height, color of eyes and address;

OR

2. A certified copy of a birth certificate issued by a state, county or municipal authority in the United States bearing an official seal, **AND any one of the following authentic documents**:
 - a. A current and valid driver's license containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - b. A current and valid identification card issued to persons who serve in the United States military or their dependents by the United States Department of Defense containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - c. A current and valid student identification card containing a photograph, an expiration date, the seal or logo of the issuing institution, and the signature of the card holder; or
 - d. A current and valid identification card issued by a Federal, state or local government agency containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address.
3. A current and valid foreign passport with an employment authorization issued by the USCIS, **AND any one of the following authentic documents**:
 - a. A current and valid driver's license containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - b. A current and valid student identification card containing a photograph, an expiration date, seal or logo of the issuing institution, and the signature of the card holder; or
 - c. A current and valid identification card issued to persons who serve in the United States military or their dependents by the United States Department of Defense containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - d. A current and valid identification card issued by a Federal, state or local government agency containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address.

IMPORTANT INFORMATION

- The Massachusetts Public Records Law (Law), <http://www.sec.state.ma.us/pre/preidx.htm> found in Chapter 66, Section 10 of the Massachusetts General Laws, applies to records made or received by a Massachusetts governmental entity. Unless the requested records fall under an exemption to the Law, the responsive documents must be made available to the requester. A list of exemptions may be found in Chapter 4, Section 7(26) of the Massachusetts General Laws.

If you have any questions regarding this application, please contact the Commission's Division of Licensing at 617.979.8400 or EmployeeLicensing.MGC@state.ma.us.

Initials/Date: _____

GAMING SERVICE EMPLOYEE REGISTRATION APPLICATION

**PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED.
FAILURE TO ANSWER ANY QUESTION ON THIS APPLICATION COMPLETELY AND TRUTHFULLY MAY RESULT
IN THE DENIAL OF YOUR REGISTRATION APPLICATION**

REASON FOR FILING APPLICATION

1. Employer (CHOOSE ONE):

MGM Springfield

Plainridge Park Casino

Wynn Boston Harbor

JOB / POSITION _____

CONTACT AND IDENTIFYING INFORMATION

NAME: LAST (INCLUDE SR., JR., ETC., IF APPLICABLE) FIRST MIDDLE

HAVE YOU EVER BEEN KNOWN BY ANY OTHER NAME OR NAMES? YES NO IF YES, LIST THE ADDITIONAL NAMES BELOW AND SPECIFY DATES OF USE FOR EACH. (INCLUDE MAIDEN NAME, ALIASES, NICKNAMES, OR ANY OTHER NAME) _____

MAILING ADDRESS: NUMBER AND STREET APT# CITY STATE ZIP CODE

HOME ADDRESS: IF DIFFERENT THAN MAILING ADDRESS APT# CITY STATE ZIP CODE

TELEPHONE NUMBER EMAIL ADDRESS

SOCIAL SECURITY NUMBER _____ - _____ - _____ DATE OF BIRTH: _____ (MM/DD/YYYY)

DRIVER'S LICENSE/STATE ID _____ // N/A //
DATE ISSUED LICENSE NUMBER JURISDICTION ISSUED

CITIZENSHIP

2. Are you a citizen of the United States? Yes No

PLACE OF BIRTH: _____
CITY/TOWN STATE/PROVINCE COUNTRY

3. If you answered "No" to question 2, please indicate:

A. Your country of citizenship: _____

B. Your place of birth: _____
CITY COUNTRY

C. Your port of entry to the United States: _____

Initials/Date: _____

D. Name and address of your sponsor upon your arrival:

4. If you are not a United States citizen, but you are authorized to be employed in the United States, please provide your USCIS "A" number or other USCIS authorization number in the space provided below. Additionally, please attach a copy of your USCIS identification card and/or any other USCIS document that conditions or restricts your employment labeled as **attachment to question 4**.

USCIS "A" number: _____

DESCRIPTIVE INFORMATION

| | | | | | | | |
|---|--|---|--|--|--|---|--|
| HAIR COLOR <input type="checkbox"/> BLACK <input type="checkbox"/> BROWN <input type="checkbox"/> BLONDE <input type="checkbox"/> RED <input type="checkbox"/> GRAY <input type="checkbox"/> WHITE <input type="checkbox"/> BALD | | EYE COLOR <input type="checkbox"/> BLACK <input type="checkbox"/> BROWN <input type="checkbox"/> HAZEL <input type="checkbox"/> BLUE <input type="checkbox"/> GRAY <input type="checkbox"/> GREEN | | SEX <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE | | RACE <input type="checkbox"/> AMERICAN INDIAN / ALASKAN NATIVE <input type="checkbox"/> HISPANIC <input type="checkbox"/> BLACK / AFRICAN AMERICAN <input type="checkbox"/> WHITE <input type="checkbox"/> ASIAN / PACIFIC ISLANDER <input type="checkbox"/> OTHER _____ | |
| HEIGHT: _____ FT _____ IN | | | | WEIGHT: _____ LBS | | | |

RESIDENCE DATA

5. Beginning with your current residence and working backward provide the following information with respect to each place where you have lived (including residences while attending college or while in the military) during the past five (5) years.

| DATES (MONTH / YEAR) | | ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY, AND ZIP CODE) | TELEPHONE NUMBER |
|----------------------|----------------|---|------------------|
| FROM: | TO: | | |
| | PRESENT | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 5**.

6. List any other residence that you have had in other states or countries since the age of 18. // N/A //

| DATES (MONTH / YEAR) | | ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY, AND ZIP CODE) | TELEPHONE NUMBER |
|----------------------|-----|---|------------------|
| FROM: | TO: | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 6**.

Initials/Date: _____

EMPLOYMENT HISTORY

7. List the last three (3) jobs you have had beginning with the most recent and working backwards. Note with an asterisk (*) any gaming related employment.

| N/A | DATES (MONTH / YEAR) | | NAME, MAILING ADDRESS AND PHONE NUMBER(S) OF EMPLOYER(S) | JOB TITLE | NAME OF SUPERVISOR | REASON FOR LEAVING |
|--------------------------|-------------------------|-----|---|-----------|-----------------------|-----------------------|
| | FROM: | TO: | | | | |
| <input type="checkbox"/> | | | | | | |
| <input type="checkbox"/> | | | | | | |
| <input type="checkbox"/> | | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 7.**

8. Regarding the jobs listed in question 7:

(a). Were you ever discharged, suspended or asked to resign? Yes No

(b). During the last 10 year period, were you charged with any infraction in relation to any employment which was the subject of any disciplinary action? Yes No

If you checked "Yes" to either question, provide a detailed explanation on a separate sheet labeled as **attachment to question 8.**

9. Have you ever had any license, permit or certification denied, suspended or revoked by a governmental agency in Massachusetts or anywhere else? (Do not include driver's license) Yes No

If you answered "Yes", provide a detailed explanation including the type of license, date of action and reason for action on a separate sheet labeled as **attachment to question 9.**

LICENSING HISTORY

10. Have you ever applied to the Massachusetts Gaming Commission for any license, permit, approval or registration? Yes No

If you checked "Yes", complete the following chart:

| TYPE OF LICENSE, PERMIT, APPROVAL OR REGISTRATION PREVIOUSLY APPLIED FOR | DATE APPLICATION WAS FILED | DISPOSITION (GRANTED, PENDING OR DENIED) | IF ISSUED, GIVE APPROPRIATE LICENSE NUMBERS |
|--|----------------------------|---|--|
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 10.**

11. Have you ever held or applied in any jurisdiction for a license, permit, approval, registration or other authorization required to participate in a lawful gambling operation (including casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)? Yes No

If you checked "Yes", complete the following chart:

| TYPE OF GAMING OPERATION | POSITION SOUGHT OR HELD | DATE OF APPLICATION | NAME AND ADDRESS OF LICENSING AGENCY (INCLUDING COUNTRY, STATE, COUNTY AND MUNICIPALITY) | DISPOSITION (GRANTED, DENIED OR PENDING) | IF ISSUED, GIVE LICENSE NUMBER(S) |
|-----------------------------|-------------------------------|------------------------|--|--|--|
| | | | | | |
| | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 11.**

12. Have you ever been suspended, discharged, asked to resign or resigned by mutual agreement from any gaming related job? Yes No

If you checked "Yes", provide a detailed explanation on a separate sheet and attach it to this application, labeled as **attachment to question 12.**

Initials/Date: _____

MILITARY HISTORY

13. Have you ever served in the US Military or reserves of the United States? Yes No

If you checked no, please continue to Question 16

If you checked "Yes", provide the following information:

Branch of Service: _____ Service Serial #: _____ Highest Rank Held: _____

Period(s) of Active Service:

From _____ To _____

From _____ To _____

14. Date and type of discharge or separation (Honorable, Dishonorable, Honorable Conditions, Medical, etc.) from Military Service(s):

Date of each discharge/separation: _____

Type of discharge(s): _____

Initials/Date: _____

Attach a copy of your military long-form (DD214). If unavailable, attach a copy of a letter to the appropriate branch of the military requesting a copy of your military long-form DD214. If in the reserves, please attach a copy of your discharge papers. Label any of the following as **attachment to question 14**.

15. Have you ever been tried by military court martial or have you had charges filed against you under Article 15 of the Uniform Code of Military Justice (summary court, deck court, captain's mast, company punishment, etc.)? Yes No

If you checked "Yes", give details of the charge(s) and their disposition(s). On a separate sheet of paper, wherein you describe the (1) nature of the charge or arrest; (2) date and location of the charge or arrest; (3) name of the military organization filing the charges; (4) disposition (convicted, dismissed, pleading, etc.); and (5) sentence (if applicable), and attach it to this application, labeled as **attachment to question 15**.

FINANCIAL JUDGMENTS

16. Have you ever had governmental financial liens or judgments, including state tax liens, unpaid motor vehicle charges, delinquent child support obligations, defaulted students loans, unemployment judgments, unpaid motor vehicle surcharges, welfare judgments, etc., filed against you? Yes No

If you checked "Yes", complete the following chart:

| DATE FILED | DOCKET/CASE NUMBER | NAME AND ADDRESS OF COURT | NATURE OF OBLIGATION | NAME AND ADDRESS OF RECIPIENT |
|------------|--------------------|---------------------------|----------------------|-------------------------------|
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 16**.

Initials/Date: _____

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

The next question asks about any arrests, charges or offenses you may have committed. Prior to answering this question, carefully review the definitions and instructions which follow:

DEFINITIONS: For purposes of this question:

- A. **Arrest** means being taken into custody by any police or other law enforcement authority.
- B. **Charge** includes any indictment, complaint, information or other notice of the alleged commission of any "offense."
- C. **Conviction** includes the finding of guilty of any "offense" upon a trial or a plea of guilty. An adjudication of delinquency shall not be considered a conviction. Such a finding may, however, be considered for purposes of determining the suitability of an application.
- D. **Crime or Offense** includes all felonies and misdemeanors.
- E. **Disposition** the way the case was resolved: guilty, not guilty, continued without a finding, dismissed, pending,

INSTRUCTIONS:

- A. Please note, this is not an application for employment. Accordingly, you must answer all questions completely and may not omit information. Answer "yes" and provide all information to the best of your ability **EVEN IF**:
 - 1. You did not commit the offense charged;
 - 2. The charges were dismissed or subsequently downgraded to a lesser charge;
 - 3. You completed a diversionary program or the equivalent thereof;
 - 4. You were not convicted;
 - 5. You did not serve any time in prison or jail;
 - 6. The charges or offenses happened a long time ago.
- B. Answer "no" **IF**:
 - 1. You have never been arrested or charged with any crime or offense.
 - 2. Records of criminal appearances, criminal dispositions, and/or any information concerning acts of delinquency that have been sealed.

17. Have you ever been arrested, charged and/or convicted of **any crime or offense in any jurisdiction (including Massachusetts)**? Yes No

If you checked "Yes", complete the following chart:

| NATURE OF CHARGE OR OFFENSE | DATE OF CHARGE OR OFFENSE | NAME AND ADDRESS OF LAW ENFORCEMENT OR COURT INVOLVED | DISPOSITION |
|-----------------------------|---------------------------|---|-------------|
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 17.**

NOTE

- A. The Bureau or Commission shall revoke a registration as a gaming service employee registrant if the individual has been convicted of a felony or other crime involving embezzlement, theft, fraud or perjury; submitted an application for a license under M.G.L. c.23K, §30 and 205 CMR 134.00 that contains false or misleading information; committed prior acts which have not be prosecuted or in which the applicant was not convicted but form a pattern of misconduct that makes the applicant unsuitable.
- B. In determining whether an applicant for registration is suitable for purposes of being issued a gaming service employee registration, the Bureau may evaluate and consider the overall reputation of the applicant including, without limitation: the integrity, honesty, good character and reputation of the applicant; and whether the applicant has been convicted of a crime of moral turpitude.
- C. The holder of a gaming service employee registration may appeal a decision made by the Bureau based upon a disqualifying prior conviction in accordance with 134.11(2) on the basis that they wish to demonstrate rehabilitation only if the conviction occurred before the 10 year period immediately preceding application for registration.

Initials/Date: _____

STATEMENT OF TRUTH and CONSENT

Statement of Truth

I, _____, hereby state under the pains and penalties of perjury that:
(Print Name)

1. The information contained herein and accompanies this application is true and accurate to the best of my knowledge and understanding.
2. I personally supplied and/or reviewed the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. Any document accompanying this application that is not an original document is a true copy of the original document.
5. I am aware that if any of the foregoing statements made by me are false or misleading this application may be denied.

Consent

I, _____, hereby consent to fingerprinting and photographing as authorized by 205 CMR 134.07.

I understand if I have questions regarding this form, I should ask an employee of the Commission's Department of Licensing.

(Signature)

(Print Name)

(Date)

RELEASE AUTHORIZATION

To: Law Enforcement Agencies, Courts, Probation Departments, Military Organizations, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, All Gaming Regulatory Agencies, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic (the "issuing entity").

I, _____, authorize the
(Print Name)

Massachusetts Gaming Commission (Commission) and Investigations and Enforcement Bureau (Bureau) to conduct a full investigation into my background and activities.

I acknowledge that the Commission and/or Bureau may contract or may have contracted with third parties for the purpose of conducting due diligence suitability investigations on behalf of the Commission and/or Bureau in connection with my application filed with the Commission.

I authorize the release of any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Commission or Bureau, provided that he or she certifies to you that I have an application pending before the Commission or that I am presently a licensee or person required to be qualified.

I release any issuing entity, the Commission, the Bureau and their agents, representatives and employees, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result because of compliance with this authorization for release of information.

I acknowledge that this authorization shall supersede and replace any prior release authorization executed by me for the Commission and/or Bureau.

This release shall be valid from the date of signature and, once issued, for the duration of the 5 year license.

A photocopy of this authorization will be considered as effective and valid as the original.

(Signature of Applicant)

(Print Name)

(Date)

On this ____ day of _____ 20____, before me, the undersigned notary public, personally appeared _____ (name of document signer), proved to me through satisfactory evidence of identification, which was _____, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

(Signature of Notary)

(Notary Stamp)

Authorization to Obtain Tax Information from the Department of Revenue

To Whom it May Concern: *I hereby authorize any investigator of the Massachusetts State Police or the Massachusetts Gaming Commission or their designee(s) to receive information from the Massachusetts Department of Revenue regarding any non-compliance with the tax laws for the years 2011-2015; and to ascertain whether any outstanding liability to the Commonwealth or other taxing authorities exists; and to ascertain whether a child support obligation exists. The attorneys-in-fact are authorized, subject to revocation, to receive this information on behalf of the taxpayer. Said confidential information may be released by the attorney-in-fact to the state office, board or other authority to which I am seeking appointment. The authorization is good for one hundred and eighty days from signing and shall thereupon automatically be terminated. I acknowledge that, if the Department of Revenue determines that I am in non-compliance with the tax and/or child support laws of the Commonwealth, I shall be subject to appropriate enforcement action to facilitate the assessment and/or collection of tax liabilities or child support obligations prior to appointment.*

Have you filed a Massachusetts income tax return for the following tax years?

You must answer Yes or No for each year listed below:

2011 _____; 2012 _____; 2013 _____; 2014 _____; 2015 _____;

Important: If you answered No for any of the years listed above, complete A, B, or C below and specify for which year(s) the relevant statement applies.

- A. I was a legal resident of Massachusetts, but my Massachusetts gross income was less than \$8,000.00 for the tax year(s): _____
- B. I was a nonresident of Massachusetts and I did not receive sufficient Massachusetts- source income to require filing a Massachusetts income tax return for the above tax year(s). List other states and years of filing: _____

- C. Other Reason: _____

Have you filed a joint tax return in any of the years 2011-2015?

Yes No

If Yes, please list name, Social Security number, and years filed jointly:

I understand that by signing my name, address, and Social Security Number, under penalty of perjury, I am authorizing the Massachusetts Department of Revenue to release the information listed above to the persons listed above.

Signature: _____ Date: ____ / ____ / ____

Social Security Number: _____ - _____ - _____

Current Address: _____

Spouse's Signature (if applicable): _____ Date: ____ / ____ / ____



GAMING EMPLOYEE LICENSE APPLICATION FORM

APPLICANT: _____

PLEASE NOTE

- This application should be completed only after you have received an offer of employment from a Massachusetts casino.
- Make sure you are filling out the correct form (**Gaming Employee License**) associated with your job offer.
- The fee for a Gaming Employee License is \$300. This fee may be initially paid by the casino and recovered by way of deduction from the applicant's paycheck.
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Si usted no puede entender este formulario completamente en Inglés, es su responsabilidad obtener los métodos necesarios de traducción.

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- This is **not an employment application** and the Massachusetts State Police will be conducting your background review to determine your suitability for licensure.
- Throughout this form, if you have nothing to disclose or if a question does not apply to you, please check "**// N/A** **//**" where available.
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 1. A current and valid United States passport; **OR** a Certificate of United States Citizenship, or a Certificate of Naturalization; **OR** a current and valid identification card issued by the USCIS containing a photograph or fingerprints and identifying information such as name, date of birth, sex, height, color of eyes and address;

OR

2. A certified copy of a birth certificate issued by a state, county or municipal authority in the United States bearing an official seal, **AND any one of the following authentic documents**:
 - a. A current and valid driver's license containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - b. A current and valid identification card issued to persons who serve in the United States military or their dependents by the United States Department of Defense containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - c. A current and valid student identification card containing a photograph, an expiration date, the seal or logo of the issuing institution, and the signature of the card holder; or
 - d. A current and valid identification card issued by a Federal, state or local government agency containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address.
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 - a. A current and valid driver's license containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - b. A current and valid student identification card containing a photograph, an expiration date, seal or logo of the issuing institution, and the signature of the card holder; or
 - c. A current and valid identification card issued to persons who serve in the United States military or their dependents by the United States Department of Defense containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
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If you have any questions regarding this application, please contact the Commission's Division of Licensing at 617.979.8400 or EmployeeLicensing.MGC@state.ma.us.

Initials/Date: _____

GAMING EMPLOYEE LICENSE APPLICATION

**PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED.
FAILURE TO ANSWER ANY QUESTION ON THIS APPLICATION COMPLETELY AND TRUTHFULLY MAY RESULT
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REASON FOR FILING APPLICATION

1. Employer (CHOOSE ONE):

MGM Springfield

Plainridge Park Casino

Wynn Boston Harbor

JOB / POSITION

CONTACT AND IDENTIFYING INFORMATION

NAME: LAST (INCLUDE SR., JR., ETC., IF APPLICABLE) FIRST MIDDLE

HAVE YOU EVER BEEN KNOWN BY ANY OTHER NAME OR NAMES? YES NO IF YES, LIST THE ADDITIONAL NAMES BELOW AND SPECIFY DATES

OF USE FOR EACH. (INCLUDE MAIDEN NAME, ALIASES, NICKNAMES, OR ANY OTHER NAME) _____

MAILING ADDRESS: NUMBER AND STREET APT# CITY STATE ZIP CODE

HOME ADDRESS: IF DIFFERENT THAN MAILING ADDRESS APT# CITY STATE ZIP CODE

TELEPHONE NUMBER EMAIL ADDRESS

SOCIAL SECURITY NUMBER - - DATE OF BIRTH: (MM/DD/YYYY)

DRIVER'S LICENSE/STATE ID DATE ISSUED LICENSE NUMBER JURISDICTION ISSUED // N/A //

CITIZENSHIP

2. Are you a citizen of the United States? Yes No

PLACE OF BIRTH: CITY/TOWN STATE/PROVINCE COUNTRY

3. If you answered "No" to question 2, please indicate:

A. Your country of citizenship: _____

B. Your place of birth: CITY COUNTRY

C. Your port of entry to the United States: _____

Initials/Date: _____

D. Name and address of your sponsor upon your arrival:

4. If you are not a United States citizen, but you are authorized to be employed in the United States, please provide your USCIS "A" number or other USCIS authorization number in the space provided below. Additionally, please attach a copy of your USCIS identification card and/or any other USCIS document that conditions or restricts your employment labeled as **attachment to question 4**.

USCIS "A" number: _____

DESCRIPTIVE INFORMATION

| | | | | | | | |
|---|--|---|--|--|--|---|--|
| HAIR COLOR <input type="checkbox"/> BLACK <input type="checkbox"/> BROWN <input type="checkbox"/> BLONDE <input type="checkbox"/> RED <input type="checkbox"/> GRAY <input type="checkbox"/> WHITE <input type="checkbox"/> BALD | | EYE COLOR <input type="checkbox"/> BLACK <input type="checkbox"/> BROWN <input type="checkbox"/> HAZEL <input type="checkbox"/> BLUE <input type="checkbox"/> GRAY <input type="checkbox"/> GREEN | | SEX <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE | | RACE <input type="checkbox"/> AMERICAN INDIAN / ALASKAN NATIVE <input type="checkbox"/> HISPANIC <input type="checkbox"/> BLACK / AFRICAN AMERICAN <input type="checkbox"/> WHITE <input type="checkbox"/> ASIAN / PACIFIC ISLANDER <input type="checkbox"/> OTHER _____ | |
| HEIGHT: _____ FT _____ IN | | | | WEIGHT: _____ LBS | | | |

MARITAL STATUS

5. Indicate your current marital status:

Single Married Civil Union Legally Separated Divorced Widow/Widower

Provide the name of your current spouse: _____ // N/A //

RESIDENCE DATA

6. Beginning with your current residence and working backward provide the following information with respect to each place where you have lived (including residences while attending college or while in the military) during the past five (5) years.

| DATES (MONTH / YEAR) | | ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY, AND ZIP CODE) | TELEPHONE NUMBER |
|----------------------|----------------|---|------------------|
| FROM: | TO: | | |
| | PRESENT | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 6**.

7. List any other residence that you have had in other states or countries since the age of 18. // N/A //

| DATES (MONTH / YEAR) | | ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY, AND ZIP CODE) | TELEPHONE NUMBER |
|----------------------|-----|---|------------------|
| FROM: | TO: | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 7**.

Initials/Date: _____

EMPLOYMENT HISTORY

8. In the chart below, provide the information regarding your employment for the past five (5) years. Begin with your present job and work backwards. Give dates of any unemployment between jobs in proper sequence. Include all part-time and full-time employment and any military service. Note by means of an asterisk (*) any gaming-related employment (e.g. casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.).

| N/A | DATES (MONTH / YEAR) | | NAME, MAILING ADDRESS AND PHONE NUMBER(S) OF EMPLOYER(S) | JOB TITLE | NAME OF SUPERVISOR | REASON FOR LEAVING |
|--------------------------|-------------------------|-----|---|-----------|-----------------------|-----------------------|
| | FROM: | TO: | | | | |
| <input type="checkbox"/> | | | | | | |
| <input type="checkbox"/> | | | | | | |
| <input type="checkbox"/> | | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 8**.

