

205 CMR: MASSACHUSETTS GAMING COMMISSION
205 CMR 134.00: LICENSING AND REGISTRATION OF EMPLOYEES, VENDORS,
JUNKET ENTERPRISES AND REPRESENTATIVES, AND LABOR ORGANIZATIONS

134.04: Vendors

(4) Gaming Vendor Qualifier.

(b) Gaming Vendors-primary. The following persons shall be designated as gaming vendor-primary qualifiers:

1. If the gaming vendor-primary is a sole proprietor: The owner.
2. If the gaming vendor-primary is a corporation:
 - a. Each officer;
 - b. Each inside director ~~and those outside directors serving on the audit or compliance committees;~~
 - c. Any person owning more than 5% of the common stock of a company applying for licensure as a gaming vendor-primary as provided by 205 CMR 134.04(1)(a), or a holding, intermediary or subsidiary company of such company;
 - d. ~~Any person who will act as a sales representative or regularly engage in the solicitation of business from a licensed gaming establishment;~~
 - d.e. In the judgment of the Division of Licensing after consultation with the Bureau any person with significant and substantial responsibility for the applicant's business under the jurisdiction of the commission.

(c) Gaming Vendors-secondary.

1. If the gaming vendor-secondary applicant is a sole proprietor, the Owner shall be designated as a qualifier.
2. If the gaming vendor-secondary applicant is a corporation, limited liability corporation, limited partnership, or partnership:
 - a. Each Officer, Member, Partner or functional equivalent ~~w~~ expected to exercise operational control over the business under the jurisdiction of the commission shall be designated as a qualifier;
 - b. ~~Any person who will act as a sales representative or regularly engage in the solicitation of business from a licensed gaming establishment shall be designated as a qualifier;~~
 - b.e. Any person owning more than 5% of the common stock of a company applying for licensure as a gaming vendor-secondary shall be designated as a qualifier;
 - c.d. In the judgment of the Division of Licensing after consultation with the Bureau any person with significant and substantial responsibility for the applicant's business under the jurisdiction of the commission shall be designated as a qualifier;
 - d.e. The Division of Licensing after consultation with the Bureau may designate any person owning more than 5% of the common stock of a holding or

intermediary company of an applicant for licensure as a gaming vendor-secondary as a qualifier.

e.f. The Division of Licensing after consultation with the Bureau may designate any inside director ~~or any outside director or its functional equivalent serving on the audit or compliance committees~~ as a qualifier.

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