9. Regarding the jobs listed in question 8:

(a). Were you ever discharged, suspended or asked to resign? Yes No

(b). During the last 10 year period, were you charged with any infraction in relation to any employment which was the subject of any disciplinary action? Yes No

If you checked yes to either question, provide a detailed explanation on a separate sheet labeled as **attachment to question 9**.

LICENSING HISTORY

10. Have you ever had any interest or employment, in a gaming-related permit, registration, certification, or authorization and/or alcoholic beverage license **in Massachusetts or any other jurisdiction**? Yes No

If you checked "Yes", complete the following chart:

| NAME AND ADDRESS OF LICENSING AGENCY | TYPE OF LICENSE, PERMIT, REGISTRATION, CERTIFICATION, OR OTHER AUTHORIZATION | LICENSE, PERMIT, REGISTRATION, CERTIFICATION, OR OTHER AUTHORIZATION NUMBER | IDENTIFY GAMING, ALCOHOL OF BOTH |
|--------------------------------------|--|---|-------------------------------------|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 10**.

11. Have you ever had any gaming-related application, license, permit, registration, certification, or other authorization restricted, suspended, rejected, revoked, or denied by any governmental agency or gaming regulatory authority? Yes No

If you checked "Yes", complete the following chart:

| NAME AND ADDRESS OF GOVERNMENTAL AGENCY OR GAMING REGULATORY AUTHORITY | TYPE OF APPLICATION, LICENSE, PERMIT, REGISTRATION, CERTIFICATION, OR OTHER AUTHORIZATION | TYPE OF ACTION (RESTRICTION, SUSPENSION, REJECTION, REVOCATION, OR DENIAL) | DATE AND DURATION OF RESTRICTION, REJECTION, SUSPENSION, REVOCATION, OR DENIAL | CAUSE(S) OF RESTRICTION, SUSPENSION, REJECTION, REVOCATION, OR DENIAL |
|--|--|--|--|---|
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 11**.

Initials/Date: _____

12. Have you ever been fined by, penalized by, or entered into any settlement with any governmental agency or gaming regulatory authority regarding a gaming-related matter? Yes No

If you checked "Yes", complete the following chart:

| NAME AND ADDRESS OF GOVERNMENTAL AGENCY OR GAMING REGULATORY AUTHORITY | DATE OF FINE, PENALTY, OR SETTLEMENT | TERMS OF THE FINE, PENALTY, OR SETTLEMENT | CAUSE(S) OF FINE, PENALTY, OR SETTLEMENT |
|--|--------------------------------------|---|--|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 12.**

EDUCATIONAL DATA

13. Beginning with secondary school (high school) and/or training school provide the information listed below with respect to each school, college, graduate, or post graduate school you have attended.

| DATES | | NAME AND ADDRESS OF SCHOOL, TRAINING PROGRAM, ETC. | DESCRIPTION OF EDUCATION PROGRAM | LIST ANY DEGREE OR CERTIFICATION ATTAINED |
|--------------|------------|--|----------------------------------|---|
| FROM (MO\YR) | TO (MO\YR) | | | |
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 13.**

MILITARY HISTORY

14. Have you ever served in the US Military or reserves of the United States? Yes No

If you checked no, please continue to Question 17

If you checked "Yes", provide the following information:

Branch of Service: _____ Service Serial #: _____ Highest Rank Held: _____

Period(s) of Active Service:

From _____ To _____

From _____ To _____

15. Date and type of discharge or separation (Honorable, Dishonorable, Honorable Conditions, Medical, etc.) from Military Service(s):

Date of each discharge/separation: _____

Type of discharge(s): _____

Attach a copy of your the military long-form DD214. If unavailable, attach a copy of a letter to the appropriate branch of the military requesting a copy of your military long-form DD214. If in the reserves, please attach a copy of your discharge papers. Label any of the following as **attachment to question 15.**

16. Have you ever been tried by military court martial or have you had charges filed against you under Article 15 of the Uniform Code of Military Justice (summary court, deck court, captain's mast, company punishment, etc.)? Yes No

If you checked "Yes", submit a detailed description of the (1) nature of the charge or arrest; (2) date and location of the charge or arrest; (3) name of the military organization filing the charges; (4) disposition (convicted, dismissed, pleading, etc.); and (5) sentence (if applicable), labeled as **attachment to question 16.**

Initials/Date: _____

17. Do you have any ownership interest, financial interest, or financial investment (**other than through passive investing***) in any business entity applying to, or presently licensed by, the Commonwealth? Yes No

If you checked "Yes", complete the following chart:

| NAME AND ADDRESS OF BUSINESS ENTITY | NATURE AND AMOUNT OF YOUR INTEREST | % OF OWNERSHIP IN THE BUSINESS ENTITY |
|-------------------------------------|------------------------------------|---------------------------------------|
| | | |
| | | |
| | | |

*Passive investing means any investment by the applicant by means of a mutual fund in which the applicant has no control of the investments or investment decisions.
Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 17.**

FINANCIAL JUDGMENTS

18. A. Are you a party to **any currently pending** lawsuit? (Include matrimonial matters, negligence matters, auto accident matters, contract matters, collection matters, debt matters, foreclosure matters, etc.) Yes No
- B. Have you had any financial liens or judgments filed against you **in the last ten years?** (Include federal tax liens, state tax liens, unpaid motor vehicle charges, unemployment judgments, defaulted student loans, delinquent child support obligations, welfare judgments, bankruptcy or insolvency findings, etc.) Yes No

If you checked yes to either question, complete the following chart:

| DATE FILED | JURISDICTION | DOCKET NUMBER | OTHER PARTIES TO THE LAWSUIT | NATURE OF THE LAWSUIT | DISPOSITION (IF APPLICABLE) | DATE OF DISPOSITION (IF APPLICABLE) |
|------------|--------------|---------------|------------------------------|-----------------------|-----------------------------|-------------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 18.**

19. Have you filed a petition for any type of bankruptcy or insolvency or been adjudicated bankrupt or insolvent under any bankruptcy or insolvency law **in the last ten years?** Yes No

If you checked "Yes", attach to this application, **labeled as attachment to question 19**, a copy of the bankruptcy petition and discharge (if available).

20. Have your wages, earnings, or other income been subject to garnishment, attachment, charging order, voluntary wage execution, or the like **in the last ten years?** Yes No

If you checked "Yes", complete the following chart:

| DATE FILED | DOCKET NUMBER | NAME AND ADDRESS OF THE COURT | NATURE OF OBLIGATION | AMOUNT OF OBLIGATION | NAME AND ADDRESS OF OBLIGATION HOLDER |
|------------|---------------|-------------------------------|----------------------|----------------------|---------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 20.**

Initials/Date: _____

**ANTITRUST, TRADE REGULATION AND SECURITY AGREEMENTS -
STATUTORY AND REGULATORY VIOLATIONS**

21. A. Have you ever had a judgment, order, consent decree or consent order pertaining to a violation, alleged violation of the federal antitrust trade regulation or securities laws, or similar laws of any state, province, or country entered against you?
Yes No

B. In the past 10 years, have you had a judgment, order, consent decree or consent order pertaining to a violation, or alleged violation of any state or federal statute, regulation, or code that resulted in a penalty or fine of \$50,000 or more entered against you?
Yes No

If you checked "YES" to either question, provide the following information for each judgment, order, consent decree, or consent order:

| DATE OF OFFENSE | NATURE OF OFFENSE | TITLE OF CASE AND DOCKET NUMBER | NAME AND ADDRESS OF COURT OR AGENCY | NATURE OF JUDGMENT, DECREE OR ORDER | DATE ENTERED |
|-----------------|-------------------|---------------------------------|-------------------------------------|-------------------------------------|--------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Note: Should you require additional space, attach a separate sheet in the same format and label it **attachment to question 21.**

DRAFT

Initials/Date: _____

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

22. The next question asks about any arrests, charges or offenses you may have committed. Prior to answering this question, carefully review the definitions and instructions which follow:

DEFINITIONS: For purposes of this question:

- A. **Arrest** means being taken into custody by any police or other law enforcement authority.
- B. **Charge** includes any indictment, complaint, information or other notice of the alleged commission of any "offense."
- C. **Conviction** includes the finding of guilty of any "offense" upon a trial or a plea of guilty. An adjudication of delinquency shall not be considered a conviction. Such a finding may, however, be considered for purposes of determining the suitability of an application.
- D. **Crime or Offense** includes all felonies and misdemeanors.
- E. **Disposition** the way the case was resolved: guilty, not guilty, continued without a finding, dismissed, pending,

INSTRUCTIONS:

- A. Please note, this is not an application for employment. Accordingly, you must answer all questions completely and may not omit information. Answer "yes" and provide all information to the best of your ability **EVEN IF**:
 - 1. You did not commit the offense charged;
 - 2. The charges were dismissed or subsequently downgraded to a lesser charge;
 - 3. You completed a diversionary program or the equivalent thereof;
 - 4. You were not convicted;
 - 5. You did not serve any time in prison or jail;
 - 6. The charges or offenses happened a long time ago.
- B. Answer "no" **IF**:
 - 1. You have never been arrested or charged with any crime or offense.
 - 2. Records of criminal appearances, criminal dispositions, and/or any information concerning acts of delinquency that have been sealed.

Have you ever been arrested, charged and/or convicted of **any crime or offense in any jurisdiction (including Massachusetts)?**

Yes No

If you checked "Yes", complete the following chart:

| NATURE OF CHARGE OR OFFENSE | DATE OF CHARGE OR OFFENSE | NAME AND ADDRESS OF LAW ENFORCEMENT OR COURT INVOLVED | DISPOSITION |
|-----------------------------|---------------------------|---|-------------|
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format to this page.

NOTE

- A. The Bureau or Commission shall revoke a registration as a gaming service employee registrant if the individual has been convicted of a felony or other crime involving embezzlement, theft, fraud or perjury; submitted an application for a license under M.G.L. c.23K, §30 and 205 CMR 134.00 that contains false or misleading information; committed prior acts which have not be prosecuted or in which the applicant was not convicted but form a pattern of misconduct that makes the applicant unsuitable.
- B. In determining whether an applicant for registration is suitable for purposes of being issued a gaming service employee registration, the Bureau may evaluate and consider the overall reputation of the applicant including, without limitation: the integrity, honesty, good character and reputation of the applicant; and whether the applicant has been convicted of a crime of moral turpitude.
- C. The holder of a gaming service employee registration may appeal a decision made by the Bureau based upon a disqualifying prior conviction in accordance with 134.11(2) on the basis that they wish to demonstrate rehabilitation only if the conviction occurred before the 10 year period immediately preceding application for registration.

Initials/Date: _____

STATEMENT OF TRUTH and CONSENT

Statement of Truth

I, _____, hereby state under the pains and penalties of perjury that:
(Print Name)

1. The information contained herein and accompanies this application is true and accurate to the best of my knowledge and understanding.
2. I personally supplied and/or reviewed the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. Any document accompanying this application that is not an original document is a true copy of the original document.
5. I am aware that if any of the foregoing statements made by me are false or misleading this application may be denied.

Consent

I, _____, hereby consent to fingerprinting and photographing as authorized by 205 CMR 134.07.

I understand if I have questions regarding this form, I should ask an employee of Licensing.

(Signature)

(Print Name)

(Date)

RELEASE AUTHORIZATION

To: Law Enforcement Agencies, Courts, Probation Departments, Military Organizations, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, All Gaming Regulatory Agencies, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic (the "issuing entity").

I, _____ authorize the
(Print Name)
Massachusetts Gaming Commission (Commission) and Investigations and Enforcement Bureau (Bureau) to conduct a full investigation into my background and activities.

I acknowledge that the Commission and/or Bureau may contract or may have contracted with third parties for the purpose of conducting due diligence suitability investigations on behalf of the Commission and/or Bureau in connection with my application filed with the Commission.

I authorize the release of any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Commission or Bureau, provided that he or she certifies to you that I have an application pending before the Commission or that I am presently a licensee or person required to be qualified.

I release any issuing entity, the Commission, the Bureau and their agents, representatives and employees, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result because of compliance with this authorization for release of information.

I acknowledge that this authorization shall supersede and replace any prior release authorization executed by me for the Commission and/or Bureau.

This release shall be valid from the date of signature and, once issued, for the duration of the 3 year license.

A photocopy of this authorization will be considered as effective and valid as the original.

(Signature of Applicant)

(Print Name)

(Date)

On this ____ day of _____ 20____, before me, the undersigned notary public, personally appeared _____ (name of document signer), proved to me through satisfactory evidence of identification, which was _____, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

(Signature of Notary)

(Notary Stamp)

Authorization to Obtain Tax Information from the Department of Revenue

To Whom it May Concern: *I hereby authorize any investigator of the Massachusetts State Police or the Massachusetts Gaming Commission or their designee(s) to receive information from the Massachusetts Department of Revenue regarding any non-compliance with the tax laws for the years 2011-2015; and to ascertain whether any outstanding liability to the Commonwealth or other taxing authorities exists; and to ascertain whether a child support obligation exists. The attorneys-in-fact are authorized, subject to revocation, to receive this information on behalf of the taxpayer. Said confidential information may be released by the attorney-in-fact to the state office, board or other authority to which I am seeking appointment. The authorization is good for one hundred and eighty days from signing and shall thereupon automatically be terminated. I acknowledge that, if the Department of Revenue determines that I am in non-compliance with the tax and/or child support laws of the Commonwealth, I shall be subject to appropriate enforcement action to facilitate the assessment and/or collection of tax liabilities or child support obligations prior to appointment.*

Have you filed a Massachusetts income tax return for the following tax years?

You must answer Yes or No for each year listed below:

2011 _____; 2012 _____; 2013 _____; 2014 _____; 2015 _____;

Important: If you answered No for any of the years listed above, complete A, B, or C below and specify for which year(s) the relevant statement applies.

- A. I was a legal resident of Massachusetts, but my Massachusetts gross income was less than \$8,000.00 for the tax year(s): _____
- B. I was a nonresident of Massachusetts and I did not receive sufficient Massachusetts- source income to require filing a Massachusetts income tax return for the above tax year(s). List other states and years of filing: _____

- C. Other Reason: _____

Have you filed a joint tax return in any of the years 2011-2015?

Yes No

If Yes, please list name, Social Security number, and years filed jointly:

I understand that by signing my name, address, and Social Security Number, under penalty of perjury, I am authorizing the Massachusetts Department of Revenue to release the information listed above to the persons listed above.

Signature: _____ Date: ____ / ____ / ____

Social Security Number: _____ - _____ - _____

Current Address: _____

Spouse's Signature (if applicable): _____ Date: ____ / ____ / ____



**CERTIFICATION OF FILING AND PAYMENT OF FEDERAL AND STATE TAXES
(GAMING EMPLOYEES)**

The Massachusetts Gaming Commission requires that the Applicant submit this Certification in accordance with M.G.L. c. 23K, §§ 12, 30 and 205 CMR 112, 134.10.

As an applicant for a gaming employee license, I do hereby certify that (check all boxes that apply):

1. I have filed all Federal and State tax returns required during the 5 years preceding my application; AND
2. I have not been notified of any unpaid U.S. Federal or State tax assessment for which liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service or state Department of Revenue, and I am not in default.

OR

3. I did not file U.S. Federal tax returns. Please explain why, including the non-U.S. jurisdiction where you filed tax returns.

Signature of Applicant

Date

Printed Name of Applicant



KEY GAMING EMPLOYEE – STANDARD LICENSE APPLICATION FORM

APPLICANT: _____

PLEASE NOTE

- This application should be completed only after you have received an offer of employment from a Massachusetts casino.
- Make sure you are filling out the correct form (**Key Gaming Employee - Standard License**) associated with your job offer.
- The fee for a Key Gaming Employee License is \$1000, which shall be credited to the total fee. In the event that investigative costs incurred by the Commission exceed the upfront application fee, the Commission may, upon written notice, charge an additional fee to cover the actual costs of investigation at hourly rates established by the Commission. This fee may be initially paid by the casino and recovered by way of deduction from the applicant's paycheck.
- If you are unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.
Si usted no puede entender este formulario completamente en Inglés, es su responsabilidad obtener los metodos necesarios de traducción.

IMPORTANT INSTRUCTIONS FOR COMPLETING THIS APPLICATION

- Please **read each question** carefully prior to answering.
- **Answer every question completely and honestly.** Do not omit information and be sure not to leave any question blank.
- This is **not an employment application** and the Massachusetts State Police will be conducting your background review to determine your suitability for licensure.
- Throughout this form, if you have nothing to disclose or if a question does not apply to you, please check "**// N/A //**" where available.
- Ensure that **all attachments required for this application are labeled** with the correct title and attachment numbers and are attached to the application filed with the Commission.
- **Initial and date** each page where indicated.
- All entries on this form, except signatures, must be **typed or printed in block lettering** using dark ink. If the application is not legible, it will not be accepted.
(Note: the Commission will not accept your application if it is illegible or if you have modified any of the questions or pre-printed information on this application.)
- Sign and notarize the **Release Authorization** form included with this application.
- **Retain a completed copy** of this application for your own records.

Initials/Date: _____

FINGERPRINTING & BACKGROUND INVESTIGATION

- Along with a completed application, you will be **required to be fingerprinted** so the Commission may initiate a criminal record check to determine your suitability for a Gaming Employee license.
- You will be **notified by your employer or the Commission of the location, time, and date** so that you may be fingerprinted and your photo taken.
- You will be required to provide **proof of identification** when you are being fingerprinted. Acceptable proof of identity includes:
 1. A current and valid United States passport; **OR** a Certificate of United States Citizenship, or a Certificate of Naturalization; **OR** a current and valid identification card issued by the USCIS containing a photograph or fingerprints and identifying information such as name, date of birth, sex, height, color of eyes and address;

OR

2. A certified copy of a birth certificate issued by a state, county or municipal authority in the United States bearing an official seal, **AND any one of the following authentic documents**:
 - a. A current and valid driver's license containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - b. A current and valid identification card issued to persons who serve in the United States military or their dependents by the United States Department of Defense containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - c. A current and valid student identification card containing a photograph, an expiration date, the seal or logo of the issuing institution, and the signature of the card holder; or
 - d. A current and valid identification card issued by a Federal, state or local government agency containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address.
3. A current and valid foreign passport with an employment authorization issued by the USCIS, **AND any one of the following authentic documents**:
 - a. A current and valid driver's license containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - b. A current and valid student identification card containing a photograph, an expiration date, seal or logo of the issuing institution, and the signature of the card holder; or
 - c. A current and valid identification card issued to persons who serve in the United States military or their dependents by the United States Department of Defense containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address; or
 - d. A current and valid identification card issued by a Federal, state or local government agency containing a photograph or identifying information such as name, date of birth, sex, height, color of eyes and address.

IMPORTANT INFORMATION

- The Massachusetts Public Records Law (Law), <http://www.sec.state.ma.us/pre/preidx.htm> found in Chapter 66, Section 10 of the Massachusetts General Laws, applies to records made or received by a Massachusetts governmental entity. Unless the requested records fall under an exemption to the Law, the responsive documents must be made available to the requester. A list of exemptions may be found in Chapter 4, Section 7(26) of the Massachusetts General Laws.

If you have any questions regarding this application, please contact the Commission's Division of Licensing at 617.979.8400 or EmployeeLicensing.MGC@state.ma.us.

Initials/Date: _____

KEY GAMING EMPLOYEE – STANDARD LICENSE APPLICATION

**PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED.
FAILURE TO ANSWER ANY QUESTION ON THIS APPLICATION COMPLETELY AND TRUTHFULLY MAY RESULT
IN THE DENIAL OF YOUR LICENSE APPLICATION.**

REASON FOR FILING APPLICATION

1. Employer (CHOOSE ONE):

MGM Springfield

Plainridge Park Casino

Wynn Boston Harbor

JOB / POSITION _____

CONTACT AND IDENTIFYING INFORMATION

NAME: LAST (INCLUDE SR., JR., ETC., IF APPLICABLE) FIRST MIDDLE

HAVE YOU EVER BEEN KNOWN BY ANY OTHER NAME OR NAMES? YES NO IF YES, LIST THE ADDITIONAL NAMES BELOW AND SPECIFY DATES OF USE FOR EACH. (INCLUDE MAIDEN NAME, ALIASES, NICKNAMES, OR ANY OTHER NAME) _____

MAILING ADDRESS: NUMBER AND STREET APT# CITY STATE ZIP CODE

HOME ADDRESS: IF DIFFERENT THAN MAILING ADDRESS APT# CITY STATE ZIP CODE

TELEPHONE NUMBER EMAIL ADDRESS

SOCIAL SECURITY NUMBER - - DATE OF BIRTH: (MM/DD/YYYY)

DRIVER'S LICENSE/STATE ID // N/A //
DATE ISSUED LICENSE NUMBER JURISDICTION ISSUED

CITIZENSHIP

2. Are you a citizen of the United States? Yes No

PLACE OF BIRTH: CITY/TOWN STATE/PROVINCE COUNTRY

3. If you answered "No" to question 2, please indicate:

A. Your country of citizenship: _____

B. Your place of birth: CITY COUNTRY

C. Your port of entry to the United States: _____

D. Name and address of your sponsor upon your arrival:

Initials/Date: _____

4. If you are not a United States citizen, but you are a legally authorized permanent resident alien or you are authorized to be employed in the United States, please provide your USCIS "A" number or other USCIS authorization number in the space provided below, and attach to this form a copy of your USCIS identification card and/or any other USCIS document that conditions or restricts your employment labeled as **attachment to question 4**.

USCIS "A" number: _____

DESCRIPTIVE INFORMATION

| | | | | | | | |
|---|--|---|--|--|--|---|--|
| HAIR COLOR <input type="checkbox"/> BLACK <input type="checkbox"/> BROWN <input type="checkbox"/> BLONDE <input type="checkbox"/> RED <input type="checkbox"/> GRAY <input type="checkbox"/> WHITE <input type="checkbox"/> BALD | | EYE COLOR <input type="checkbox"/> BLACK <input type="checkbox"/> BROWN <input type="checkbox"/> HAZEL <input type="checkbox"/> BLUE <input type="checkbox"/> GRAY <input type="checkbox"/> GREEN | | SEX <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE | | RACE <input type="checkbox"/> AMERICAN INDIAN / ALASKAN NATIVE <input type="checkbox"/> HISPANIC <input type="checkbox"/> BLACK / AFRICAN AMERICAN <input type="checkbox"/> WHITE <input type="checkbox"/> ASIAN / PACIFIC ISLANDER <input type="checkbox"/> OTHER _____ | |
| HEIGHT: _____ FT _____ IN | | | | WEIGHT: _____ LBS | | | |

MARITAL STATUS

5. Check off your current marital status:

Single Married Civil Union Legally Separated Divorced Widow/Widower

Provide the name of your current spouse: _____ // N/A //

| WHEN AND WHERE | NAME OF SPOUSE OR CIVIL UNION PARTNER AND FORMER SPOUSE(S) OR CIVIL UNION PARTNER(S) (INCLUDE MAIDEN NAME, IF APPLICABLE) | DATE OF BIRTH | IF ANNULLED, SEPARATED OR DIVORCED, INDICATE DATE AND JURISDICTION WHERE SUCH ACTION WAS TAKEN | PRESENT ADDRESSES OF SPOUSE OR CIVIL UNION PARTNER AND/OR FORMER SPOUSE(S) OR CIVIL UNION PARTNER(S) (NO., STREET, APT., STATE, COUNTRY, ZIP CODE, IF KNOWN) |
|----------------|---|---------------|--|--|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 5**.

RESIDENCE DATA

6. Beginning with your current residence and working backward provide the following information with respect to each place where you have lived (including residences while attending college or while in the military) during the past 10 years.

| DATES (MONTH / YEAR) | | ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY, AND ZIP CODE) | TELEPHONE NUMBER |
|----------------------|-----|---|------------------|
| FROM: | TO: | | |
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 6**.

Initials/Date: _____

7. List any other residence that you have had in other states or countries since the age of 18.

// N/A //

| DATES (MONTH / YEAR) | | ADDRESS (NUMBER, STREET, APARTMENT, CITY, STATE, COUNTRY, AND ZIP CODE) | TELEPHONE NUMBER |
|-------------------------|-----|---|------------------|
| FROM: | TO: | | |
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 7.**

EMPLOYMENT AND LICENSING DATA

8. In the chart below, provide the information regarding your employment for the past 10 years or from age 18, whichever is less. Begin with your present job and work backwards. Give dates of any unemployment between jobs in proper sequence. Include all part-time and full-time employment and any military service. Note by means of an asterisk (*) any gaming-related employment (such as casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)

| DATES | | NAME, MAILING ADDRESS AND PHONE NUMBER OF EMPLOYER(S) | TITLE/POSITION HELD AND DESCRIPTION OF DUTIES | NAME OF SUPERVISOR | REASON FOR LEAVING |
|------------------|----------------|---|---|--------------------|--------------------|
| FROM: (MO\YR) | TO: (MO\YR) | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 8.**

9. With regard to the previously listed employment:

(a). Were you ever discharged, suspended or asked to resign from employment? Yes No

(b). During the last 10 year period, were you charged with any infraction in relation to any employment which was the subject of any disciplinary action? Yes No

If you checked yes to either question, complete the following chart as to each time you were discharged, suspended, asked to resign or disciplined:

| DATE | NAME AND ADDRESS OF EMPLOYER | NAME OF SUPERVISOR | REASON FOR DISCHARGE, SUSPENSION, RESIGNATION OR DISCIPLINARY ACTION |
|------|------------------------------|--------------------|--|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 9.**

10. Have you ever applied in Massachusetts or in any other jurisdiction for a license, permit, registration or other authorization to participate in a lawful gambling operation (including casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)? Yes No

Initials/Date: _____

If you checked yes, complete the following chart:

| NAME & ADDRESS OF LICENSING AGENCY (INCLUDING COUNTRY, STATE, COUNTY OR MUNICIPALITY) | TYPE OF LICENSE, PERMIT, APPROVAL OR REGISTRATION | DATE OF APPLICATION | DISPOSITION (GRANTED, DENIED OR PENDING) | LICENSE, PERMIT, APPROVAL OR REGISTRATION NUMBER |
|--|---|---------------------|--|--|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, please attach a separate sheet of paper in the same format and label it **attachment to question 10.**

11. Have you ever had any license, permit or certification denied, suspended or revoked by a governmental agency in Massachusetts or anywhere else? (Do not include driver's license) Yes No

If you checked yes, complete the following chart:

| TYPE OF LICENSE, PERMIT OR CERTIFICATE | NAME & ADDRESS OF GOVERNMENTAL AGENCY | DATE OF DENIAL, SUSPENSION OR REVOCATION | REASON(S) FOR DENIAL SUSPENSION OR REVOCATION |
|--|---------------------------------------|--|---|
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, please attach a separate sheet of paper in the same format and label it **attachment to question 11.**

EDUCATIONAL DATA

12. Beginning with secondary school (high school), provide the information listed below with respect to each school, college, graduate or post graduate school you have attended.

| DATES | | NAME AND ADDRESS OF SCHOOL, TRAINING PROGRAM, ETC. | DESCRIPTION OF EDUCATION PROGRAM | LIST ANY DEGREE OR CERTIFICATION ATTAINED |
|--------------|------------|--|----------------------------------|---|
| FROM (MO\YR) | TO (MO\YR) | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 12.**

MILITARY SERVICE DATA

13. Have you ever served in the US Military or reserves of the United States? Yes No

If you checked no, please continue to Question 16

If you checked yes, provide the following information:

Branch of Service: _____ Service Serial #: _____ Highest Rank Held: _____

Initials/Date: _____

Period(s) of Active Service:

From _____ To _____
 From _____ To _____
 From _____ To _____

14. Date and type of discharge or separation (Honorable, Dishonorable, Honorable Conditions, Medical, etc.) from Military Service(s):

Date of each discharge/separation: _____

Type of discharge(s): _____

Attach a copy of your military record (DD214 Long Form). If unavailable, attach a copy of a letter to the appropriate branch of the military requesting a copy of your DD214 Long Form. If in the reserves, please attach a copy of your discharge papers. Label any of the following as **attachment to question 14**.

15. Have you ever been tried by military court martial or have you had charges filed against you under Article 15 of the Uniform Code of Military Justice (summary court, deck court, captain's mast, company punishment, etc.)? Yes No

If you checked yes, give details of the charge(s) and their disposition(s). On a separate sheet of paper, wherein you describe the (1) nature of the charge or arrest; (2) date and location of the charge or arrest; (3) name of the military organization filing the charges; (4) disposition (convicted, dismissed, pleading, etc.); and (5) sentence (if applicable), and attach it to this application, labeled as **attachment to question 15**.

OWNERSHIP OR FINANCIAL INTEREST

16. In the past twenty years or since the age of 18, whichever is less or in which you served as an officer or director has any business entity in which you held a 5% or greater ownership interest (other than ownership of stock in a publicly traded corporation) been adjudicated bankrupt or filed a petition for any type of bankruptcy or insolvency under any bankruptcy or insolvency law? Yes No

If you checked yes, complete the following chart:

| DATE FILED | DOCKET NUMBER | NAME & ADDRESS OF COURT | NAME & ADDRESS OF FILING PARTY | NAME & ADDRESS OF TRUSTEE |
|------------|---------------|-------------------------|--------------------------------|---------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 16**.

17. List any businesses in which you have held an ownership interest for the past 10 years, or since the age of 18, whichever is less. (Do **not** include publicly traded corporations in which you own stock.)

| DATES | | NAME(S) & ADDRESS(ES) OF BUSINESS(ES) | CURRENT STATUS OF BUSINESS | % INTEREST HELD BY YOU | NAME(S) OF OTHER OWNER(S) | ADDRESS(ES) OF OTHER OWNER(S) |
|--------------|------------|---------------------------------------|----------------------------|------------------------|---------------------------|-------------------------------|
| FROM: (MOYR) | TO: (MOYR) | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 17**.

Initials/Date: _____

FINANCIAL DATA

18. State when you filed your last Federal tax returns and the tax period it covered.

Date Filed: _____ Period Covered: _____

Submit copies for the past 5 years of your Federal tax returns, including extensions and label as **attachment to question 18**.

19. Have you or has your spouse or any of your children, while dependent, filed any insurance claims in excess of \$100,000 within the past 10 years? Yes No

If you checked yes, complete the following chart:

| DATE OF CLAIM | NATURE OF CLAIM | NAME AND ADDRESS OF INSURANCE CARRIER | DISPOSITION |
|---------------|-----------------|---------------------------------------|-------------|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, please attach a separate sheet of paper in the same format and label it **attachment to question 19**.

20. During the last 5 years, have you, your spouse or dependent children given or received any gift or gifts, whether tangible or intangible, which either individually or in the aggregate exceeded \$10,000 in value in any one year period? Yes No

If you checked yes, complete the following chart as to each gift:

| NAME OF THE DONOR OR DONEE | DATE GIFT GIVEN/RECEIVED | DESCRIPTION OF GIFT | APPROXIMATE VALUE |
|----------------------------|--------------------------|---------------------|-------------------|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 20**.

21. In the past 5 years or since the age of 18, whichever is less, have you received any referral or finder's fee in excess of \$10,000? Yes No

If you checked yes, complete the following chart:

| NAME AND ADDRESS OF ALL PARTIES INVOLVED | NATURE OF GOODS OR SERVICES PROVIDED | AMOUNT RECEIVED | DATE RECEIVED |
|--|--------------------------------------|-----------------|---------------|
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 21**.

22. a) Do you have any bank accounts or safe deposit boxes in your name? Yes No

b) Do you have access to the funds in any other safe deposit boxes? Yes No

If you checked yes to either question, complete the following chart:

| NAME AND ADDRESS OF BANK | NAME(S) IN WHICH ACCOUNT(S) OR SAFE DEPOSIT BOX(ES) HELD | TYPE OF ACCOUNT (SAVINGS, CHECKING, ETC.) | SAFE DEPOSIT BOX NO. |
|--------------------------|--|---|----------------------|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 22**.

Initials/Date: _____

FINANCIAL JUDGEMENTS

23. In the past 10 years, have you been a party to a lawsuit? (Include matrimonial matters, negligence matters, auto accident matters, contract matters, collection matters, debt matters, etc.) Yes No

If you checked yes, complete the following chart:

| DATE FILED | NAME & ADDRESS OF COURT | DOCKET NUMBER | OTHER PARTIES TO SUIT | NATURE OF SUIT | DISPOSITION | DATE OF DISPOSITION |
|------------|-------------------------|---------------|-----------------------|----------------|-------------|---------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 23.**

24. In the past 10 years or since the age of 18, whichever is less, have you personally been adjudicated bankrupt or filed a petition for any type of bankruptcy or insolvency? Yes No

If you checked yes, complete the following chart:

| DATE FILED | DOCKET NUMBER | NAME AND ADDRESS OF COURT | NAME & ADDRESS OF TRUSTEE |
|------------|---------------|---------------------------|---------------------------|
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 24.**

25. Have your wages, earnings or other income been subject to garnishment, attachment, charging order, voluntary wage execution or the like during the past ten year period? Yes No

If you checked yes, complete the following chart:

| DATE FILED | DOCKET NUMBER | NAME & ADDRESS OF COURT | NATURE OF OBLIGATION | AMOUNT OF OBLIGATION | NAME & ADDRESS OF HOLDER OF OBLIGATION |
|------------|---------------|-------------------------|----------------------|----------------------|--|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 25.**

ANTITRUST, TRADE REGULATION AND SECURITY AGREEMENTS - STATUTORY AND REGULATORY VIOLATIONS

26. a) Have you ever had a judgment, order, consent decree or consent order pertaining to a violation or an alleged violation of the federal antitrust, trade regulation, securities laws, or similar laws of any state, province, or country entered against you? Yes No

b) In the past 10 years, have you had a judgment, order, consent decree, or consent order pertaining to a violation or an alleged violation of any state or federal statute, regulation or code that resulted in a penalty or fine of \$50,000 or more entered against you? Yes No

Initials/Date: _____

If you checked "YES" to either question, provide the following information for each judgment, order, consent decree, or consent order:

| DATE OF OFFENSE | NATURE OF OFFENSE | TITLE OF CASE AND DOCKET NUMBER | NAME AND ADDRESS OF COURT OR AGENCY | NATURE OF JUDGMENT, DECREE OR ORDER | DATE ENTERED |
|-----------------|-------------------|---------------------------------|-------------------------------------|-------------------------------------|--------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 26 A or B.**

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

The next question asks about any arrests, charges or offenses you may have committed. Prior to answering this question, carefully review the definitions and instructions which follow:

DEFINITIONS: For purposes of this question:

- A. **Arrest** means being taken into custody by any police or other law enforcement authority.
- B. **Charge** includes any indictment, complaint, information or other notice of the alleged commission of any "offense."
- C. **Conviction** includes the finding of guilty of any "offense" upon a trial or a plea of guilty. An adjudication of delinquency shall not be considered a conviction. Such a finding may, however, be considered for purposes of determining the suitability of an applicant.
- D. **Crime or Offense** includes all felonies and misdemeanors.
- E. **Disposition** the way the case was resolved: guilty, not guilty, continued without a finding, dismissed, pending, etc.

INSTRUCTIONS: A. Please note, this is not an application for employment. Accordingly, you must answer all questions completely and may not omit information. Answer "YES" and provide all information to the best of your ability **EVEN IF:**

1. You did not commit the offense charged;
2. The charges were dismissed or subsequently downgraded to a lesser charge;
3. You completed a diversionary program or the equivalent thereof;
4. You were not convicted;
5. You did not serve any time in prison or jail;
6. The charges or offenses happened a long time ago.

B. Answer "no" **IF:**

1. You have never been arrested or charged with any crime or offense.
2. Records of criminal appearances, criminal dispositions, and/or any information concerning acts of delinquency that have been sealed.

27. Have you ever been arrested, charged and/or convicted of **any crime or offense in any jurisdiction (including Massachusetts)?** Yes No

If you checked yes, complete the following chart:

| NATURE OF CHARGE OR OFFENSE | DATE OF CHARGE OR OFFENSE | NAME AND ADDRESS OF LAW ENFORCEMENT OR COURT INVOLVED | DISPOSITION |
|-----------------------------|---------------------------|---|-------------|
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 27.**

Initials/Date: _____

- NOTE:**
- A. The Bureau or Commission shall deny or revoke a key gaming employee license if the individual has been convicted of a felony or other crime involving embezzlement, theft, fraud or perjury; submitted an application for a license under M.G.L. c. 23K, §30 and 205 CMR 134.00 that contains false or misleading information; committed prior acts which have not be prosecuted or in which the applicant was not convicted but form a pattern of misconduct that makes the applicant unsuitable.
 - B. In determining whether an applicant for licensure is suitable for purposes of being issued a key gaming employee license, the Bureau may evaluate and consider the overall reputation of the applicant including, without limitation: the integrity, honesty, good character and reputation of the applicant; and whether the applicant has been convicted of a crime of moral turpitude.
 - C. The applicant for a key gaming employee license may not appeal a decision made by the Bureau to the Commission in accordance with 205 CMR 134.09 (1)(a) that was based upon a disqualifying prior conviction in accordance with 205 CMR 134.10(3)(a) on the basis that the applicant wishes to demonstrate rehabilitation.

28. Have you ever been called to testify before, been the subject of an investigation conducted by, Yes No
 or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, federal, national, etc.) other than in response to a traffic summons?

If you checked yes, complete the following chart:

| NAME AND ADDRESS OF COURT OR OTHER AGENCY | NATURE OF PROCEEDING OR INVESTIGATION | WAS TESTIMONY GIVEN? | DATE WHICH TESTIMONY WAS GIVEN |
|---|---------------------------------------|----------------------|--------------------------------|
| | | | |
| | | | |
| | | | |
| | | | |

Note: Should you require additional space, attach a separate sheet of paper in the same format and label it **attachment to question 28.**

Initials/Date: _____

NET WORTH STATEMENT – ASSETS AND LIABILITIES

NOTE: Complete the financial statements on pages 29 through 45 and copy the totals in the appropriate space below. If you require additional space for the schedule questions, please attach a separate sheet of paper using the same format and label it the **appropriate schedule heading and attachment to corresponding numerical question.**

29. Please list all assets, tangible and intangible, in which a direct or indirect interest is held by you, your spouse or your dependent children. For each line item, list both the cost of the asset and the present market values as of the date of this statement unless this cannot reasonably be done, in which case any special valuation date should be noted in the column provided. Detail each line entry on the appropriate schedule.

| ASSETS | COST AT DATE ACQUIRED OR PURCHASED (A) | CURRENT MARKET VALUE (B) | SPECIAL VALUATION DATE, IF ANY |
|--|---|---------------------------------|---------------------------------------|
| 1. CASH IN BANK (SCHEDULE A) | \$ | \$ | \$ |
| 2. LOANS, NOTES AND OTHER RECEIVABLES (SCHEDULE B) | \$ | \$ | \$ |
| 3. SECURITIES (SCHEDULE C) | \$ | \$ | \$ |
| 4. REAL ESTATE INTERESTS (SCHEDULE D) | \$ | \$ | \$ |
| 5. CASH VALUE LIFE INSURANCE (SCHEDULE E) | \$ | \$ | \$ |
| 6. CASH VALUE PENSION/ RETIREMENT FUNDS (SCHEDULE F) | \$ | \$ | \$ |
| 7. VEHICLES (SCHEDULE G) | \$ | \$ | \$ |
| 8. OTHER ASSETS (SCHEDULE H) | \$ | \$ | \$ |
| 9. FURNITURE AND CLOTHING (REASONABLE ESTIMATE) | \$ | \$ | \$ |
| TOTAL ASSETS | | \$ | \$ |

Initials/Date: _____

30. Please list all liabilities of you, your spouse and your dependent children. Enter the amount as of the date of this statement. Detail each line entry on the appropriate schedule.

| LIABILITY | ORIGINAL AMOUNT OF LIABILITY (C) | AMOUNT OUTSTANDING (D) |
|---|----------------------------------|------------------------|
| 10. NOTES PAYABLE (SCHEDULE I) | \$ | \$ |
| 11. LOANS AND OTHER PAYABLES (SCHEDULE J) | \$ | \$ |
| 12. TAXES PAYABLE (SCHEDULE K) | \$ | \$ |
| 13. MORTGAGES OR LIENS ON REAL ESTATE (SCHEDULE L) | \$ | \$ |
| 14. LOANS AGAINST INSURANCE/PENSIONS (SCHEDULE M) | \$ | \$ |
| 15. OTHER INDEBTEDNESS (SCHEDULE N) | \$ | \$ |
| TOTAL LIABILITIES | | |
| <u>NET WORTH</u> <u>TOTAL ASSETS</u> (FROM COLUMN B) LESS <u>TOTAL LIABILITIES</u> (FROM COLUMN D) | | \$ |
| 16. CONTINGENT LIABILITIES (SCHEDULE O) | \$ | \$ |

Date of Statement _____

Please provide the name, address, email address and phone number of the person completing this statement if it is completed by someone other than you.

Name _____

Address _____

Telephone _____

Email address _____

Initials/Date: _____

SCHEDULE "A" – CASH IN BANK

31. List below all bank accounts (checking, savings, time deposits, certificates of deposit, money market funds, etc.) foreign and domestic, maintained by you, your spouse or dependent children. Identify with an asterisk (*) any check writing accounts held with brokerage houses, insurance companies, etc. // N/A //

| NAME AND ADDRESS OF INSTITUTION | NAME OF PERSON(S) AND TAX IDENTIFICATION NUMBER(S) APPEARING ON ACCOUNT | ACCOUNT NUMBER | INTEREST RATE % | GENERAL NATURE OF ACCOUNT | DATE OF BALANCE | BALANCE |
|---|---|----------------|-----------------|---------------------------|-----------------|---------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | \$ |
| TOTAL CURRENT BALANCE (ENTER THIS FIGURE IN ITEM 1, COLUMN B ON PAGE 12.) | | | | | | |

SCHEDULE "B" – LOANS, NOTES AND OTHER RECEIVABLES

32. List below all loans, notes and other receivables held by you, your spouse or dependent children. // N/A //

| NAME AND ADDRESS OF DEBTOR | INTEREST RATE % | ORIGINAL LOAN AMOUNT | ORIGINAL DATE OF LOAN | TOTAL PAYMENTS | DATE DUE | NATURE OF SECURITY, IF ANY, INDICATE IF UNSECURED | CURRENT BALANCE |
|--|-----------------|----------------------|---|----------------|----------|---|-----------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | \$ | | | | | \$ |
| TOTAL ORIGINAL LOAN AMOUNT (ENTER THIS FIGURE IN ITEM 2, COLUMN A ON PAGE 12.) | | | TOTAL CURRENT BALANCE (ENTER THIS FIGURE IN ITEM 2, COLUMN B ON PAGE 12.) | | | | |

Initials/Date: _____

SCHEDULE "C" - SECURITIES

33. List below all stocks, bonds, mutual funds, commodity accounts, options, warrants, etc., held or controlled by you, your spouse or dependent children. Whenever interest exists through a mutual fund or holding company, the individual stocks or bonds held by such mutual fund or holding company need not to be listed; whenever such interest exists through a beneficial interest in a trust, the securities held in such trust shall be listed if you, your spouse or dependent children have knowledge of what securities are so held. INDICATE PUBLICLY TRADED SECURITIES BY AN ASTERISK (*). // N/A //

| NUMBER OF SECURITIES OR ACCOUNTS HELD | TYPE OF SECURITY | NAME OF ISSUING COMPANY OR GOVERNMENT AGENCY | MARKET VALUE AT TIME OF ACQUISITION | DATE OF AND PRICE AT PURCHASE | % OF OWNERSHIP IF GREATER THAN 5% | REGISTERED OWNER | DATE OF VALUATION | CURRENT MARKET VALUE |
|---|------------------|--|-------------------------------------|-------------------------------|-----------------------------------|------------------|-------------------|--|
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | \$ |
| TOTAL PURCHASE PRICE (ENTER THIS FIGURE IN ITEM 3, COLUMN A ON PAGE 12.) | | | | | | | | TOTAL CURRENCY MARKET VALUE (ENTER THIS FIGURE IN ITEM 3, COLUMN B ON PAGE 12.) |

SCHEDULE "D" - REAL ESTATE INTERESTS

34. Indicate below the location, size, general nature, acquisition date and other information requested regarding any real property in which any direct, indirect, vested or contingent interest is held by you, your spouse or dependent children, along with the names of all individuals or entities who share a direct, indirect, vested or contingent interest therein. // N/A //

| ADDRESS PARCEL LOT NUMBER | LOT SIZE/ SQUARE FOOTAGE OF BUILDING | TYPE OF PROPERTY | DATE ACQUIRED | INDIVIDUALS OR ENTITIES SHARING INTEREST (INCLUDE % OF OWNERSHIP FOR EACH) | PURCHASE PRICE OF % OWNED | MONTHLY RENTAL INCOME, IF ANY | ESTIMATED MARKET VALUE OF % OWNED |
|---------------------------|--------------------------------------|------------------|---------------|--|--|---|-----------------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | \$ | | \$ |
| | | | | | TOTAL PURCHASE PRICE (ENTER THIS IN ITEM 4, COLUMN A ON PAGE 12.) | TOTAL CURRENT MARKET VALUE (ENTER THIS FIGURE IN ITEM 4, COLUMN B ON PAGE 12.) | |

Initials/Date: _____

SCHEDULE "E" – CASH VALUE – LIFE INSURANCE

35. Indicate below the information requested with regard to the cash value of all life insurance policies held by you, your spouse or your dependent children. // N/A //

| DATE PURCHASED | INSURANCE CARRIER | POLICY NUMBER | BENEFICIARY(IES) | FACE VALUE | ANNUAL PREMIUM PAYMENTS | CASH SURRENDER VALUE |
|----------------|-------------------|---------------|------------------|------------|-------------------------|--|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | \$ |
| | | | | | | TOTAL CASH SURRENDER VALUE (ENTER THIS FIGURE IN ITEM 5, COLUMN B ON PAGE 12.) |

SCHEDULE "F" – CASH VALUE – PENSION/RETIREMENT FUNDS

36. Indicate below the information requested with regard to the cash value of all pension funds held by you or your spouse. Include IRA, 401K and KEOGH plans. // N/A //

| TYPE OF FUND | TYPE OF SECURITIES HELD | EMPLOYER/ INSTITUTION | ACCOUNT NUMBER, IF ANY | CUMULATIVE EMPLOYEE CONTRIBUTION | CUMULATIVE EMPLOYER CONTRIBUTION | CURRENT CASH VALUE |
|--------------|-------------------------|-----------------------|------------------------|--|--|--------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | \$ | | \$ |
| | | | | TOTAL CUMULATIVE EMPLOYEE CONTRIBUTION (ENTER THIS FIGURE IN ITEM 6, COLUMN A ON PAGE 12.) | TOTAL CURRENT CASH VALUE (ENTER THIS FIGURE IN ITEM 6, COLUMN B ON PAGE 12.) | |

Initials/Date: _____

SCHEDULE "G" – VEHICLES

37. Indicate below the information requested with regard to all vehicles owned or leased by you, your spouse, or your dependent children. // N/A //

| TYPE OF VEHICLE | SPECIFY IF OWNED OR LEASED* | DATE OF PURCHASE/ LEASE | MODEL YEAR | MAKE/ MODEL OF VEHICLE | COST** | IF OWNED, CURRENT MARKET VALUE |
|---|-----------------------------|-------------------------|------------|------------------------|---|--|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | \$ | \$ |
| *If leased, specify in this column the length of the lease, total lease costs, down payments, monthly payments and number of payments over the life of the lease. | | | | | TOTAL COST(S) OF VEHICLES (ENTER THIS FIGURE IN ITEM 7, COLUMN A ON PAGE 12.) | TOTAL CURRENT MARKET VALUE OF VEHICLES (ENTER THIS FIGURE IN ITEM 7, COLUMN B ON PAGE 12.) |
| **If leased, enter the sum of the down payment plus monthly payments to date as the total cost | | | | | | |

SCHEDULE H" – OTHER ASSETS

38. List below the information requested with regard to all other assets held by you, your spouse or your dependent children. Include such things as sole proprietorships, partnership interest, joint ventures, art collections, coin collections, antiques, etc. // N/A //

| NATURE OF ASSET | DATE OF ACQUISITION | COST | % OF OWNERSHIP INTEREST | DATE OF VALUATION | CURRENT MARKET VALUE |
|-----------------|---------------------|--|-------------------------|-------------------|--|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | \$ | | | \$ |
| | | TOTAL COST(S) OF OTHER ASSETS (ENTER THIS FIGURE IN ITEM 8, COLUMN A, ON PAGE 12.) | | | TOTAL CURRENT MARKET VALUE OF OTHER ASSETS (ENTER THIS FIGURE IN ITEM 8, COLUMN B ON PAGE 12.) |

Initials/Date: _____

SCHEDULE "I" – NOTES PAYABLE

39. List below the information requested with regard to all notes payable for which you, your spouse or dependent children are obligated. // N/A //

| NAME & ADDRESS OF CREDITOR | ACCOUNT NUMBER IF ANY | DATE INCURRED | DUE DATE | INTEREST RATE (%) | AMOUNT OF PERIODIC PAYMENT\ PAY PERIOD | ORIGINAL AMOUNT OF NOTE | NATURE OF SECURITY, IF ANY | TOTAL PAYMENTS | OUTSTANDING AMOUNT OF LIABILITY |
|----------------------------|-----------------------|---------------|----------|-------------------|--|---|----------------------------|----------------|--|
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | \$ | | | \$ |
| | | | | | | TOTAL ORIGINAL AMOUNT OF NOTES PAYABLE (ENTER THIS FIGURE IN ITEM 10, COLUMN C ON PAGE 13.) | | | TOTAL AMOUNT OF OUTSTANDING NOTES PAYABLE (ENTER THIS FIGURE IN ITEM 10, COLUMN D ON PAGE 13.) |

SCHEDULE "J" – LOANS AND OTHER PAYABLES

40. List below the information requested with regard to all accounts payable (include lines of credit, installment loans, revolving charge accounts and any other accounts) for which you, your spouse or your dependent children are obligated. // N/A //

| NAME & ADDRESS OF CREDITOR | ACCOUNT NUMBER, IF ANY | DATE OPENED OR INCURRED | DUE DATE | INTEREST RATE (%) | NATURE OF ACCOUNT | ORIGINAL AMOUNT OF LIABILITY | NATURE OF SECURITY, IF ANY | TOTAL PAYMENTS | CURRENT AMOUNT OUTSTANDING |
|----------------------------|------------------------|-------------------------|----------|-------------------|-------------------|---|----------------------------|----------------|---|
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | \$ | | | \$ |
| | | | | | | TOTAL ORIGINAL AMOUNT OF LIABILITY (ENTER THIS FIGURE IN ITEM 11, COLUMN C ON PAGE 13.) | | | TOTAL AMOUNT OF OUTSTANDING LOANS AND OTHER PAYABLES (ENTER THIS FIGURE IN ITEM 11, COLUMN D ON PAGE 13.) |

Initials/Date: _____

SCHEDULE "K" – TAXES PAYABLE

41. List below the information requested with regard to all taxes payable for which you, your spouse, or your dependent children are obligated. Only real estate and income taxes need to be included. // N/A //

| TAXING AUTHORITY | NATURE OF TAX | DATE AND AMOUNT OF ORIGINAL OBLIGATION | FINES, PENALTIES AND INTEREST IF ANY | TOTAL AMOUNT DUE |
|--|---------------|--|---|------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | \$ | | \$ |
| TOTAL ORIGINAL TAX OBLIGATION(S) (ENTER THIS FIGURE IN ITEM 12, COLUMN C ON PAGE 13.) | | | TOTAL AMOUNT OF TAXES PAYABLE (ENTER THIS FIGURE IN ITEM 12, COLUMN D ON PAGE 13.) | |

SCHEDULE "L" – MORTGAGES OR LIENS PAYABLE ON REAL ESTATE

42. List below the information requested with regard to all mortgages or liens payable on real estate for which you, your spouse or your dependent children are obligated. // N/A //

| NAME & ADDRESS OF MORTGAGEE OR LIEN HOLDER | ACCOUNT NUMBER | DATE INCURRED | ORIGINAL AMOUNT OF LIABILITY | DESCRIPTION/ ADDRESS OF REAL ESTATE | TERM OF MORTGAGE/ INTEREST RATE (%) | AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD | CURRENT MORTGAGE BALANCE |
|--|----------------|---------------|---|-------------------------------------|-------------------------------------|--|--------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | \$ | | | | \$ |
| TOTAL ORIGINAL MORTGAGES OR LIENS PAYABLE ON REAL ESTATE (ENTER THIS FIGURE IN ITEM 13, COLUMN C ON PAGE 13.) | | | TOTAL MORTGAGES OR LIENS PAYABLE ON REAL ESTATE (ENTER THIS FIGURE IN ITEM 13, COLUMN D ON PAGE 13.) | | | | |

Initials/Date: _____

SCHEDULE "M" – LOANS AGAINST INSURANCE/PENSION PLANS

43. List below the information requested with regard to all loans against life insurance policies, pension plans, 401K plans, etc., taken by you, your spouse or your dependent children. // N/A //

| INSURANCE CARRIER/ PENSION PLAN | PURPOSE OF LOAN | ORIGINAL AMOUNT OF LOAN | INTEREST RATE (%) | DATE OF LOAN | PERIODIC PAYMENT AMOUNT/ PAY PERIOD | CURRENT LOAN BALANCE |
|------------------------------------|--------------------|--|-------------------------|--------------------|--|--|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | \$ | | | | \$ |
| | | TOTAL ORIGINAL LIABILITY INSURANCE/PENSION LOANS (ENTER THIS FIGURE IN ITEM 14, COLUMN C ON PAGE 13.) | | | | TOTAL AMOUNT OUTSTANDING INSURANCE/PENSION LOANS (ENTER THIS FIGURE IN ITEM 14, COLUMN D ON PAGE 13.) |

SCHEDULE "N" – ANY OTHER INDEBTEDNESS

44. List below the information requested with regard to any other indebtedness for which you, your spouse or your dependent children are obligated. // N/A //

| NAME & ADDRESS OF CREDITOR | INTEREST RATE (%) | DESCRIPTION OF LIABILITY, TYPE OF OBLIGATION AND NATURE OF SECURITY, IF ANY | DUE DATE | AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD | ORIGINAL AMOUNT OF LIABILITY | OUTSTANDING AMOUNT OF INDEBTEDNESS |
|-------------------------------|-------------------------|---|-------------|---|--|---|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | \$ | \$ |
| | | | | | TOTAL ORIGINAL AMOUNT OTHER INDEBTEDNESS (ENTER THIS FIGURE IN ITEM 15, COLUMN C ON PAGE 13.) | TOTAL AMOUNT OUTSTANDING OTHER INDEBTEDNESS (ENTER THIS FIGURE IN ITEM 15, COLUMN D ON PAGE 13.) |

Initials/Date: _____

SCHEDULE "O" – CONTINGENT LIABILITIES

45. List below the information requested with regard to all contingent liabilities for which you, your spouse or your dependent children are obligated. // N/A //

| NAME & ADDRESS OF CONTINGENT CREDITOR | DATE INCURRED | ACCOUNT NUMBER | PRIMARY DEBTOR | DESCRIPTION OF OBLIGATION INCLUDING NATURE OF SECURITY, IF ANY | ORIGINAL AMOUNT OF CONTINGENT OBLIGATION | CURRENT AMOUNT OF CONTINGENT OBLIGATION |
|---------------------------------------|---------------|----------------|----------------|--|---|--|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | \$ | \$ |
| | | | | | TOTAL ORIGINAL CONTINGENT LIABILITIES (ENTER THIS FIGURE IN ITEM 16, COLUMN C ON PAGE 13.) | TOTAL AMOUNT OF OUTSTANDING CONTINGENT LIABILITIES (ENTER THIS FIGURE IN ITEM 16, COLUMN D ON PAGE 13.) |

DRAFT

Initials/Date: _____

STATEMENT OF TRUTH and CONSENT

Statement of Truth

I, _____, hereby state under the pains and penalties of perjury that:
(Print Name)

1. The information contained herein and accompanies this application is true and accurate to the best of my knowledge and understanding.
2. I personally supplied and/or reviewed the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. Any document accompanying this application that is not an original document is a true copy of the original document.
5. I am aware that if any of the foregoing statements made by me are false or misleading this application may be denied.

Consent

I, _____, hereby consent to fingerprinting and photographing as authorized by 205 CMR 134.07.

I understand if I have questions regarding this form, I should ask an employee of Licensing.

(Signature)

(Print Name)

(Date)

RELEASE AUTHORIZATION

To Law Enforcement Agencies, Courts, Probation Departments, Military Organizations, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, All Gaming Regulatory Agencies, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic (the “issuing entity”).

I, _____ authorize the
(Print Name)

Massachusetts Gaming Commission (Commission) and Investigations and Enforcement Bureau (Bureau) to conduct a full investigation into my background and activities.

I acknowledge that the Commission and/or Bureau may contract or may have contracted with third parties for the purpose of conducting due diligence suitability investigations on behalf of the Commission and/or Bureau in connection with my application filed with the Commission.

I authorize the release of any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Commission or Bureau, provided that he or she certifies to you that I have an application pending before the Commission or that I am presently a licensee or person required to be qualified.

I release any issuing entity, the Commission, the Bureau and their agents, representatives and employees, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result because of compliance with this authorization for release of information.

I acknowledge that this authorization shall supersede and replace any prior release authorization executed by me for the Commission and/or Bureau.

This release shall be valid from the date of signature and, once issued, for the term of the license (3 years).

A photocopy of this authorization will be considered as effective and valid as the original.

(Signature of Applicant)

(Print Name)

(Date)

On this ____ day of _____ 20____, before me, the undersigned notary public, personally appeared _____ (name of document signer), proved to me through satisfactory evidence of identification, which was _____, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

(Signature of Notary)

(Notary Stamp)

Authorization to Obtain Tax Information from the Department of Revenue

To Whom it May Concern: *I hereby authorize any investigator of the Massachusetts State Police or the Massachusetts Gaming Commission or their designee(s) to receive information from the Massachusetts Department of Revenue regarding any non-compliance with the tax laws for the years 2011 - 2015; and to ascertain whether any outstanding liability to the Commonwealth or other taxing authorities exists; and to ascertain whether a child support obligation exists. The attorneys-in-fact are authorized, subject to revocation, to receive this information on behalf of the taxpayer. Said confidential information may be released by the attorney-in-fact to the state office, board or other authority to which I am seeking appointment. The authorization is good for one hundred and eighty days from signing and shall thereupon automatically be terminated. I acknowledge that, if the Department of Revenue determines that I am in non-compliance with the tax and/or child support laws of the Commonwealth, I shall be subject to appropriate enforcement action to facilitate the assessment and/or collection of tax liabilities or child support obligations prior to appointment.*

Have you filed a Massachusetts income tax return for the following tax years?

Answer Yes or No for each year:

2011____; 2012____; 2013____; 2014____; 2015____;

Important: If you answered No for any of the years listed above, complete A, B, or C below and specify for which year(s) the relevant statement applies.

- A. I was a legal resident of Massachusetts, but my Massachusetts gross income was less than \$8,000.00 for the tax year(s): _____
- B. I was a nonresident of Massachusetts and I did not receive sufficient Massachusetts-source income to require filing a Massachusetts income tax return for the above tax year(s). List other states and years of filing: _____

- C. Other Reason: _____

Have you filed a joint tax return in any of the years 2011 - 2015? (please indicate)

Yes No

If Yes, please list name, Social Security number, and years filed jointly:

I understand that by signing my name, address, and Social Security Number, under penalty of perjury, I am authorizing the Massachusetts Department of Revenue to release the information listed above to the persons listed above.

Signature: _____ Date: ____ / ____ / ____

Social Security Number: ____ - ____ - ____

Current Address:

Spouse's Signature (if applicable): _____ Date: ____ / ____ / ____



TO: Steve Crosby, Chairman
Gayle Cameron, Commissioner
Lloyd Macdonald, Commissioner
Bruce Stebbins, Commissioner
Enrique Zuniga, Commissioner

FROM: Alexandra Lightbown, Director of Racing

CC: Edward Bedrosian, Executive Director
Catherine Blue, General Counsel

DATE: April 9, 2017

RE: Plainridge Special Events Request

Dear Commissioners:

Plainridge Park Casino Director of Racing Steve O'Toole has submitted a request dated April 8, 2017 for approval of 15 races to be simulcast as Special Events, per Massachusetts General Law 128C.

Recommendation: That the Commission approve the request of Plainridge Park Casino to simulcast the 15 races listed on their letter dated April 8, 2017 as Special Events.



Massachusetts Gaming Commission



PLAINRIDGE PARK
CASINO

April 8, 2017

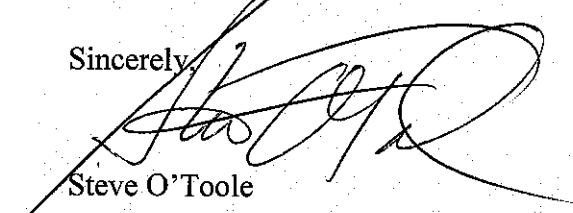
Alexandra Lightbown
Director of Racing
Massachusetts Gaming Commission
101 Federal St.
Boston, MA 02110

Dear Alex,

Plainridge Park Casino respectfully requests approval of the following races to be simulcast as so-called "Special Events" in 2016;

Kentucky Oaks, Churchill Downs – Friday, May 5, 2017
Churchill Downs Handicap, Churchill Downs – Saturday, May 6, 2017
Kentucky Derby, Churchill Downs – Saturday, May 6, 2017
Preakness Stakes, Pimlico – Saturday, May 20, 2017
Belmont Stakes, Belmont Park – Saturday, June 10, 2017
Woody Stephens, Belmont Park – Saturday, June 10, 2017
Metropolitan Handicap, Belmont Park – Saturday, June 10, 2017
Breeders' Cup Classic, Del Mar – Saturday, November 4, 2017
Breeders' Cup Turf Sprint, Del Mar – Saturday, November 4, 2017
Breeders' Cup Filly & Mare Turf, Del Mar – Saturday, November 4, 2017
Breeders' Cup Filly & Mare Sprint, Del Mar – Saturday, November 4, 2017
Breeders' Cup Juvenile Fillies, Del Mar – Saturday, November 4, 2017
Breeders' Cup Turf, Del Mar – Saturday, November 4, 2017
Breeders' Cup Juvenile, Del Mar – Saturday, November 4, 2017
Breeders' Cup Sprint, Del Mar – Saturday, November 4, 2017

Sincerely,



Steve O'Toole
Director of Racing

cc: Douglas O'Donnell – MGC
Christopher McErlean – PNG
David Koepf - PNG



Division of Racing

MEMORANDUM

| | |
|-----------------|---|
| TO: | Massachusetts Gaming Commission / State Racing Division |
| FROM: | Douglas A. O'Donnell, Senior Financial Analyst |
| SUBJECT: | 2015 Reimbursement of Unclaimed Tickets – Horse Tracks |
| DATE: | April 13, 2017 |

Under Chapter 139, Section 10 (live) and Section 20 (simulcasts), “subject to rules and regulations established by the Commission, the Commission shall deposit unclaimed wagers into the purse accounts of the racing meeting licensee that generated those unclaimed tickets”.

| | |
|------------------------|--------------|
| Sterling Suffolk Downs | \$208,279.26 |
| Plainridge Racecourse | \$158,701.82 |

These funds have been submitted to the Commission and cleared, with your authorization and per your instruction, distribution will occur.



Massachusetts Gaming Commission

101 Federal Street, 12th Floor, Boston, Massachusetts 02110 | TEL 617.979.8400 | FAX 617.725.0258 | www.massgaming.com

TOTAL: \$158,701.82 \$158,701.82 \$0.00 \$0.00 \$158,701.82

THIS CHECK IS VOID WITHOUT A COLORED BORDER AND BACKGROUND PLUS A KNIGHT & FINGERPRINT WATERMARK ON THE BACK - HOLD AT ANGLE TO VIEW

Plainridge Park Casino

301 Washington Street
Plainville, MA 02762

Fifth Third Bank

38 Fountain Square Plaza
Cincinnati, OH 45263

Pay **One Hundred Fifty Eight Thousand Seven Hundred One Dollars and 82 Cents**

DATE Mar 22, 2017 **AMOUNT** \$158,701.82

to the Order of:

Massachusetts Gaming Commission

101 Federal Street 23rd Floor
Boston, MA 02110

[Signature]
Toban Wamen

STERLING SUFFOLK RACECOURSE, LLC

OPERATING ACCOUNT
525 MCCLELLAN HIGHWAY
EAST BOSTON, MA 02128

TD BANK

109631

PAY

Two Hundred Eight Thousand Two Hundred Seventy Nine Dollars And 82 Cents

| CHECK NO. | CHECK DATE | VENDOR NO. |
|-----------|------------|------------|
| 109631 | 03/22/17 | 0000024 |

| CHECK AMOUNT |
|--------------|
| \$208,279.25 |

TO THE ORDER OF:

THE COMMONWEALTH OF MA
STATE RACING COMMISSION
1000 WASHINGTON ST. SUITE 710
BOSTON MA 02110-0000

[Signature]

AUTHORIZED SIGNATURE





Division of Racing

MEMORANDUM

| | |
|-----------------|---|
| TO: | Massachusetts Gaming Commission / State Racing Division |
| FROM: | Douglas A. O'Donnell, Senior Financial Analyst |
| SUBJECT: | 2015 Reimbursement of Unclaimed Tickets – Dog Tracks |
| DATE: | April 13, 2017 |

Pursuant to Chapter 86 of the Acts of 2010 Section 14, subsection 18, amounts from unclaimed tickets ...by greyhound meeting licensee/s shall be dedicated to the Racing Stabilization Fund.

| | |
|---------------------------|--------------|
| Wonderland Greyhound Park | \$17,261.75 |
| Raynham/Taunton Greyhound | \$180,953.94 |

These funds have been submitted to the Commission and cleared, with your authorization and per your instruction, distribution will occur.



Massachusetts Gaming Commission

101 Federal Street, 12th Floor, Boston, Massachusetts 02110 | TEL 617.979.8400 | FAX 617.725.0258 | www.massgaming.com

FOR SECURITY PURPOSES, THE BORDER OF THIS DOCUMENT CONTAINS MICROPRINTING

MASSASOIT GREYHOUND ASSN., INC.
SPECIAL MUTUAL ACCOUNT

1958 Broadway
P.O. Box 172
Raynham, MA 02767-0172

[Redacted]

1331

3/29/2017

PAY TO THE ORDER OF

MASSACHUSETTS GAMING COMMISSION

\$ 188,953.94

ONE HUNDRED EIGHTY THOUSAND NINE HUNDRED FIFTY-THREE

+ 94/100 DOLLARS

Rockland Trust
ROCKLAND, MA 02370

FOR 2015 OUTF

[Handwritten Signature]

AUTHORIZED SIGNATURE

[Redacted]

THIS DOCUMENT INCLUDES A SECURITY SCREEN ON BACK OF THE CHECK

STERLING SUFFOLK RACECOURSE, LLC
MUTUEL EXCHANGE ACCOUNT
525 WILLIAM F MCCLELLAN HWY
BOSTON, MA 02128

177
[Redacted]

3.10.17

Date

Pay to the Order of Commonwealth of Massachusetts \$ 17261.75

Seventeen thousand two hundred sixty one and 75/100 Dollars

Bank

America's Most Convenient Bank

For 2015 Wadsworth OUTF

[Handwritten Signature]

NP



Division of Racing

MEMORANDUM

| | |
|-----------------|---|
| TO: | Massachusetts Gaming Commission / State Racing Division |
| FROM: | Douglas A. O'Donnell, Senior Financial Analyst |
| SUBJECT: | Local Aid Distribution |
| DATE: | April 13, 2017 |

In accordance with Section 18D of Chapter 58, local aid is payable to each city and town within which racing activities are conducted. Amounts are computed at .35 percent times amounts wagered during the quarter ended six months prior to the payment.

- Local Aid Quarterly Payment – March 31, 2017 \$232,961.07

With the Commission's authorization payments will be made to the appropriate cities and towns.



Massachusetts Gaming Commission

Massachusetts Gaming Commission / State Racing Division

Computation of Local Aid Distributions

Qtr ending 3/31/2017

| | Total handles | | | Local Aid @ .0035 | Pay to |
|---------------------------------|---------------|-----|-------------------|-------------------|-----------------------------|
| | July | Aug | Sept | | |
| Suffolk Downs - | | | | | |
| Suffolk on track | | | 13,227,385 | | |
| Exports | | | 3,220,864 | | |
| TVG | | | 13,574,725 | | |
| Xpress Bets | | | 3,698,716 | | |
| Twin Spires | | | 7,987,701 | | |
| NYRA Bets | | | 679,317 | | |
| Total | | | <u>42,388,708</u> | 148,360.48 | Boston (2/3) & Revere (1/3) |
| Plainridge - | | | | | |
| On track | | | 9,250,554 | | |
| Exports | | | 6,073,949 | | |
| Total | | | <u>15,324,503</u> | 53,635.76 | Plainville |
| Raynham-Taunton | | | | | |
| On track | | | 7,624,365 | | |
| Exports | | | - | | |
| Total | | | <u>7,624,365</u> | 26,685.28 | Raynham |
| Wonderland - @ Suffolk Location | | | | | |
| On track | | | 1,222,731 | | |
| Exports | | | - | | |
| Total | | | <u>1,222,731</u> | 4,279.56 | Boston (2/3) & Revere (1/3) |
| Grand total | | | <u>66,560,307</u> | 232,961.07 | |

| Distributions - | | |
|-------------------------|-------------------|----------------------------------|
| City of Boston (line 1) | 98,907.48 | On Suffolk |
| City of Revere (line 1) | 49,453.00 | On Suffolk |
| City of Boston (line 2) | 2,853.05 | On Wonderland @ Suffolk Location |
| City of Revere (line 2) | 1,426.51 | On Wonderland @ Suffolk Location |
| Town of Plainville | 53,635.76 | On Plainridge |
| Town of Raynham | 26,685.28 | On Raynham-Taunton |
| Total | <u>232,961.07</u> | |

Payments should be made to the above communities for the amounts indicated.

Reference Racing local aid q/e 12/16 dao

In accordance with Section 18D of Chapter 58, local aid is payable to each city and town within which racing activities are conducted. Amounts are computed at .35 percent times amounts wagered during the quarter ended six months prior to the payment.

Suffolk Downs Billing Report

Period Reviewed: 7/1/2016 to 9/30/2016

| | Out of State Running Horse Signal | Out of State Harness Horse Signal | Import of Out of State Greyhound Signal | Intra-State Simulcast of Suffolk Signal | Intra-State Simulcast of Plainridge | Live Racing | Period Totals |
|---------------------|---|---|--|---|---|-----------------------|------------------------|
| Breaks | \$58,118.03 | \$1,274.62 | | | \$154.39 | \$9,876.27 | \$69,423.31 |
| WPS | \$4,252,582.00 | \$64,960.00 | | | \$9,163.00 | \$586,912.00 | \$4,913,617.00 |
| Exotics | \$7,497,509.40 | \$205,610.70 | | | \$22,376.70 | \$588,271.50 | \$8,313,768.30 |
| Total Handle | \$11,750,091.40 | \$270,570.70 | | | \$31,539.70 | \$1,175,183.50 | \$13,227,385.30 |

Fees to the Commission

| | | | | | | | |
|-------------------------------------|-------------|------------|---------------|------------|--|------------|---------------------|
| Commission | \$44,062.84 | \$1,014.64 | | | \$118.27 | \$8,813.88 | \$54,009.63 |
| Daily Assessment Days | | 92 | Multiplied by | \$1,338.72 | Total Daily Assessment Fee | | \$123,162.24 |
| Daily Open Licensing Assesment Days | | 74 | Multiplied by | \$300.00 | Total Open Licensing Assessment Fee | | \$22,200.00 |
| Total Fees to Commission | | | | | | | \$199,371.87 |

Trust Fund Fees

| | | | | | | | |
|------------------------------------|-------------|------------|--|--|----------|-------------|--------------------|
| Running Horse Cap Improvement Fund | \$58,118.03 | | | | | \$12,817.63 | \$70,935.66 |
| Running Horse Promotional Fund | \$14,687.61 | | | | | \$2,937.96 | \$17,625.57 |
| Harness Cap Improvement Fund | | \$2,302.67 | | | \$266.27 | | \$2,568.95 |
| Harness Promotional Fund | | \$1,028.05 | | | \$111.88 | | \$1,139.94 |
| Greyhound Cap Improvement Fund | | | | | | | |
| Greyhound Promotional Fund | | | | | | | |
| Racing Stablization Fund | | | | | | | |
| Total Trust Fund Fees | | | | | | | \$76,514.53 |

| | |
|-------------------------------------|---------------------|
| Total Paid to the Commission | \$291,641.99 |
|-------------------------------------|---------------------|

Plainridge Billing Report

Period Reviewed: 7/1/2016 to 9/30/2016

| | Out of State Running Horse Signal | Out of State Harness Horse Signal | Import of Out of State Greyhound Signal | Intra-State Simulcast of Suffolk Signal | Intra-State Simulcast of Plainridge | Live Racing | Period Totals |
|---------------------|---|---|--|---|---|---------------------|-----------------------|
| Breaks | \$28,227.62 | \$5,488.51 | \$2,673.75 | \$304.30 | | \$2,976.20 | \$39,670.38 |
| WPS | \$2,043,905.00 | \$351,669.00 | \$132,400.00 | \$18,093.00 | | \$159,058.00 | \$2,705,125.00 |
| Exotics | \$3,956,357.03 | \$886,693.17 | \$1,284,573.80 | \$24,954.68 | | \$392,850.40 | \$6,545,429.08 |
| Total Handle | \$6,000,262.03 | \$1,238,362.17 | \$1,416,973.80 | \$43,047.68 | | \$551,908.40 | \$9,250,554.08 |

Fees to the Commission

| | | | | | | | |
|--------------------------------------|-------------|---------------|------------|----------|-------------------------------------|------------|--------------------|
| Commission | \$22,500.98 | \$4,643.86 | \$5,313.65 | \$161.43 | | \$4,139.31 | \$36,759.23 |
| Daily Assessment Days | 92 | Multiplied by | \$326.29 | | Total Daily Assessment Fee | | \$30,018.68 |
| Daily Open Licensing Assessment Days | 92 | Multiplied by | \$300.00 | | Total Open Licensing Assessment Fee | | \$27,600.00 |
| Total Fees to Commission | | | | | | | \$94,377.91 |

Trust Fund Fees

| | | | | | | | |
|------------------------------------|-------------|------------|------------|----------|--|------------|--------------------|
| Running Horse Cap Improvement Fund | \$28,227.62 | | | \$304.30 | | | \$28,531.92 |
| Running Horse Promotional Fund | \$7,500.33 | | | \$53.81 | | | \$7,554.14 |
| Harness Cap Improvement Fund | | \$9,921.98 | | | | \$6,904.70 | \$16,826.68 |
| Harness Promotional Fund | | \$4,433.47 | | | | \$3,928.50 | \$8,361.97 |
| Greyhound Cap Improvement Fund | | | \$3,542.43 | | | | \$3,542.43 |
| Greyhound Promotional Fund | | | \$3,542.43 | | | | \$3,542.43 |
| Racing Stabilization Fund | | | | | | | |
| Total Trust Fund Fees | | | | | | | \$79,192.78 |

Total Paid to the Commission **\$162,737.49**

Wonderland Billing Report

Period Reviewed: 7/1/2016 to 9/30/2016

| | Out of State Running Horse Signal | Out of State Harness Horse Signal | Import of Out of State Greyhound Signal | Intra-State Simulcast of Suffolk Signal | Intra-State Simulcast of Plainridge | Live Racing | Period Totals |
|-------------------------------------|---|---|--|---|---|-------------|-----------------------|
| Breaks | | | \$2,788.36 | | | | \$2,788.36 |
| WPS | | | \$121,128.00 | | | | \$121,128.00 |
| Exotics | | | \$1,101,603.20 | | | | \$1,101,603.20 |
| Total Handle | | | \$1,222,731.20 | | | | \$1,222,731.20 |
| Fees to the Commission | | | | | | | |
| Commission | | | \$4,585.24 | | | | \$4,585.24 |
| Daily Assessment Days | 92 | Multiplied by | \$46.63 | Total Daily Assessment Fee | | | \$4,289.96 |
| Daily Open Licensing Assesment Days | 74 | Multiplied by | \$300.00 | Total Open Licensing Assessment Fee | | | \$22,200.00 |
| Total Fees to Commission | | | | | | | \$31,075.20 |
| Trust Fund Fees | | | | | | | |
| Running Horse Cap Improvement Fund | | | | | | | |
| Running Horse Promotional Fund | | | | | | | |
| Harness Cap Improvement Fund | | | | | | | |
| Harness Promotional Fund | | | | | | | |
| Greyhound Cap Improvement Fund | | | \$3,056.83 | | | | \$3,056.83 |
| Greyhound Promotional Fund | | | \$3,056.83 | | | | \$3,056.83 |
| Racing Stablization Fund | | | \$2,788.36 | | | | \$2,788.36 |
| Total Trust Fund Fees | | | | | | | \$8,902.02 |
| Total Paid to the Commission | | | | | | | \$39,977.22 |

Raynham Billing Report

Period Reviewed: 7/1/2016 to 9/30/2016

| | Out of State Running Horse Signal | Out of State Harness Horse Signal | Import of Out of State Greyhound Signal | Intra-State Simulcast of Suffolk Signal | Intra-State Simulcast of Plainridge | Live Racing | Period Totals |
|-------------------------------------|---|---|--|---|--|-------------|-----------------------|
| Breaks | \$13,867.56 | \$644.01 | \$7,131.90 | | \$86.71 | | \$21,730.18 |
| WPS | \$951,994.00 | \$37,568.00 | \$309,984.00 | | \$3,992.00 | | \$1,303,538.00 |
| Exotics | \$2,539,583.30 | \$152,880.80 | \$3,604,214.30 | | \$24,149.10 | | \$6,320,827.50 |
| Total Handle | \$3,491,577.30 | \$190,448.80 | \$3,914,198.30 | | \$28,141.10 | | \$7,624,365.50 |
| Fees to the Commission | | | | | | | |
| Commission | \$13,093.41 | \$714.18 | \$14,678.24 | | \$105.53 | | \$28,591.37 |
| Daily Assessment Days | | 92 | Multiplied by | \$343.15 | Total Daily Assessment Fee | | \$31,569.80 |
| Daily Open Licensing Assesment Days | | 92 | Multiplied by | \$300.00 | Total Open Licensing Assessment Fee | | \$27,600.00 |
| Total Fees to Commission | | | | | | | \$87,761.17 |
| Trust Fund Fees | | | | | | | |
| Running Horse Cap Improvement Fund | \$13,867.56 | | | | | | \$13,867.56 |
| Running Horse Promotional Fund | \$4,364.47 | | | | | | \$4,364.47 |
| Harness Cap Improvement Fund | | \$1,408.41 | | | \$207.46 | | \$1,615.87 |
| Harness Promotional Fund | | \$764.40 | | | \$120.75 | | \$885.15 |
| Greyhound Cap Improvement Fund | | | | \$9,785.50 | | | \$9,785.50 |
| Greyhound Promotional Fund | | | | \$9,785.50 | | | \$9,785.50 |
| Racing Stablization Fund | | | | \$7,131.90 | | | \$7,131.90 |
| Total Trust Fund Fees | | | | | | | \$47,435.94 |
| Total Paid to the Commission | | | | | | | \$135,197.11 |

MASSACHUSETTS STATE RACING COMMISSION

Summary of Pari-mutuel Activities at Twin Spires

July 01, 2016 to September 30, 2016

Type: All Track Groups

Pools and Commissions

| Menu | Pools | Commissions |
|----------------|--------------------|-----------------------|
| Win/Place/Show | \$3,194,617 | \$506,991.17 |
| Exotic | 4,793,084 | 1,036,358.63 |
| Total | \$7,987,701 | \$1,543,349.80 |

Distribution of Breaks

| | |
|------------------------------|--------------------|
| Association Breaks | \$0.00 |
| Breaks to Stabilization Fund | 0.00 |
| Breaks to CIF | 37,536.63 |
| Minus Breaks | 0.00 |
| Net Breakage | \$37,536.63 |

Premiums

| | |
|-----------------------|--------------------|
| Suffolk | \$0.00 |
| Plainridge | 22,667.06 |
| Raynham | 0.00 |
| Wonderland | 0.00 |
| Total Premiums | \$22,667.06 |

| Distribution of Commissions | WPS Take-Out | WPS Comm | Exotic Take-Out | Exotic Comm | Total |
|-----------------------------|----------------|---------------------|-----------------|-----------------------|-----------------------|
| State Commission | 0.00000 | \$12,068.05 | 0.00000 | \$18,072.20 | \$30,140.25 |
| Racing Stabilization Fund | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Capital Improvement Fund | 0.00000 | 0.00 | 0.00000 | 3,572.40 | 3,572.40 |
| Promotional Fund | 0.00000 | 3,435.85 | 0.00000 | 8,703.36 | 12,139.22 |
| Purses | 0.00000 | 128,762.88 | 0.00000 | 192,990.32 | 321,753.20 |
| Breeders | 0.00000 | 14,917.07 | 0.00000 | 25,882.46 | 40,799.54 |
| In-State Host Fee | 0.00000 | 1,773.42 | 0.00000 | 3,791.37 | 5,564.79 |
| Premiums | 0.00000 | 9,066.82 | 0.00000 | 13,600.24 | 22,667.06 |
| Tufts Veterinary | 0.00000 | 0.00 | 0.00000 | 130.85 | 130.85 |
| Division of Fairs | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Greyhound Adoption Fund | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Out of State Host Fee | 0.00000 | 116,684.59 | 0.00000 | 176,158.08 | 292,842.67 |
| Total Fees | 0.00000 | 286,708.68 | 0.00000 | 442,901.27 | 729,609.95 |
| Retained by Track | 0.00000 | 220,282.49 | 0.00000 | 593,457.36 | 813,739.85 |
| Total Commission | 0.00000 | \$506,991.17 | 0.00000 | \$1,036,358.63 | \$1,543,349.80 |

| | | | |
|-------------------|--------------------|----------------------|---------------|
| State Commission | \$30,140.25 | Promo Fund | \$12,139.22 |
| Daily License Fee | 0.00 | Cap Fund | \$41,109.03 |
| Assessment | 0.00 | Grey Adopt | \$0.00 |
| Sub Total | \$30,140.25 | Stabilization | \$0.00 |

MASSACHUSETTS STATE RACING COMMISSION

Summary of Pari-mutuel Activities at TVG

July 01, 2016 to September 30, 2016

Type: All Track Groups

Pools and Commissions

| Menu | Pools | Commissions |
|----------------|---------------------|-----------------------|
| Win/Place/Show | \$5,074,957 | \$861,046.78 |
| Exotic | 8,499,768 | 1,807,770.48 |
| Total | \$13,574,725 | \$2,668,817.26 |

Distribution of Breaks

| | |
|------------------------------|--------------------|
| Association Breaks | \$0.00 |
| Breaks to Stabilization Fund | 0.00 |
| Breaks to CIF | 62,879.69 |
| Minus Breaks | 0.00 |
| Net Breakage | \$62,879.69 |

Premiums

| | |
|-----------------------|--------------------|
| Suffolk | \$0.00 |
| Plainridge | 19,840.78 |
| Raynham | 0.00 |
| Wonderland | 0.00 |
| Total Premiums | \$19,840.78 |

| Distribution of Commissions | WPS Take-Out | WPS Comm | Exotic Take-Out | Exotic Comm | Total |
|-----------------------------|----------------|---------------------|-----------------|-----------------------|-----------------------|
| State Commission | 0.00000 | \$19,168.39 | 0.00000 | \$32,038.74 | \$51,207.14 |
| Racing Stabilization Fund | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Capital Improvement Fund | 0.00000 | 0.00 | 0.00000 | 3,122.34 | 3,122.34 |
| Promotional Fund | 0.00000 | 5,895.44 | 0.00000 | 13,021.34 | 18,916.78 |
| Purses | 0.00000 | 204,589.46 | 0.00000 | 342,323.28 | 546,912.74 |
| Breeders | 0.00000 | 24,569.82 | 0.00000 | 44,279.50 | 68,849.31 |
| In-State Host Fee | 0.00000 | 1,241.90 | 0.00000 | 1,799.05 | 3,040.95 |
| Premiums | 0.00000 | 7,678.52 | 0.00000 | 12,162.26 | 19,840.78 |
| Tufts Veterinary | 0.00000 | 0.00 | 0.00000 | 219.49 | 219.49 |
| Division of Fairs | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Greyhound Adoption Fund | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Out of State Host Fee | 0.00000 | 186,876.94 | 0.00000 | 313,720.05 | 500,597.00 |
| Total Fees | 0.00000 | 450,020.47 | 0.00000 | 762,686.04 | 1,212,706.52 |
| Retained by Track | 0.00000 | 411,026.31 | 0.00000 | 1,045,084.44 | 1,456,110.75 |
| Total Commission | 0.00000 | \$861,046.78 | 0.00000 | \$1,807,770.48 | \$2,668,817.26 |

| | | | |
|-------------------|--------------------|----------------------|---------------|
| State Commission | \$51,207.14 | Promo Fund | \$18,916.78 |
| Daily License Fee | 0.00 | Cap Fund | \$66,002.03 |
| Assessment | 0.00 | Grey Adopt | \$0.00 |
| Sub Total | \$51,207.14 | Stabilization | \$0.00 |

MASSACHUSETTS STATE RACING COMMISSION

Summary of Pari-mutuel Activities at Express Bets

July 01, 2016 to September 30, 2016

Type: All Track Groups

Pools and Commissions

| Menu | Pools | Commissions |
|----------------|--------------------|---------------------|
| Win/Place/Show | \$1,392,525 | \$251,514.46 |
| Exotic | 2,306,191 | 481,092.25 |
| Total | \$3,698,716 | \$732,606.71 |

Distribution of Breaks

| | |
|------------------------------|--------------------|
| Association Breaks | \$0.00 |
| Breaks to Stabilization Fund | 0.00 |
| Breaks to CIF | 15,802.87 |
| Minus Breaks | 0.00 |
| Net Breakage | \$15,802.87 |

Premiums

| | |
|-----------------------|-------------------|
| Suffolk | \$0.00 |
| Plainridge | 0.00 |
| Raynham | 4,568.52 |
| Wonderland | 0.00 |
| Total Premiums | \$4,568.52 |

| Distribution of Commissions | WPS Take-Out | WPS Comm | Exotic Take-Out | Exotic Comm | Total |
|-----------------------------|----------------|---------------------|-----------------|---------------------|---------------------|
| State Commission | 0.00000 | \$5,265.63 | 0.00000 | \$8,706.07 | \$13,971.70 |
| Racing Stabilization Fund | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Capital Improvement Fund | 0.00000 | 0.00 | 0.00000 | 775.24 | 775.24 |
| Promotional Fund | 0.00000 | 1,644.01 | 0.00000 | 3,483.45 | 5,127.46 |
| Purses | 0.00000 | 56,207.72 | 0.00000 | 93,035.46 | 149,243.17 |
| Breeders | 0.00000 | 6,798.44 | 0.00000 | 11,995.71 | 18,794.15 |
| In-State Host Fee | 0.00000 | 377.85 | 0.00000 | 1,335.95 | 1,713.80 |
| Premiums | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Tufts Veterinary | 0.00000 | 0.00 | 0.00000 | 77.14 | 77.14 |
| Division of Fairs | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Greyhound Adoption Fund | 0.00000 | 0.00 | 0.00000 | 0.00 | 0.00 |
| Out of State Host Fee | 0.00000 | 31,561.02 | 0.00000 | 55,923.26 | 87,484.28 |
| Total Fees | 0.00000 | 101,854.66 | 0.00000 | 175,332.27 | 277,186.93 |
| Retained by Track | 0.00000 | 149,659.80 | 0.00000 | 305,759.98 | 455,419.78 |
| Total Commission | 0.00000 | \$251,514.46 | 0.00000 | \$481,092.25 | \$732,606.71 |

| | | | |
|-------------------|--------------------|---------------|-------------|
| State Commission | \$13,971.70 | Promo Fund | \$5,127.46 |
| Daily License Fee | 0.00 | Cap Fund | \$16,578.11 |
| Assessment | 0.00 | Grey Adopt | \$0.00 |
| Sub Total | \$13,971.70 | Stabilization | \$0.00 |

Suffolk



~~8/1/2016~~ 8/8/16
8/31/2016

wps
Exch

| Out of State Running Horse Signal | Out of State Harness Horse Signal | Import of Out of State Greyhound Signal (NA Suffolk) | Intra-State Simulcase of Suffolk (NA Suffolk) | Intra-State Simulcast of Plainridge | Live Racing Suffolk | Live Racing Plainridge | Weekly Total |
|-----------------------------------|-----------------------------------|--|---|-------------------------------------|---------------------|------------------------|--------------|
| \$306,321.00 | \$15,676.00 | | | \$305.00 | | | \$322,302.00 |
| \$1,282.84 | \$92.07 | | | \$0.00 | | | \$1,374.91 |
| \$95,393.00 | \$7,664.00 | | | \$143.00 | | | \$103,200.00 |
| \$210,929.00 | \$8,012.00 | | | \$162.00 | | | \$219,103.00 |

Contribution to Different Funds

| | | | | | | | |
|------------|------------|----------|--------|--------|--------|--------|------------|
| \$0.00 | | | | | | | \$0.00 |
| \$0.00 | | | | | | | \$0.00 |
| \$1,148.70 | \$58.79 | | | \$1.14 | \$0.00 | | \$1,208.63 |
| \$1,282.84 | | | | | \$0.00 | | \$1,282.84 |
| \$382.90 | | | | | \$0.00 | | \$382.90 |
| | \$132.13 | | | \$0.81 | | | \$132.94 |
| | \$40.06 | | | \$0.81 | | | \$40.87 |
| \$0.00 | \$2,814.45 | \$230.98 | \$0.00 | \$0.00 | \$2.76 | \$0.00 | \$3,048.18 |

Commission = \$1208⁶³
 Promo = \$423⁷¹
 CAP = \$1415⁷⁸

FAX
617-567-5140

Sept 2016

D. Lanzilli

NYRA Bets

9/1/2016

9/30/2016

| Out of State Running Horse Signal | Out of State Harness Horse Signal | Import of State Greyhound Signal (NA Suffolk) | Out of State Intra-State Simulcase of Suffolk (NA Suffolk) | Intra-State Simulcast of Plainridge | Live Racing Suffolk | Live Racing Plainridge | Weekly Total |
|--|-----------------------------------|---|--|-------------------------------------|---------------------|------------------------|--------------|
| \$347,523.00 | \$7,985.00 | | | \$325.00 | \$1,182.00 | | \$357,015.00 |
| \$1,376.66 | \$28.22 | | | \$2.00 | \$3.00 | | \$1,409.88 |
| \$100,127.00 | \$3,046.00 | | | \$74.00 | \$597.00 | | \$103,844.00 |
| \$247,396.00 | \$4,939.00 | | | \$251.00 | \$585.00 | | \$253,171.00 |
| Contribution to Different Funds | | | | | | | |
| \$0.00 | | | | | | | \$0.00 |
| \$0.00 | | | | | | | \$0.00 |
| \$1,303.21 | \$29.94 | | | \$1.22 | \$8.87 | | \$1,343.24 |
| \$1,376.66 | | | | | \$3.00 | | \$1,379.66 |
| \$434.40 | | | | | \$2.96 | | \$437.36 |
| | \$52.92 | | | \$3.26 | | | \$56.17 |
| | \$24.70 | | | \$1.26 | | | \$25.95 |
| | | | | | | | \$0.00 |
| \$0.00 | \$3,114.28 | \$107.55 | \$0.00 | \$0.00 | \$5.73 | \$14.82 | \$0.00 |
| | | | | | | | \$3,242.38 |

Comm. 1343 24
CAP 1435 83
Promo 463 31

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|------------------------------------|-------------|------------|------------|------------|----------------|--------------|--------|
| 123Bet.com | \$1,609.20 | \$359.15 | \$27.11 | \$4.19 | \$22.92 | (\$398.31) | |
| 4th and Fulton | \$93.00 | \$22.78 | \$0.02 | \$0.00 | \$0.02 | \$50.85 | |
| A&F Billiards | \$271.70 | \$63.50 | \$1.26 | \$0.00 | \$1.26 | \$111.29 | |
| Account Wagering | \$395.90 | \$95.09 | \$3.12 | \$0.46 | \$2.66 | (\$98.31) | |
| Aces Lounge Brooks | \$11.25 | \$2.13 | \$0.09 | \$0.00 | \$0.09 | (\$11.40) | |
| Adam's Rib | \$7,160.28 | \$1,714.90 | \$37.67 | \$2.10 | \$35.57 | \$412.23 | |
| Amsterdam | \$586.00 | \$145.27 | \$1.83 | \$3.87 | (\$2.04) | \$274.07 | |
| AmWest Accounts | \$416.00 | \$104.24 | \$3.46 | \$0.00 | \$3.46 | (\$163.22) | |
| AmWest Web Services | \$39,361.37 | \$9,462.46 | \$241.35 | \$386.63 | (\$145.28) | (\$3,837.97) | |
| Arcade Hotel | \$134.00 | \$30.29 | \$0.72 | \$0.00 | \$0.72 | (\$64.72) | |
| Arlington International Racecourse | \$22,756.44 | \$5,467.21 | \$73.92 | \$285.06 | (\$211.14) | \$2,000.37 | |
| Assiniboia Downs | \$1,005.51 | \$234.54 | \$5.65 | \$0.29 | \$5.36 | \$132.14 | |
| Assiniboia HPI | \$1,928.50 | \$467.12 | \$12.34 | \$2.37 | \$9.97 | \$100.96 | |
| Auburn | \$1,965.79 | \$450.69 | \$5.42 | \$0.00 | \$5.42 | \$538.56 | |
| AustralianRacingbets/eBet | \$1.00 | \$0.26 | \$0.00 | \$0.00 | \$0.00 | \$0.74 | |
| Backstreet Pub & Pizza | \$357.34 | \$71.78 | \$3.93 | \$0.00 | \$3.93 | (\$27.22) | |
| Bangor Raceway & OTB | \$9,909.29 | \$2,413.99 | \$38.76 | \$7.27 | \$31.49 | \$166.36 | |
| Batavia | \$3,077.20 | \$769.38 | \$9.81 | \$3.57 | \$6.24 | (\$1,017.05) | |
| Batavia Downs Online | \$6,491.40 | \$1,541.26 | \$33.24 | \$0.26 | \$32.98 | \$773.87 | |
| Bellevue | \$116.50 | \$28.11 | \$0.56 | \$0.00 | \$0.56 | (\$31.97) | |
| Bengo's E-Z Bet | \$305.20 | \$76.41 | \$0.26 | \$0.00 | \$0.26 | \$131.12 | |
| Betsson | \$173.00 | \$35.74 | \$2.31 | \$0.00 | \$2.31 | \$87.45 | |
| Bettor Racing Group 5 | \$4,545.80 | \$1,178.98 | \$5.35 | \$0.00 | \$5.35 | \$511.36 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|--------------------------------------|-------------|------------|------------|------------|----------------|--------------|--------|
| Bettor Racing HV | \$10,537.20 | \$2,722.15 | \$16.50 | \$0.00 | \$16.50 | (\$1,908.07) | |
| Bettor Racing OTB | \$26.00 | \$6.76 | \$0.00 | \$0.00 | \$0.00 | \$19.24 | |
| Black Bear | \$841.83 | \$169.98 | \$8.94 | \$2.35 | \$6.59 | \$90.90 | |
| Black Diamond Hotel | \$74.98 | \$18.20 | \$0.00 | \$0.00 | \$0.00 | (\$255.19) | |
| Brandywine Turf Club | \$4,334.90 | \$1,046.97 | \$18.26 | \$1.79 | \$16.47 | \$95.08 | |
| Bridgeport | \$2,037.40 | \$476.08 | \$16.30 | \$3.83 | \$12.47 | \$109.32 | |
| British Columbia Telephone Acct. Wag | \$22,969.02 | \$5,808.46 | \$134.01 | \$20.58 | \$113.43 | \$1,033.32 | |
| Broadway Joe's | \$11.00 | \$2.44 | \$0.12 | \$0.00 | \$0.12 | \$6.24 | |
| Buffy's Tavern & Dining | \$1,906.27 | \$447.44 | \$4.55 | \$0.36 | \$4.19 | \$398.31 | |
| Bumpr's Roadhouse Milton | \$1,699.15 | \$409.02 | \$5.41 | \$0.13 | \$5.28 | \$470.66 | |
| CAP17 Cahoes #17 | \$724.90 | \$176.25 | \$2.21 | \$0.00 | \$2.21 | \$237.07 | |
| CAP20 Hudson #20 | \$237.60 | \$60.50 | \$0.12 | \$0.00 | \$0.12 | \$69.93 | |
| CAP22 Plattsburg #22 | \$4,493.88 | \$1,131.09 | \$10.45 | \$0.00 | \$10.45 | \$673.47 | |
| CAP27 Union St. #27 | \$22.00 | \$5.44 | \$0.16 | \$0.00 | \$0.16 | \$9.40 | |
| CAP28 Latham #28 | \$162.80 | \$38.61 | \$0.26 | \$0.00 | \$0.26 | \$1.62 | |
| Cap31 Glen Falls #31 | \$991.10 | \$251.57 | \$0.99 | \$0.23 | \$0.76 | (\$15.48) | |
| CAP42 Lake George #42 | \$1,235.40 | \$300.13 | \$7.68 | \$0.00 | \$7.68 | (\$90.89) | |
| CAP43 Watervhet #43 | \$824.90 | \$204.41 | \$4.87 | \$0.00 | \$4.87 | (\$221.73) | |
| CAP45 Lansingburg #45 | \$16.00 | \$4.16 | \$0.00 | \$0.00 | \$0.00 | \$11.84 | |
| CAP47 Charlestown #47 | \$24.20 | \$6.22 | \$0.04 | \$0.00 | \$0.04 | \$16.14 | |
| CAP49 Herkimer #49 | \$651.00 | \$168.42 | \$1.21 | \$0.00 | \$1.21 | \$39.44 | |
| CAP50 Little Falls #50 | \$43.00 | \$9.78 | \$0.01 | \$0.00 | \$0.01 | \$30.51 | |
| CAP55 Fuller Road #55 | \$1,003.60 | \$243.15 | \$3.71 | \$0.28 | \$3.43 | \$391.60 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|------------------------------|-------------|-------------|------------|------------|----------------|------------|--------|
| CAP57 New Lebanon #57 | \$390.10 | \$86.92 | \$0.26 | \$0.00 | \$0.26 | \$161.62 | |
| CAP6 20 Mall (Star Plaza) #6 | \$1,050.40 | \$256.01 | \$5.68 | \$0.00 | \$5.68 | \$274.29 | |
| CAP65 Bleeker St. #65 | \$334.50 | \$86.55 | \$0.41 | \$0.00 | \$0.41 | (\$4.52) | |
| CAP66 Black River #66 | \$119.00 | \$29.75 | \$0.16 | \$0.00 | \$0.16 | \$78.29 | |
| CAP7 Bellevue #7 | \$78.00 | \$16.08 | \$0.00 | \$0.00 | \$0.00 | \$61.92 | |
| CAP75 Saranac Lake #75 | \$4.00 | \$1.04 | \$0.00 | \$0.00 | \$0.00 | \$2.96 | |
| CAP76 Hillsdale #76 | \$47.20 | \$11.57 | \$0.00 | \$0.00 | \$0.00 | \$35.63 | |
| CAP88 Ticonderoga #88 | \$788.70 | \$190.50 | \$5.32 | \$0.00 | \$5.32 | (\$516.92) | |
| CAP91 Friar Tuck #91 | \$267.89 | \$68.39 | \$0.14 | \$0.00 | \$0.14 | \$77.47 | |
| CAP93 Fort Plain #93 | \$966.19 | \$213.90 | \$7.42 | \$0.00 | \$7.42 | (\$149.92) | |
| Catskills OTB | \$81,539.59 | \$20,090.05 | \$295.53 | \$9.79 | \$285.74 | \$8,480.10 | |
| CDROTBC | \$22.00 | \$5.72 | \$0.00 | \$0.00 | \$0.00 | \$16.28 | |
| Central Hotel | \$30.30 | \$7.16 | \$0.06 | \$0.00 | \$0.06 | (\$134.98) | |
| Century Bets HPI | \$1,227.50 | \$290.02 | \$5.40 | \$0.24 | \$5.16 | \$386.80 | |
| Century Casino Calgary | \$1,392.31 | \$334.23 | \$7.97 | \$0.36 | \$7.61 | \$148.80 | |
| Century Downs on-track | \$2,929.94 | \$705.59 | \$9.13 | \$0.03 | \$9.10 | \$201.21 | |
| Century Palace | \$9,923.38 | \$2,440.23 | \$29.89 | \$3.32 | \$26.57 | (\$93.01) | |
| Champions at Royal Square | \$7,459.35 | \$1,754.49 | \$35.94 | \$3.03 | \$32.91 | \$711.76 | |
| Champions on the Danforth | \$9,851.47 | \$2,395.60 | \$43.41 | \$2.66 | \$40.75 | \$1,885.97 | |
| Charles Town Race Course | \$6,979.89 | \$1,723.73 | \$16.86 | \$0.64 | \$16.22 | \$1,616.50 | |
| Charlottetown | \$792.75 | \$194.59 | \$1.25 | \$0.32 | \$0.93 | \$230.28 | |
| Charlottetown IVR | \$848.67 | \$194.13 | \$9.12 | \$0.31 | \$8.81 | (\$110.05) | |
| Cheffy's Last Stop | \$1,303.34 | \$306.55 | \$6.58 | \$1.64 | \$4.94 | \$240.70 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|-------------------------------|--------------|-------------|------------|------------|----------------|--------------|--------|
| Chester Downs & Marina LLC | \$21,865.23 | \$5,201.89 | \$121.87 | \$60.82 | \$61.05 | (\$1,426.79) | |
| Clancy's Bar | \$1,434.57 | \$327.47 | \$10.52 | \$0.31 | \$10.21 | \$166.48 | |
| Clinton Street | \$3,120.99 | \$780.17 | \$5.40 | \$0.00 | \$5.40 | \$203.57 | |
| Clinton Teletheatre | \$1,319.78 | \$325.33 | \$4.81 | \$0.84 | \$3.97 | (\$419.05) | |
| Club Alouette | \$12,047.81 | \$2,884.97 | \$55.46 | \$1.24 | \$54.22 | \$1,233.45 | |
| Club Hawthorne AW | \$5,443.39 | \$1,271.03 | \$31.91 | \$40.94 | (\$9.03) | \$628.15 | |
| Club Regent Teletheatre | \$101.37 | \$23.41 | \$0.04 | \$0.00 | \$0.04 | \$72.11 | |
| Coach and Horses | \$853.27 | \$192.15 | \$4.98 | \$1.28 | \$3.70 | \$242.49 | |
| Compubet.com/eBet | \$1,235.80 | \$259.69 | \$25.26 | \$0.48 | \$24.78 | \$115.25 | |
| Connecticut OTB | \$102,374.79 | \$24,987.08 | \$432.85 | \$57.99 | \$374.86 | \$11,631.48 | |
| Connecticut OTB Acct Wagering | \$1,447.10 | \$333.29 | \$8.02 | \$2.25 | \$5.77 | \$188.24 | |
| Corliss OTB | \$28,548.94 | \$7,093.03 | \$103.98 | \$0.72 | \$103.26 | \$4,252.01 | |
| Corning | \$13.00 | \$3.38 | \$0.00 | \$0.00 | \$0.00 | \$9.62 | |
| Crestwood | \$22,091.77 | \$5,446.18 | \$55.35 | \$0.60 | \$54.75 | \$2,862.55 | |
| CT OTB Internet Wagering | \$9,247.70 | \$2,181.63 | \$54.92 | \$12.06 | \$42.86 | \$715.53 | |
| CW Technologies US | \$145.00 | \$30.21 | \$1.21 | \$0.61 | \$0.60 | (\$86.94) | |
| Dakota Grill | \$292.00 | \$75.22 | \$0.10 | \$0.00 | \$0.10 | \$44.68 | |
| Day at the Track | \$26,917.67 | \$6,231.90 | \$188.12 | \$56.28 | \$131.84 | (\$2,608.73) | |
| DC Sports Grill Kingston | \$4,749.13 | \$1,010.58 | \$43.63 | \$27.55 | \$16.08 | \$631.87 | |
| Deerfield | \$1,839.30 | \$451.03 | \$6.03 | \$0.34 | \$5.69 | \$735.31 | |
| Delaware | \$20,899.58 | \$5,099.59 | \$84.10 | \$9.17 | \$74.93 | (\$544.42) | |
| Delaware Road | \$3,073.80 | \$741.32 | \$22.86 | \$1.76 | \$21.10 | (\$1,748.24) | |
| Dial-a-bet | \$175.00 | \$38.92 | \$1.11 | \$0.00 | \$1.11 | \$27.96 | |

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|------------------------------|--------------|-------------|------------|------------|----------------|---------------|--------|
| Dover Downs | \$18,185.60 | \$4,001.66 | \$193.90 | \$155.05 | \$38.85 | (\$484.16) | |
| Dr's INN | \$121.00 | \$29.29 | \$0.30 | \$0.00 | \$0.30 | \$31.90 | |
| Ducks on the Roof | \$137.62 | \$34.55 | \$0.22 | \$0.00 | \$0.22 | \$1.46 | |
| East Chinatown | \$5,360.28 | \$1,153.42 | \$49.15 | \$74.60 | (\$25.45) | (\$865.77) | |
| Eddie Stroh's | \$24.00 | \$6.10 | \$0.00 | \$0.00 | \$0.00 | \$17.90 | |
| Elbow River OTB | \$3,951.80 | \$978.95 | \$19.76 | \$1.26 | \$18.50 | \$729.73 | |
| Elite Turf Club 12 | \$110,786.43 | \$28,804.32 | \$297.91 | \$0.00 | \$297.91 | (\$14,333.68) | |
| Elite Turf Club 13 | \$68,362.14 | \$17,566.10 | \$209.06 | \$0.34 | \$208.72 | (\$4,908.36) | |
| Elite Turf Club 16 | \$59,790.50 | \$14,780.71 | \$323.55 | \$0.00 | \$323.55 | (\$2,687.37) | |
| Elite Turf Club 2 | \$102,536.00 | \$19,481.84 | \$1,788.72 | \$163.13 | \$1,625.59 | (\$11,590.16) | |
| Elite Turf Club 3 | \$52,004.00 | \$11,551.94 | \$585.03 | \$43.11 | \$541.92 | (\$4,096.11) | |
| Elite Turf Club 4 | \$200,580.50 | \$49,015.80 | \$1,221.29 | \$141.50 | \$1,079.79 | (\$15,971.20) | |
| EmpireCityBets Yonkers | \$10,410.20 | \$2,508.05 | \$49.17 | \$0.43 | \$48.74 | \$2,163.74 | |
| Equus St. Thomas Racing | \$261.00 | \$64.64 | \$0.40 | \$0.00 | \$0.40 | \$4.66 | |
| ExPart IVR | \$820.91 | \$186.18 | \$12.00 | \$1.90 | \$10.10 | (\$143.21) | |
| Fairgrounds Account Wagering | \$3,388.40 | \$811.48 | \$13.11 | \$1.21 | \$11.90 | \$147.31 | |
| Fairmount Day | \$26,364.66 | \$6,357.39 | \$6.14 | \$3.25 | \$2.89 | \$3,308.73 | |
| Favorites at Gloucester | \$13,184.09 | \$3,169.52 | \$63.08 | \$5.70 | \$57.38 | \$459.10 | |
| Favorites at Hillsborough | \$11,585.69 | \$2,706.75 | \$75.37 | \$2.87 | \$72.50 | \$7.74 | |
| Favorites at Toms River | \$29,970.57 | \$7,216.54 | \$145.67 | \$16.91 | \$128.76 | \$3,578.32 | |
| Favorites at Woodbridge | \$70,827.63 | \$16,909.94 | \$377.25 | \$10.28 | \$366.97 | \$1,073.71 | |
| Favorites OTB | \$15,103.97 | \$3,792.62 | \$48.31 | \$1.62 | \$46.69 | \$1,392.48 | |
| Five Star Bingo | \$1,501.11 | \$359.00 | \$3.07 | \$0.47 | \$2.60 | \$238.08 | |

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|---------------------------------|-------------|-------------|------------|------------|----------------|--------------|--------|
| Flagler Greyhound Track | \$22,518.32 | \$5,491.56 | \$96.49 | \$9.58 | \$86.91 | \$2,232.13 | |
| Flamboro Downs | \$1,800.08 | \$409.21 | \$9.76 | \$0.13 | \$9.63 | \$380.16 | |
| Fort Erie | \$5,588.62 | \$1,377.24 | \$16.59 | \$0.20 | \$16.39 | (\$974.24) | |
| Fort Erie OTB | \$3,883.90 | \$920.29 | \$19.83 | \$0.15 | \$19.68 | (\$104.12) | |
| Fort Wayne OTB | \$7,611.00 | \$1,762.57 | \$42.53 | \$5.27 | \$37.26 | \$1,630.08 | |
| Fraser Downs | \$3,115.09 | \$807.00 | \$12.21 | \$1.45 | \$10.76 | \$401.78 | |
| Freehold | \$63,806.94 | \$15,500.06 | \$238.90 | \$690.75 | (\$451.85) | (\$1,210.99) | |
| French | \$688.10 | \$161.28 | \$2.92 | \$2.02 | \$0.90 | \$260.82 | |
| Galaxy Lanes TB | \$342.37 | \$83.08 | \$1.04 | \$0.00 | \$1.04 | (\$634.77) | |
| Game Play Network ADW | \$1.00 | \$0.19 | \$0.00 | \$0.00 | \$0.00 | \$0.81 | |
| Game Play Network Oddz | \$1,898.00 | \$376.16 | \$22.05 | \$0.37 | \$21.68 | \$100.08 | |
| Gates Pub | \$2.00 | \$0.38 | \$0.00 | \$0.00 | \$0.00 | \$1.62 | |
| Geneseo | \$432.00 | \$108.47 | \$1.55 | \$0.00 | \$1.55 | \$118.88 | |
| Georgian Downs | \$4,197.34 | \$1,009.48 | \$17.60 | \$2.44 | \$15.16 | \$900.52 | |
| Gloversville | \$261.19 | \$60.09 | \$0.98 | \$0.00 | \$0.98 | \$2.68 | |
| Grand River Raceway | \$1,538.52 | \$347.84 | \$15.24 | \$0.48 | \$14.76 | \$129.82 | |
| Grand River Raceway Teletheatre | \$3,626.65 | \$882.90 | \$15.01 | \$0.37 | \$14.64 | \$687.55 | |
| Grant St. OTB | \$168.00 | \$43.40 | \$0.09 | \$0.00 | \$0.09 | \$106.71 | |
| Granville Rec | \$27.00 | \$5.27 | \$0.00 | \$0.14 | (\$0.14) | \$16.62 | |
| Green Brier Inn | \$265.00 | \$67.10 | \$1.25 | \$0.00 | \$1.25 | (\$88.08) | |
| Green Island OTB | \$153.40 | \$33.29 | \$1.49 | \$0.65 | \$0.84 | (\$36.79) | |
| Greenwood Racetrack | \$21,405.20 | \$4,913.89 | \$117.12 | \$195.30 | (\$78.18) | (\$1,152.04) | |
| GWS Boylesports | \$65.00 | \$12.56 | \$0.40 | \$0.00 | \$0.40 | (\$0.16) | |

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| GWS German Tote | \$3,135.65 | \$701.70 | \$21.95 | \$13.96 | \$7.99 | \$585.04 | |
| GWS Ladbrokes Australia | \$34,205.65 | \$6,565.28 | \$262.66 | \$47.24 | \$215.42 | \$12,825.49 | |
| Hanover Raceway | \$1,118.76 | \$267.07 | \$4.96 | \$0.03 | \$4.93 | (\$73.73) | |
| Harrington Casino | \$13,803.79 | \$3,459.81 | \$36.71 | \$27.67 | \$9.04 | (\$1,323.92) | |
| Harrington Live | \$19.20 | \$3.94 | \$0.09 | \$0.00 | \$0.09 | (\$5.73) | |
| HarringtonBets.com | \$575.40 | \$117.19 | \$8.93 | \$0.00 | \$8.93 | (\$58.50) | |
| Harrys Pub | \$33.40 | \$7.42 | \$0.24 | \$0.00 | \$0.24 | \$9.84 | |
| Hastings Park | \$11,021.03 | \$2,730.05 | \$59.17 | \$18.98 | \$40.19 | \$82.40 | |
| Hawthorne | \$28,451.67 | \$6,731.00 | \$160.75 | \$1.12 | \$159.63 | \$470.20 | |
| Hawthorne Acct. Wagering | \$8,831.40 | \$1,994.13 | \$18.59 | \$22.98 | (\$4.39) | \$85.05 | |
| Hazel Park | \$46,808.73 | \$11,227.39 | \$204.95 | \$55.26 | \$149.69 | \$2,278.85 | |
| Hemstreet | \$55.00 | \$12.90 | \$0.18 | \$0.00 | \$0.18 | \$22.92 | |
| Heritage | \$4,291.95 | \$1,046.03 | \$22.73 | \$0.32 | \$22.41 | \$623.08 | |
| Hodge OTB | \$196.00 | \$42.70 | \$1.05 | \$0.05 | \$1.00 | \$87.30 | |
| HOJO OTB | \$284.40 | \$65.11 | \$3.41 | \$1.19 | \$2.22 | (\$6.54) | |
| Hollywood Gaming at Dayton Racewa | \$11,149.98 | \$2,642.60 | \$58.36 | \$3.08 | \$55.28 | (\$173.99) | |
| Hollywood Greyhound | \$19,990.33 | \$5,004.90 | \$58.67 | \$2.59 | \$56.08 | \$3,106.03 | |
| Hoosick Falls | \$239.80 | \$57.58 | \$2.74 | \$0.34 | \$2.40 | (\$49.18) | |
| Hoosier Park | \$8,396.60 | \$2,067.11 | \$24.61 | \$3.00 | \$21.61 | \$2,327.86 | |
| Hornell | \$363.70 | \$88.17 | \$1.19 | \$0.00 | \$1.19 | \$153.73 | |
| HorsePlayersBet.com/eBet | \$3,546.10 | \$864.11 | \$14.29 | \$2.09 | \$12.20 | \$466.20 | |
| HTB/Otrobanda | \$2.00 | \$0.38 | \$0.02 | \$0.00 | \$0.02 | (\$0.60) | |
| Hudson | \$3,010.80 | \$754.72 | \$6.19 | \$0.00 | \$6.19 | \$1,212.69 | |

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|-------------------------------------|-------------|------------|------------|------------|----------------|--------------|--------|
| Hudson Avenue | \$4,511.40 | \$1,094.00 | \$12.64 | \$0.45 | \$12.19 | \$233.68 | |
| Huron Fish and Game Conservation As | \$68.20 | \$13.47 | \$0.82 | \$0.00 | \$0.82 | \$3.29 | |
| Idabet.com/eBet | \$5,569.30 | \$1,313.33 | \$34.77 | \$5.02 | \$29.75 | \$689.09 | |
| Imperial | \$678.40 | \$174.48 | \$2.25 | \$0.00 | \$2.25 | (\$65.44) | |
| Indianapolis OTB | \$22,996.54 | \$5,539.44 | \$52.92 | \$5.10 | \$47.82 | \$5,258.42 | |
| Internet wagering - Capital | \$17,767.77 | \$4,117.86 | \$141.06 | \$6.75 | \$134.31 | \$555.21 | |
| Jackpot Casino Red Deer | \$2,331.70 | \$556.59 | \$5.32 | \$0.10 | \$5.22 | \$577.34 | |
| Jefferson | \$1,552.10 | \$394.25 | \$3.53 | \$0.00 | \$3.53 | (\$256.08) | |
| Jerzees | \$2,299.00 | \$564.31 | \$19.04 | \$0.00 | \$19.04 | (\$15.86) | |
| Jimmy's E-Z Bet | \$58.20 | \$12.75 | \$0.45 | \$0.00 | \$0.45 | \$14.60 | |
| Jimmyz Sports Bar | \$11,078.23 | \$2,542.13 | \$70.35 | \$2.18 | \$68.17 | (\$1,098.16) | |
| Joliet OTB | \$10,979.58 | \$2,641.22 | \$41.65 | \$0.07 | \$41.58 | \$1,606.22 | |
| Kappy's Lounge and Grill | \$196.00 | \$50.68 | \$0.00 | \$0.00 | \$0.00 | \$145.32 | |
| Karlee's OTB | \$5,421.54 | \$1,287.65 | \$26.33 | \$2.71 | \$23.62 | \$820.67 | |
| Lancaster OTW | \$7,714.88 | \$1,887.15 | \$27.75 | \$0.32 | \$27.43 | (\$117.52) | |
| Last Furlong | \$9,073.15 | \$2,162.47 | \$46.21 | \$1.66 | \$44.55 | \$660.05 | |
| Latham | \$7,464.27 | \$1,856.81 | \$20.94 | \$0.78 | \$20.16 | \$164.37 | |
| LB Grande | \$36.00 | \$9.36 | \$0.16 | \$0.00 | \$0.16 | (\$18.82) | |
| Lee Hotel | \$1,076.15 | \$246.17 | \$12.04 | \$0.01 | \$12.03 | \$14.53 | |
| Lewiston OTB | \$20,494.93 | \$5,046.62 | \$73.78 | \$2.50 | \$71.28 | (\$400.96) | |
| LexSouth Ez-Bet | \$229.00 | \$53.10 | \$2.50 | \$0.00 | \$2.50 | \$64.69 | |
| Lien Games CA Internet | \$6,598.80 | \$1,477.17 | \$24.19 | \$3.92 | \$20.27 | \$2,197.45 | |
| Lien Games Internet IL | \$726.50 | \$161.38 | \$4.69 | \$0.23 | \$4.46 | \$268.44 | |

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| Lien Games Internet NY | \$6,703.68 | \$1,505.76 | \$53.59 | \$3.66 | \$49.93 | (\$368.89) | |
| Lien Games Internet Wagering | \$106,521.13 | \$24,462.71 | \$884.37 | \$282.40 | \$601.97 | (\$41.73) | |
| Lighthouse | \$473.40 | \$122.49 | \$0.37 | \$0.00 | \$0.37 | \$168.09 | |
| Lone Star | \$71,815.73 | \$17,442.80 | \$399.97 | \$73.84 | \$326.13 | \$1,628.04 | |
| Louisiana Downs | \$22,665.29 | \$5,538.64 | \$57.03 | \$19.56 | \$37.47 | \$5,652.08 | |
| Lucky 7 Bingo/VIP Lounge | \$30.26 | \$7.86 | \$0.00 | \$0.00 | \$0.00 | \$22.42 | |
| Lyell Turf Club | \$7,608.79 | \$1,915.56 | \$17.72 | \$0.00 | \$17.72 | (\$879.37) | |
| Magna Bet | \$1,740.50 | \$393.87 | \$31.93 | \$2.25 | \$29.68 | \$10.90 | |
| Mahoning Valley Race Course | \$10,338.79 | \$2,535.39 | \$44.38 | \$9.77 | \$34.61 | \$1,133.79 | |
| Mane Event | \$3,983.08 | \$948.99 | \$19.30 | \$0.83 | \$18.47 | (\$20.12) | |
| Marina at the Lake | \$109.30 | \$26.87 | \$0.00 | \$0.00 | \$0.00 | \$82.43 | |
| Marquis IVR | \$5,725.36 | \$1,175.19 | \$54.19 | \$332.33 | (\$278.14) | (\$1,098.78) | |
| Marway | \$5,416.68 | \$1,359.05 | \$18.58 | \$0.00 | \$18.58 | \$242.04 | |
| Matellas | \$541.00 | \$137.93 | \$2.36 | \$0.00 | \$2.36 | (\$9.50) | |
| Matts News | \$27.00 | \$6.67 | \$0.00 | \$0.00 | \$0.00 | \$20.33 | |
| McGinnins Landing LO | \$293.19 | \$67.44 | \$1.99 | \$0.00 | \$1.99 | \$69.68 | |
| McKenzie's Irish Pub | \$187.00 | \$47.36 | \$0.09 | \$0.00 | \$0.09 | \$60.05 | |
| McKinley | \$4,515.09 | \$1,112.93 | \$13.50 | \$1.26 | \$12.24 | \$122.74 | |
| McPhillips Street Station Teletheatre | \$485.42 | \$129.25 | \$3.63 | \$0.97 | \$2.66 | (\$110.68) | |
| Meadowlands | \$139,238.20 | \$33,966.56 | \$583.96 | \$24.75 | \$559.21 | \$9,365.72 | |
| Meadows Acct Wagering | \$11,338.20 | \$2,719.13 | \$70.74 | \$7.09 | \$63.65 | \$313.17 | |
| MEC TAT | \$4,681.50 | \$1,110.09 | \$28.82 | \$9.30 | \$19.52 | \$110.32 | |
| MEC Telephone Wagering | \$5.00 | \$1.16 | \$0.00 | \$0.16 | (\$0.16) | \$0.99 | |

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| Medicine Hat Lodge | \$1,196.28 | \$267.18 | \$10.66 | \$0.02 | \$10.64 | (\$164.07) | |
| Megatote LLC | \$12,105.00 | \$2,418.67 | \$196.64 | \$210.64 | (\$14.00) | \$29.16 | |
| Menands | \$170.90 | \$43.91 | \$0.18 | \$0.00 | \$0.18 | \$11.31 | |
| Miami Valley Gaming and Racing | \$20,148.08 | \$4,937.58 | \$74.63 | \$5.62 | \$69.01 | \$2,835.73 | |
| Michael Magees | \$20.00 | \$3.80 | \$0.00 | \$0.00 | \$0.00 | \$16.20 | |
| Military Road | \$1,311.20 | \$321.37 | \$4.92 | \$0.00 | \$4.92 | (\$447.57) | |
| Mohawk Racway | \$3,511.03 | \$808.41 | \$19.91 | \$0.12 | \$19.79 | \$297.15 | |
| Mohegan Sun | \$20,098.49 | \$4,709.87 | \$103.23 | \$45.65 | \$57.58 | \$1,953.50 | |
| Mohegan Sun Poker Room | \$646.20 | \$154.00 | \$6.50 | \$3.50 | \$3.00 | (\$458.73) | |
| Mokena OTB | \$12,554.80 | \$3,065.89 | \$44.95 | \$0.00 | \$44.95 | \$2,727.37 | |
| Monmouth Park | \$34,735.51 | \$8,455.42 | \$156.34 | \$71.85 | \$84.49 | \$5,217.74 | |
| Monticello | \$9,148.48 | \$2,277.53 | \$26.99 | \$0.84 | \$26.15 | \$1,059.08 | |
| Moodies | \$6,157.42 | \$1,490.97 | \$21.83 | \$1.85 | \$19.98 | \$577.17 | |
| MQ's Sports Bar OTB | \$6,070.20 | \$1,484.47 | \$12.73 | \$0.00 | \$12.73 | \$1,534.01 | |
| MutuelsOnline.com/eBet | \$4,279.09 | \$1,000.47 | \$29.77 | \$0.03 | \$29.74 | \$581.70 | |
| Nassau Regional OTB | \$109,151.56 | \$26,889.24 | \$398.88 | \$47.08 | \$351.80 | \$5,048.64 | |
| New Lebanon | \$424.70 | \$97.23 | \$2.03 | \$0.00 | \$2.03 | \$199.43 | |
| Newark | \$782.40 | \$193.56 | \$1.71 | \$0.00 | \$1.71 | (\$5.89) | |
| Newfoundland IVR | \$141.27 | \$35.02 | \$0.58 | \$0.00 | \$0.58 | \$82.86 | |
| NJ ADW-IVR | \$103.00 | \$23.70 | \$0.45 | \$0.08 | \$0.37 | \$30.13 | |
| NJ ADW-Mobile | \$14,104.69 | \$3,206.96 | \$101.32 | \$15.08 | \$86.24 | \$2,128.96 | |
| NJ ADW-Online | \$48,078.93 | \$11,097.99 | \$326.27 | \$223.66 | \$102.61 | (\$1,964.43) | |
| Northeast Philly Turf Club | \$27,714.89 | \$6,654.05 | \$141.30 | \$17.92 | \$123.38 | \$2,226.49 | |

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| Northern Ontario Teletheatre Networ | \$2,670.11 | \$656.04 | \$8.65 | \$1.75 | \$6.90 | (\$891.35) | |
| Northfield | \$25,274.88 | \$6,115.43 | \$114.47 | \$103.84 | \$10.63 | (\$1,265.49) | |
| Northlands IVR | \$12,479.59 | \$2,816.40 | \$91.36 | \$4.99 | \$86.37 | \$211.99 | |
| Northville Downs | \$46,002.55 | \$11,507.30 | \$138.61 | \$9.91 | \$128.70 | \$1,233.36 | |
| Nova Scotia IVR | \$2,390.11 | \$565.05 | \$19.41 | \$1.08 | \$18.33 | (\$428.95) | |
| NYRA Bets IL | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | |
| NYRAbets | \$1,414.40 | \$329.21 | \$6.60 | \$24.63 | (\$18.03) | \$50.40 | |
| Oakbrook Terrace OTB | \$20,832.27 | \$5,064.64 | \$94.50 | \$0.62 | \$93.88 | \$5,685.67 | |
| Oaklawn Park ADW | \$3,471.79 | \$802.83 | \$19.99 | \$0.64 | \$19.35 | \$142.54 | |
| Oaks - Valley Forge | \$19,878.99 | \$4,663.83 | \$72.81 | \$555.72 | (\$482.91) | \$2,830.61 | |
| Ocean Downs | \$5,114.40 | \$1,277.37 | \$15.35 | \$1.16 | \$14.19 | (\$69.66) | |
| Offside's | \$3,393.94 | \$802.01 | \$20.40 | \$0.61 | \$19.79 | (\$340.28) | |
| Off-Track Wagering York | \$12,241.07 | \$3,020.53 | \$43.85 | \$50.22 | (\$6.37) | \$499.68 | |
| OffTrackBetting.com | \$31,243.92 | \$7,755.85 | \$103.85 | \$16.02 | \$87.83 | (\$1,547.41) | |
| Olds Hotel CB | \$508.65 | \$109.03 | \$5.18 | \$0.00 | \$5.18 | \$98.97 | |
| Olean | \$263.00 | \$64.11 | \$0.89 | \$0.00 | \$0.89 | (\$69.10) | |
| Page's Grill and Bar | \$74.50 | \$17.97 | \$0.03 | \$0.00 | \$0.03 | \$47.55 | |
| PariBet US | \$7.00 | \$1.40 | \$0.02 | \$0.00 | \$0.02 | (\$4.82) | |
| Parx Internet | \$7,107.38 | \$1,635.64 | \$44.47 | \$33.88 | \$10.59 | \$341.37 | |
| Parx IVR | \$307.00 | \$64.56 | \$2.63 | \$0.75 | \$1.88 | (\$60.93) | |
| Parx On-Track | \$23,100.99 | \$5,561.09 | \$127.86 | \$6.59 | \$121.27 | \$664.17 | |
| Parx Phonebet | \$85.00 | \$18.74 | \$1.16 | \$0.01 | \$1.15 | \$19.31 | |
| Pastaria Pub | \$2.00 | \$0.52 | \$0.00 | \$0.00 | \$0.00 | \$1.48 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|------------------------------|--------------|-------------|------------|------------|----------------|---------------|--------|
| Pembina Hotel | \$343.07 | \$83.21 | \$2.58 | \$0.00 | \$2.58 | (\$173.23) | |
| Penn Account Wagering | \$1,402.50 | \$313.06 | \$11.75 | \$0.27 | \$11.48 | \$200.22 | |
| Penn Accounts xPA Group | \$427.30 | \$107.93 | \$1.58 | \$0.00 | \$1.58 | \$45.68 | |
| Penn National | \$5,625.40 | \$1,361.53 | \$32.34 | \$0.98 | \$31.36 | \$301.51 | |
| PennNatTelaBet | \$36.00 | \$6.98 | \$0.01 | \$0.00 | \$0.01 | \$24.61 | |
| Peterborough Stick Sports | \$926.41 | \$215.27 | \$4.77 | \$0.71 | \$4.06 | \$398.39 | |
| Pete's | \$137.00 | \$35.27 | \$0.53 | \$0.00 | \$0.53 | (\$26.80) | |
| Pferdewetten.de gmbh | \$15,329.50 | \$3,493.74 | \$104.18 | \$49.66 | \$54.52 | \$1,517.91 | |
| Phoenix | \$1,983.68 | \$487.46 | \$9.48 | \$0.00 | \$9.48 | \$379.56 | |
| Plattsburg | \$2,235.60 | \$555.23 | \$12.84 | \$0.02 | \$12.82 | (\$643.31) | |
| Player Management Group | \$85,354.09 | \$20,219.50 | \$649.05 | \$570.20 | \$78.85 | (\$2,446.91) | |
| Player Management Group B | \$534.00 | \$136.74 | \$3.73 | \$0.33 | \$3.40 | (\$576.65) | |
| Player Management Group C | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | |
| Player Management Group K | \$103,947.29 | \$25,068.54 | \$499.05 | \$489.07 | \$9.98 | (\$11,606.38) | |
| Players Club OTB | \$13,048.70 | \$3,110.08 | \$66.09 | \$1.44 | \$64.65 | \$284.62 | |
| Pocono Account Wagering | \$104.80 | \$26.13 | \$0.34 | \$0.18 | \$0.16 | (\$10.19) | |
| Pocono Downs Internet | \$3,732.60 | \$886.18 | \$29.85 | \$6.05 | \$23.80 | (\$450.35) | |
| Pocono Downs OTB | \$24,617.06 | \$6,028.58 | \$122.16 | \$13.56 | \$108.60 | \$2,211.15 | |
| Poconos | \$7,420.19 | \$1,800.02 | \$44.12 | \$4.89 | \$39.23 | \$411.96 | |
| Pompano Beach | \$36,083.46 | \$8,680.61 | \$169.87 | \$39.44 | \$130.43 | \$5,116.56 | |
| Potawatomi Casino / OTB | \$17,595.28 | \$4,232.01 | \$71.74 | \$186.71 | (\$114.97) | \$2,744.12 | |
| Prairie Meadows | \$1,978.60 | \$471.30 | \$11.52 | \$0.13 | \$11.39 | \$33.42 | |
| Premier Gateway USD Accounts | \$171.00 | \$40.18 | \$1.02 | \$0.00 | \$1.02 | (\$10.80) | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016

through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|-------------------------------|--------------|-------------|------------|------------|----------------|---------------|--------|
| Premier Turf Club | \$7,398.80 | \$1,779.40 | \$39.53 | \$38.36 | \$1.17 | \$300.94 | |
| Presque Isle Downs | \$8.00 | \$2.08 | \$0.01 | \$0.00 | \$0.01 | \$5.91 | |
| Quebec HPI | \$12,268.96 | \$2,738.91 | \$72.10 | \$552.25 | (\$480.15) | \$315.78 | |
| Quest Inn | \$303.44 | \$67.63 | \$3.48 | \$0.00 | \$3.48 | \$5.62 | |
| Quinte Bowling Centre | \$580.47 | \$120.23 | \$1.70 | \$0.04 | \$1.66 | \$188.64 | |
| Racebets | \$12,011.78 | \$2,711.72 | \$90.69 | \$6.66 | \$84.03 | \$373.14 | |
| RaceUwin.com / eBet | \$357.20 | \$84.34 | \$1.34 | \$0.15 | \$1.19 | \$53.94 | |
| Racing Gaming Services Grp #1 | \$226,958.10 | \$54,926.53 | \$1,400.82 | \$114.64 | \$1,286.18 | (\$13,483.50) | |
| Racing Gaming Services Grp. 5 | \$2,506.48 | \$550.52 | \$31.91 | \$7.48 | \$24.43 | (\$632.51) | |
| Rex Hotel | \$2,154.54 | \$483.77 | \$15.14 | \$1.32 | \$13.82 | (\$1.24) | |
| RGS | \$483.99 | \$117.43 | \$2.38 | \$0.00 | \$2.38 | (\$603.63) | |
| Rideau Carleton Raceway | \$7,465.00 | \$1,827.33 | \$19.46 | \$0.65 | \$18.81 | \$1,178.26 | |
| Ridge Lea Road | \$5,719.49 | \$1,413.33 | \$19.72 | \$0.16 | \$19.56 | \$1,879.03 | |
| River Road - Western | \$118.00 | \$29.70 | \$0.11 | \$0.00 | \$0.11 | \$67.59 | |
| RJ's on Chrysler | \$10,781.47 | \$2,547.86 | \$51.15 | \$1.20 | \$49.95 | \$887.62 | |
| RJ's on Main | \$7,170.24 | \$1,685.03 | \$42.74 | \$0.78 | \$41.96 | (\$1,103.32) | |
| RMTC Airdrie Theatre | \$261.42 | \$63.41 | \$0.97 | \$0.00 | \$0.97 | \$49.85 | |
| RMTC Okotoks | \$6.16 | \$1.60 | \$0.03 | \$0.00 | \$0.03 | \$4.53 | |
| Rockies Lounge | \$786.14 | \$165.08 | \$4.57 | \$0.76 | \$3.81 | (\$71.96) | |
| Rockingham Park | \$21,532.69 | \$5,103.39 | \$115.31 | \$7.67 | \$107.64 | \$831.69 | |
| Rosecroft Raceway | \$31,399.94 | \$7,813.68 | \$99.35 | \$6.64 | \$92.71 | \$1,578.47 | |
| Rumors OTB | \$95.00 | \$22.60 | \$0.57 | \$0.00 | \$0.57 | (\$4.57) | |
| Russo's | \$40.00 | \$7.60 | \$0.00 | \$0.00 | \$0.00 | \$32.40 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016

through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|----------------------------------|-------------|-------------|------------|------------|----------------|--------------|--------|
| Ruthies Bar | \$203.00 | \$49.28 | \$0.00 | \$0.00 | \$0.00 | \$144.02 | |
| Sam Houston | \$24,694.91 | \$6,170.43 | \$67.70 | \$8.04 | \$59.66 | \$3,591.87 | |
| Sanford OTB | \$13,589.50 | \$3,119.98 | \$93.71 | \$5.31 | \$88.40 | (\$2,181.45) | |
| Sarasota Kennel Club | \$21,470.06 | \$5,358.26 | \$55.31 | \$1.06 | \$54.25 | \$4,612.61 | |
| Saratoga Harness Raceway | \$20,095.60 | \$4,927.18 | \$85.90 | \$2.36 | \$83.54 | \$547.35 | |
| SaratogaBets | \$30,929.97 | \$7,623.08 | \$135.25 | \$20.05 | \$115.20 | (\$1,269.77) | |
| Scarborough | \$60,997.58 | \$14,882.43 | \$259.58 | \$22.87 | \$236.71 | (\$1,533.55) | |
| Schanks 1 OTB | \$2,629.37 | \$620.47 | \$12.08 | \$4.93 | \$7.15 | \$291.39 | |
| Schanks North | \$7,689.51 | \$1,724.73 | \$61.94 | \$2.77 | \$59.17 | \$246.62 | |
| Scientific Games Racing BV | \$4,598.23 | \$1,087.74 | \$0.00 | \$0.00 | \$0.00 | \$884.19 | |
| Scioto Downs | \$24,471.35 | \$5,891.97 | \$146.54 | \$56.17 | \$90.37 | \$1,296.99 | |
| Seabrook Greyhound | \$19,993.00 | \$4,754.23 | \$103.60 | \$232.21 | (\$128.61) | \$1,963.50 | |
| Select Winners Lounge | \$18,114.28 | \$4,220.28 | \$137.01 | \$7.08 | \$129.93 | (\$2,291.97) | |
| Seneca Falls | \$705.50 | \$174.68 | \$2.04 | \$0.01 | \$2.03 | \$141.25 | |
| Sgt Peppers Stouffville | \$188.89 | \$42.97 | \$0.67 | \$0.01 | \$0.66 | (\$24.52) | |
| Shark City OTB | \$9,506.99 | \$2,303.64 | \$38.22 | \$0.30 | \$37.92 | \$1,513.89 | |
| Sheraton Parkway Toronto | \$8,803.30 | \$2,107.53 | \$37.18 | \$2.31 | \$34.87 | \$1,494.77 | |
| Shoeless Joe's | \$2,490.55 | \$548.79 | \$20.76 | \$0.66 | \$20.10 | \$222.21 | |
| Shoreline Star Greyhound Park | \$8,799.88 | \$2,164.23 | \$26.95 | \$0.09 | \$26.86 | \$810.20 | |
| Sneakers Sports Bar | \$4,226.23 | \$991.43 | \$26.79 | \$5.37 | \$21.42 | (\$1,056.07) | |
| SOL Mutuel Group 2 - Odds Broker | \$3,454.00 | \$656.26 | \$71.30 | \$13.52 | \$57.78 | (\$405.61) | |
| South Philadelphia Turf Club | \$25,924.09 | \$6,146.36 | \$135.76 | \$15.16 | \$120.60 | (\$280.31) | |
| Southland | \$29,597.97 | \$7,434.70 | \$69.66 | \$7.52 | \$62.14 | \$918.67 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|------------------------------------|-------------|-------------|------------|------------|----------------|--------------|--------|
| Sports Centre Cafe | \$9,647.10 | \$2,295.32 | \$46.82 | \$3.76 | \$43.06 | \$282.44 | |
| Stewart Maguires | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | |
| Stick's Sports Bar and Grill | \$7.50 | \$1.95 | \$0.01 | \$0.00 | \$0.01 | (\$19.46) | |
| Stonehouse | \$8,772.00 | \$2,126.84 | \$32.54 | \$1.66 | \$30.88 | \$227.37 | |
| Stoney Nakoda Resort & Casino | \$77.49 | \$17.35 | \$0.00 | \$0.00 | \$0.00 | \$60.14 | |
| Suffolk District OTB | \$68,721.93 | \$17,211.89 | \$178.25 | \$14.77 | \$163.48 | \$8,283.77 | |
| Summerside Raceway | \$7.62 | \$1.75 | \$0.15 | \$0.00 | \$0.15 | \$1.23 | |
| TBC Teletheaters AA | \$5,057.10 | \$1,263.63 | \$22.59 | \$14.15 | \$8.44 | \$1,173.19 | |
| TBC Teletheaters BC | \$2,425.39 | \$600.32 | \$14.80 | \$0.91 | \$13.89 | \$217.38 | |
| TBC Teletheatres A | \$561.59 | \$148.27 | \$1.97 | \$0.01 | \$1.96 | \$98.22 | |
| TBC Teletheatres AAA | \$5,234.59 | \$1,354.73 | \$17.25 | \$0.56 | \$16.69 | (\$338.50) | |
| TBC Teletheatres-Hasting Mainland | \$4,743.68 | \$1,215.96 | \$20.78 | \$3.62 | \$17.16 | \$431.35 | |
| TBC Telethratres B | \$2,390.86 | \$569.97 | \$7.92 | \$0.07 | \$7.85 | \$713.57 | |
| TC Hooligans | \$37.00 | \$9.34 | \$0.00 | \$0.00 | \$0.00 | \$27.66 | |
| Teletheater | \$8,694.09 | \$2,158.41 | \$21.19 | \$0.00 | \$21.19 | \$1,008.49 | |
| The Brunswick Hotel Complex (Don C | \$54.39 | \$11.92 | \$0.19 | \$0.00 | \$0.19 | \$6.65 | |
| The Meadows | \$18,196.80 | \$4,463.99 | \$80.58 | \$5.10 | \$75.48 | (\$894.10) | |
| The Red Mile | \$38,175.76 | \$9,308.68 | \$168.66 | \$217.99 | (\$49.33) | (\$2,629.00) | |
| Thirsty Judge Bracebridge | \$649.06 | \$130.52 | \$7.46 | \$2.00 | \$5.46 | (\$145.23) | |
| Thistledown | \$25,454.52 | \$6,299.38 | \$108.44 | \$147.32 | (\$38.88) | (\$2,797.40) | |
| Time Out Georgetown | \$574.36 | \$140.89 | \$1.50 | \$0.19 | \$1.31 | \$268.42 | |
| Tote Investment Service(Barbados) | \$1,362.00 | \$321.50 | \$7.44 | \$0.20 | \$7.24 | (\$258.01) | |
| Trackside Aurora | \$8,113.39 | \$1,944.11 | \$32.99 | \$3.73 | \$29.26 | \$906.57 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|-----------------------------------|--------------|--------------|------------|------------|----------------|---------------|--------|
| Trackside Chicago | \$29,032.62 | \$7,028.64 | \$105.38 | \$3.99 | \$101.39 | \$5,590.91 | |
| Trackside Hodgkins | \$11,287.49 | \$2,689.51 | \$57.42 | \$4.26 | \$53.16 | \$978.48 | |
| Trackside Hoffman Estates | \$4,868.80 | \$1,180.30 | \$14.79 | \$1.96 | \$12.83 | \$1,466.79 | |
| Trackside McHenry | \$4,671.39 | \$1,115.62 | \$23.63 | \$0.35 | \$23.28 | \$1,410.26 | |
| Trackside Orland Hills | \$4,615.50 | \$1,105.56 | \$17.76 | \$0.26 | \$17.50 | \$426.29 | |
| Trackside Rockford | \$11,329.17 | \$2,741.05 | \$75.15 | \$0.60 | \$74.55 | \$628.25 | |
| Trackside South Elgin | \$5,836.89 | \$1,420.33 | \$41.01 | \$0.02 | \$40.99 | \$481.06 | |
| Trackside Villa Park | \$19,499.38 | \$4,721.76 | \$63.32 | \$2.74 | \$60.58 | \$3,805.97 | |
| Trackside Waukegan | \$12,293.98 | \$2,980.54 | \$43.12 | \$9.58 | \$33.54 | \$1,161.39 | |
| Transit Road | \$1,033.00 | \$253.66 | \$3.51 | \$0.00 | \$3.51 | \$280.61 | |
| TRN International | \$9,097.00 | \$1,895.52 | \$108.20 | \$4.77 | \$103.43 | \$778.02 | |
| Trophy Case Bradford | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | |
| TVG | \$228,464.52 | \$53,038.05 | \$1,186.55 | \$2,194.73 | (\$1,008.18) | \$18,803.40 | |
| TVG - Harrahs Chester Downs | \$8,384.59 | \$1,908.79 | \$61.46 | \$6.17 | \$55.29 | \$708.52 | |
| TVG Illinois | \$17,439.50 | \$3,969.44 | \$25.81 | \$62.56 | (\$36.75) | \$443.92 | |
| TVG Massachusetts | \$27,645.01 | \$6,397.09 | \$168.41 | \$25.70 | \$142.71 | \$1,852.78 | |
| TVG/Prairie Meadows Account Wager | \$980.10 | \$209.07 | \$29.81 | \$10.12 | \$19.69 | (\$842.23) | |
| Twinspires - Keeneland Select | \$3,749.50 | \$903.46 | \$17.18 | \$9.30 | \$7.88 | \$1,339.38 | |
| TwinSpires California | \$22,444.75 | \$5,331.34 | \$109.75 | \$23.99 | \$85.76 | \$2,658.20 | |
| Twinspires Mountain View | \$250,799.20 | \$59,076.12 | \$2,195.13 | \$671.23 | \$1,523.90 | (\$35,076.49) | |
| TwinSpires Oregon | \$259,619.78 | \$60,421.87 | \$1,875.90 | \$326.55 | \$1,549.35 | \$7,242.12 | |
| US Offtrack | \$3,996.09 | \$998.53 | \$16.88 | \$1.01 | \$15.87 | \$405.29 | |
| Velocity Wagering Grp 2 | \$662,863.00 | \$172,344.24 | \$1,654.29 | \$0.00 | \$1,654.29 | (\$78,075.32) | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|------------------------------------|--------------|-------------|------------|------------|----------------|---------------|--------|
| Velocity Wagering Grp 4 | \$120,410.00 | \$28,820.97 | \$847.55 | \$220.72 | \$626.83 | (\$15,793.72) | |
| Vernon Downs | \$936.90 | \$229.56 | \$2.09 | \$0.48 | \$1.61 | \$312.88 | |
| Victoria Pub Guelph | \$2,107.10 | \$512.69 | \$7.09 | \$0.16 | \$6.93 | (\$367.54) | |
| Vineland OTB | \$10,152.89 | \$2,518.60 | \$32.38 | \$0.86 | \$31.52 | \$6.90 | |
| W39 Ridge Road West | \$3,568.28 | \$872.88 | \$16.28 | \$0.00 | \$16.28 | (\$461.68) | |
| Watch and Wager | \$14,398.50 | \$3,501.80 | \$70.19 | \$3.98 | \$66.21 | \$1,303.27 | |
| Webster | \$3,001.70 | \$753.55 | \$4.19 | \$0.00 | \$4.19 | \$668.54 | |
| WEGZ Stadium Bar | \$11,338.09 | \$2,681.50 | \$53.74 | \$24.40 | \$29.34 | (\$154.52) | |
| Wehrle Drive | \$3,483.90 | \$848.93 | \$12.73 | \$0.18 | \$12.55 | \$51.35 | |
| Westcreek Pub CB | \$68.69 | \$17.35 | \$0.06 | \$0.00 | \$0.06 | (\$16.10) | |
| Western Fair Raceway | \$7,163.48 | \$1,742.02 | \$26.65 | \$1.32 | \$25.33 | (\$456.20) | |
| Whitesboro | \$1,574.09 | \$403.53 | \$2.05 | \$0.00 | \$2.05 | (\$213.74) | |
| Whoop Up Downs Tab | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | |
| Whoop-Up Downs | \$1,797.18 | \$396.26 | \$9.45 | \$0.25 | \$9.20 | \$324.27 | |
| Windsor Park Inn | \$831.24 | \$197.38 | \$8.17 | \$0.00 | \$8.17 | (\$67.93) | |
| Windsor Tavern | \$459.91 | \$91.58 | \$4.21 | \$0.28 | \$3.93 | \$206.83 | |
| Winners Bayonne | \$27,759.66 | \$6,744.68 | \$104.57 | \$31.97 | \$72.60 | \$2,702.03 | |
| Winner's Edge | \$8,546.65 | \$1,977.23 | \$45.81 | \$5.24 | \$40.57 | \$157.18 | |
| Winners OTB | \$9,632.07 | \$2,336.34 | \$44.63 | \$2.88 | \$41.75 | \$1,363.60 | |
| Wolf Road | \$777.89 | \$187.88 | \$2.80 | \$0.00 | \$2.80 | \$95.64 | |
| Woodbine | \$36,254.64 | \$8,672.62 | \$186.42 | \$9.82 | \$176.60 | \$1,257.56 | |
| Woodbine Entertainment Group Int'l | \$10,631.01 | \$2,466.04 | \$62.08 | \$2.85 | \$59.23 | (\$49.39) | |
| Woodbine IVR | \$85,151.06 | \$19,697.98 | \$549.86 | \$100.46 | \$449.40 | \$1,537.78 | |

Export SUMMARY REPORT

Originating Location Plainridge

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Harness

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|---------------------------------------|------------------------------|-----------------------|--------------------|--------------------|--------------------|-------------------|--------|
| Wooden Nickel | \$257.99 | \$57.73 | \$8.68 | \$0.00 | \$8.68 | (\$509.97) | |
| XpressBet | \$90,925.59 | \$21,196.82 | \$576.24 | \$311.84 | \$264.40 | (\$1,868.37) | |
| Xpressbet Southern Alberta | \$6.93 | \$1.29 | \$0.00 | \$0.00 | \$0.00 | \$5.64 | |
| Signal Origination: Plainridge | Totals \$6,073,949.83 | \$1,464,446.89 | \$31,892.35 | \$12,016.18 | \$19,876.17 | \$5,650.10 | |

Export SUMMARY REPORT

Originating Location Suffolk Downs

Reporting Dates: 7/1/2016 through 9/30/2016

Race Type: Thoroughbred

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|------------------------|--------------|-------------|------------|------------|----------------|---------------|--------------|
| BAK_SU | \$28,133.80 | \$4,220.01 | \$169.85 | \$4.38 | \$165.47 | (\$749.00) | \$22,999.32 |
| BAN_SU | \$53,840.80 | \$8,075.98 | \$382.20 | \$1.81 | \$380.39 | (\$1,428.61) | \$43,955.82 |
| BAT_SU | \$10,360.50 | \$1,554.04 | \$57.58 | \$0.37 | \$57.21 | (\$255.52) | \$8,493.73 |
| CHH_SU | \$43,828.00 | \$6,574.11 | \$242.49 | \$9.40 | \$233.09 | (\$4,062.95) | \$32,957.85 |
| CSH_SU | \$50,421.50 | \$7,563.10 | \$251.14 | \$1.50 | \$249.64 | (\$6,066.63) | \$36,542.13 |
| CTB_SU | \$111,352.90 | \$16,702.80 | \$600.45 | \$52.83 | \$547.62 | (\$1,871.17) | \$92,231.31 |
| ESA_SU | \$2,739.10 | \$410.84 | \$32.02 | \$0.00 | \$32.02 | \$414.92 | \$2,711.16 |
| FHB_SU | \$18,325.00 | \$2,748.70 | \$102.87 | \$0.93 | \$101.94 | (\$256.51) | \$15,217.85 |
| GCD_SU | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| GRX_SU | \$4,379.58 | \$656.91 | \$17.32 | \$0.00 | \$17.32 | (\$532.34) | \$3,173.01 |
| HHA_SU | \$598,334.80 | \$89,749.97 | \$5,572.46 | \$48.50 | \$5,523.96 | \$111,412.35 | \$614,473.22 |
| IMH_SU | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| ITT_SU | \$9,748.10 | \$1,462.19 | \$41.57 | \$0.00 | \$41.57 | (\$2,254.95) | \$5,989.39 |
| LVH_SU | \$7,726.10 | \$1,158.90 | \$66.96 | \$127.31 | (\$60.35) | (\$146.07) | \$6,481.48 |
| MDA_SU | \$165,310.64 | \$24,795.87 | \$1,157.13 | \$168.23 | \$988.90 | (\$11,864.62) | \$127,661.25 |
| MDD_SU | \$8,858.60 | \$1,328.72 | \$58.38 | \$8.66 | \$49.72 | (\$1,661.57) | \$5,818.59 |
| NJA_SU | \$276,001.10 | \$41,400.01 | \$1,819.06 | \$936.86 | \$882.20 | (\$5,294.09) | \$228,424.80 |
| NSG_SU | \$61,292.10 | \$9,193.72 | \$315.67 | \$2.90 | \$312.77 | (\$11,943.89) | \$39,841.72 |
| NYH_SU | \$170,821.80 | \$25,623.12 | \$1,290.84 | \$1,464.59 | (\$173.75) | (\$3,643.93) | \$141,728.50 |
| OGA_SU | \$457,835.60 | \$68,675.08 | \$3,802.10 | \$534.41 | \$3,267.69 | (\$32,615.58) | \$353,277.25 |
| OGE_SU | \$249,382.90 | \$37,407.21 | \$2,219.94 | \$221.08 | \$1,998.86 | \$11,677.93 | \$221,654.76 |
| OGG_SU | \$2,181.50 | \$327.20 | \$7.86 | \$0.00 | \$7.86 | (\$216.42) | \$1,630.02 |
| OHA_SU | \$19,187.50 | \$2,878.08 | \$108.20 | \$594.96 | (\$486.76) | (\$446.13) | \$16,350.05 |

Export SUMMARY REPORT

Originating Location Suffolk Downs

Reporting Dates: 7/1/2016

through 9/30/2016

Race Type: Thoroughbred

| Broadcasting Track/Hub | Net_Sales | Commission | Breakage + | Breakage - | Breakage Total | Settlement | Payout |
|--|------------------------------|-----------------------|--------------------|--------------------|--------------------|--------------------|-----------------------|
| ONC_SU | \$108.68 | \$25.86 | \$0.03 | \$0.00 | \$0.03 | (\$68.73) | \$14.06 |
| ONF_SU | \$3.80 | \$0.95 | \$0.00 | \$0.00 | \$0.00 | (\$2.85) | \$0.00 |
| PMA_SU | \$4,277.50 | \$641.62 | \$39.78 | \$1.81 | \$37.97 | (\$604.79) | \$2,993.12 |
| PMB_SU | \$5,060.60 | \$759.05 | \$23.63 | \$0.00 | \$23.63 | (\$1,332.72) | \$2,945.20 |
| PNG_SU | \$169,420.10 | \$25,412.87 | \$1,007.54 | \$1,599.28 | (\$591.74) | \$7,400.00 | \$151,998.97 |
| POC_SU | \$12,657.40 | \$1,898.57 | \$46.56 | \$0.63 | \$45.93 | (\$833.66) | \$9,879.24 |
| POI_SU | \$57,746.30 | \$8,661.84 | \$357.69 | \$2.63 | \$355.06 | (\$7,813.89) | \$40,915.51 |
| POR_SU | \$37,503.70 | \$5,625.50 | \$252.77 | \$11.42 | \$241.35 | (\$1,064.51) | \$30,572.34 |
| POT_SU | \$377,696.70 | \$56,654.30 | \$3,941.67 | \$771.04 | \$3,170.63 | \$48,945.35 | \$366,817.12 |
| Q9A_SU | \$2,530.09 | \$378.69 | \$10.20 | \$0.07 | \$10.13 | \$25.83 | \$2,167.10 |
| Q9B_SU | \$74,030.26 | \$13,161.96 | \$350.08 | \$114.58 | \$235.50 | (\$9,594.52) | \$51,038.28 |
| Q9C_SU | \$983.14 | \$198.41 | \$4.66 | \$0.00 | \$4.66 | (\$54.21) | \$725.86 |
| Q9D_SU | \$240.40 | \$56.39 | \$0.04 | \$0.00 | \$0.04 | (\$158.14) | \$25.83 |
| Q9E_SU | \$2,337.49 | \$442.73 | \$7.63 | \$0.35 | \$7.28 | \$44.93 | \$1,932.41 |
| Q9F_SU | \$691.59 | \$160.67 | \$1.59 | \$0.00 | \$1.59 | (\$46.91) | \$482.42 |
| SGA_SU | \$125,262.10 | \$18,789.18 | \$867.43 | \$18.15 | \$849.28 | (\$411.93) | \$105,211.71 |
| SMH_SU | \$253.00 | \$37.95 | \$1.81 | \$0.00 | \$1.81 | \$157.56 | \$370.80 |
| SUA | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Signal Origination: Suffolk Downs | Totals \$3,220,864.77 | \$485,413.10 | \$25,229.20 | \$6,698.68 | \$18,530.52 | \$72,782.03 | \$2,789,703.18 |
| All Exports Grand Totals | \$9,294,814.60 | \$1,949,859.99 | \$57,121.55 | \$18,714.86 | \$38,406.69 | \$78,432.13 | \$2,789,703.18 |

205 CMR: MASSACHUSETTS GAMING COMMISSION
205 CMR 143.00: GAMING DEVICES AND ELECTRONIC GAMING EQUIPMENT

143.01: Standards for Gaming Devices

(1) A gaming licensee and gaming device vendor shall comply with and the commission adopts and incorporates by reference *Gaming Laboratories International, LLC Standard GLI-11: Gaming Devices in Casinos*, version ~~2.1~~ 3.0, released ~~August 25, 2011~~ September 21, 2016, subject to the following amendments:

- (a) Delete section 1.1.1 and replace with the following: “The following sets forth the technical standards for electronic gaming devices as identified in 205 CMR 144.01(2).
- (b) Delete section 1.1.2.
- (c) Delete section 1.2.
- (d) ~~Delete section 1.4.~~ Delete section 1.3.3 and replace with: “This GLI technical standard is adopted in whole subject to the modifications described in 205 CMR 143.01. The standard and modifications should at all times be read in conjunction with 205 CMR and the standards referenced in section 1.4.1 so as to create a harmonious regulatory framework.”
- (e) Add the following after section 2.3.2: “2.3.3 Game integrity. The gaming licensee shall develop and submit to the IEB and the commission’s gaming lab for approval a preventive maintenance program for the care and upkeep of any such mechanical pieces or any physical moving parts and/or any physical parts of any slot machine, or player interaction devices, that may affect the outcome of any game to ensure the integrity of the outcomes. The IEB may require any such part to be replaced.”
- (f) Delete the last sentence of section 3.6.1 and replace with: “If a cryptographic RNG is used, it shall comply with section 3.6.2.”
- (g) Delete section 4.6.6.
- (h) ~~(e)~~ Replace in section ~~3.4.1~~ 4.8.1 “seventy-five percent (75%)” with “eighty percent (80%)”.
- (i) ~~(f)~~ Add the following after the first paragraph of section ~~3.4.1~~ 4.8.1: “The calculation of minimum payout percentage excludes the cash equivalent value of any merchandise or other thing of value that cannot be converted into cash by the gaming establishment licensee, but may include the acquisition cost to the gaming licensee of the merchandise or other thing of value. The calculation shall include the value of promotional gaming credit (i.e.- “free play”).”
- (j) Add the following after the first sentence in section 4.8.1(a): “If necessary to ensure the fairness of the game to patrons, the Commission may require a gaming vendor to submit a device for testing to determine whether it meets the requirements of section 4.8.1 when using average or counter-optimal methods of play in addition to, or in lieu of, an optimal method of play.”

- (k) ~~(g)~~ Replace in section ~~3.4.1~~ 4.8.1(b) “75%” with “80%”.
- (l) Add the following after section 4.8.1(b): “(c) Games of pure skill and/or games that do not utilize an RNG are not required to achieve a minimum theoretical payout percentage.”
- (m) ~~(h)~~ Replace in section 3.10.1(f) “seventy five percent (75%)” with “eighty percent (80%)”.
- (n) Delete section 4.16.1 and replace with the following: “For games of chance, the gaming device shall cease play, display an appropriate message, and require attendant intervention to resolve player payment for the payment of winnings of \$1200 or more from a single *game cycle*. For a *game with skill*, the gaming device shall cease play, display an appropriate message, and require attendant intervention to resolve player payment for the payment of winnings of \$1200 or more from a single *gaming session*. In determining whether winnings equal or exceed the \$1,200 threshold, the amount of winnings shall not be reduced by the amount wagered. It is permissible to provide a mechanism to accrue taxable winnings to a separate meter, however, this meter must not support any direct wagers. See also M.G.L. c.62B, §2, 26 CFR §1.6041-10, and GLI-13, section 2.4.2.”
- (o) Add the following after the first paragraph of section 4.20.1: “For purposes of independent testing in accordance with 205 CMR 144.00, the gaming device manufacturer shall determine in the first instance, subject to the acceptance of the independent test laboratory, whether a gaming device qualifies as a *game with skill*, a game of pure chance, or a game of pure skill. Such determination shall be subject to review and reclassification by the commission.”
- (p) Replace in section 4.20.3 “75%” with “80%”.
- (q) Add the following to section 5.4.1(k): “provided, however, no slot machine intended for use at a gaming establishment in Massachusetts may accept debit cards, credit cards, or government-issued electronic benefits transfer cards for purposes of purchasing any form of gaming value;”
- (r) Add the following in Glossary of Key Terms in the definition of *Player Interaction Device* after the term “camera systems”: “smartphones, keypads, gamepads, audio sensors, motion sensors, image sensors, image displays, infrared emitters and detectors, accelerometers,”.
- (s) Delete section 4.4.1(v) and replace with the following: “Signage indicating that a “malfunction voids all pays” or some equivalent verbiage shall be clearly displayed and permanently affixed to the exterior of the slot machine and not be readily removable. For purposes of 205 CMR, a malfunction shall be an event in which a slot machine:
 - (1) In some way performs contrary to a rule or other language describing the performance or payout of the game exhibited on the exterior display of the slot machine or contained in the rules section of the slot machine; or

- (2) In some way performs contrary to the manufacturer design or operational specifications; or
- (3) In some way performs contrary to the requirements of 205 CMR including the specifications contained in the certification for the slot machine issued in accordance with 205 CMR 144.00.”

(2) For purposes of M.G.L. c. 23K and 205 CMR the term slot machine as defined by M.G.L. c. 23K, § 2 shall not include automatic amusement devices as defined by M.G.L. c. 140, § 177A(2). For clarification, as a general matter, the distinction between a slot machine and an automatic amusement device is that unlike an automatic amusement device a slot machine is capable of paying out a cash prize, and/or the value of the merchandise being offered is, over \$1000.

(3) For purposes of M.G.L. c. 23K and 205 CMR a slot machine that has multiple gaming positions, as defined by M.G.L. c. 23K, § 2, shall be considered a single slot machine. Provided, however, a Category 2 licensee shall not have more than 1,500 gaming positions available for play at any one time.

(4) All slot machines and other electronic gaming devices shall be capable of providing the commission with a near real-time stream of data, other than personally identifiable information, in the communication format specified by the commission in 205 CMR 143.16(1) directly from each slot machine or electronic gaming device. Such data shall be provided for purposes of computing and reconciling daily tax obligations as provided in 205 CMR, for purposes of investigating patron disputes filed in accordance with 205 CMR 134.19: *Disciplinary Action*, and for purposes of maintaining general oversight of a gaming establishment. The commission is not obligated to monitor or review the data on an ongoing basis. If communications between the slot machine and the commission's central monitoring system fails, the slot machine shall not continue to operate unless it records all required data from the applicable communication protocol since losing the connection, up to seven days, and send the data directly to the commission as soon as the connection is reestablished. If the connection is not reestablished within 24 hours due to a problem stemming from the gaming establishment's systems, then any slot machine affected shall cease operation until the connection is reestablished.

205 CMR: MASSACHUSETTS GAMING COMMISSION
205 CMR 138.00: UNIFORM STANDARDS OF ACCOUNTING PROCEDURES AND
INTERNAL CONTROLS

138.56: Attendant Paid Jackpots and Credit Meter Payouts

The system of internal controls submitted by a gaming licensee in accordance with 205 CMR 138.02 shall include policies and procedures governing the payment of jackpots and credit meter payouts if and when the payment is not automatically disbursed from a slot machine or electronic gaming device in the form of a gaming voucher. Such procedure shall at a minimum address the provisions of 205 CMR 143.01(1)(n) and, if the gaming licensee elects to do so, incorporate provisions outlining the process to be followed for the aggregate reporting of slot machine winnings as allowed by 26 CFR §1.6041-10(g).

DRAFT

205 CMR: MASSACHUSETTS GAMING COMMISSION
205 CMR 139.00: CONTINUING DISCLOSURE AND REPORTING OBLIGATIONS OF
GAMING LICENSEES

139.04: Reports and Information to Be Filed with the Commission

(15) Analysis reports which, by each slot machine/electronic gaming device, compare actual payout percentages by month to theoretical payout percentages as established in accordance with 205 CMR 143.01(1). See GLI 13, section 3.4.2(d).

DRAFT

205 CMR: MASSACHUSETTS GAMING COMMISSION
205 CMR 136.00: SALE AND DISTRIBUTION OF ALCOHOLIC BEVERAGES AT
GAMING ESTABLISHMENTS

136.08: Form of the Gaming Beverage License

(2) Licensed Area Addendum. As part of the Gaming Beverage License, the commission shall issue a licensed area addendum for each licensed area approved pursuant to 205 CMR 136.03(4). Each licensed area addendum shall contain the following:

(j) ~~The identity and contact information for all managers or other principal representatives.~~

205 CMR: MASSACHUSETTS GAMING COMMISSION
205 CMR 143.00: GAMING DEVICES AND ELECTRONIC GAMING EQUIPMENT

143.02: Progressive gaming devices

(e) Delete in section 2.5.14 the words “local Internal Control procedures” and add the following: “following requirements: A gaming licensee may transfer a progressive jackpot amount on a stand-alone slot machine or a local area progressive with a common progressive meter, from the gaming area provided the gaming licensee receives written approval from the IEB prior to the transfer, and the accrued amount minus the seed amount of the progressive jackpot is:

- (1) Transferred in its entirety; and
- (2) Transferred to one of the following:
 - (a) The progressive meter for a slot machine with the same or similar probability of winning the progressive jackpot, the same or lower wager requirement to be eligible to win the progressive jackpot, and the same type of progressive jackpot (cash, annuity, annuity/cash option or a combination/alternate jackpot) as the slot machine from which the jackpot is being transferred; or
 - (b) The progressive meters of two or more slot machines, provided that each slot machine to which the jackpot is transferred individually satisfies the requirements of 205 CMR 143.02(e)(2)(a).

Further, notice of intent to transfer the progressive jackpot, which shall be subject to approval by the IEB, shall be conspicuously displayed on the front of each applicable slot machine for at least 10 days in advance of the transfer.

No Documents